

Dynamic Backlight Adaptation for Low Power Handheld Devices¹

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Abstract

The limited lifetime of batteries imposes stringent constraints on the power consumption of mobile handheld devices. Power conservation to prolong battery life is of primary importance. Typically, power consumption depends on several factors like backlight intensity, the network interface, the CPU and the nature of each application. While significant research efforts have been made to optimize power consumption at the application, network and processor levels, comparatively little work has been done to reduce the power consumed by the backlight. Our work proposes an adaptive middleware based approach to optimize backlight power consumption for mobile handheld devices when playing streaming video. While reducing backlight power consumption, our approach simultaneously minimizes any negative impact on the perceived video quality. Performance results for MPEG-1 video indicate that up to 60% of the power consumed by the backlight can be saved by using the proposed approach.

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1. Introduction

Modern handheld mobile devices with modest sizes and weights are increasingly being used to provide users with streaming multimedia content. These devices have limited computing, storage and battery resources while the multimedia applications they run tend to be extremely resource hungry. Typically, the display, the CPU and the network interface constitute the three primary sources of power consumption in such low power devices. In this work we focus on achieving energy savings from the backlight display of the device, without significantly compromising on the quality of the streamed video. This work was performed in the larger framework of the FORGE project [11] that attempts to perform power and QOS optimizations across multiple abstraction layers, including the application, middleware, OS and hardware.

Several schemes to optimize power consumption of low-power devices in mobile environments have been proposed by researchers in recent years. Techniques such as compiler/OS/middleware based adaptation [4, 5, 11], dynamic power management of network interfaces [8], disks [10] and dynamic voltage scaling (DVS) [2, 3] attempt to reduce power consumption at various computational levels. However, efforts to reduce the power consumption of the backlight have received relatively little research interest. Some interesting work in this area has been done by Choi et. al. [1] in an attempt to address this problem. They propose compensating the brightness of still images while simultaneously reducing the backlight level. However, their proposed contrast compensation does not preserve the original color of the image which limits the practical application of their scheme. Moreover, they implement their approach in the limited context of still images. In this work, we explore more aggressive approaches to brightness compensation and device backlight control for streaming video. Furthermore, the adaptation is shifted away from the handheld device and performed at a network proxy server, obviating the need for the decoder on the device to be modified. We find that aggressive brightness compensation without significant impact on the visual quality is possible for streaming video when compared to still

images. This is because artifacts (introduced due to aggressive compensation) noticeable in still images are less discernable in video where several frames are displayed on the screen every second. We also propose an effective brightness compensation algorithm and integrate it with our middleware based adaptation schemes to enable us to achieve low power backlight operation while streaming video content to mobile handheld devices.

2. System Architecture

We implemented the system model depicted in Figure 1. The system entities include a multimedia server, a proxy server that has access to a database of (i) profiled luminosity values for every video stream at the server and (ii) handheld device specific parameters (e.g. number of backlight levels, average luminosity at each level etc.), a rule base to determine compensation values and a video transcoder (Figure 1); and low-power wireless devices capable of displaying streaming MPEG video content. Moreover, all communication between the handhelds and the multimedia server are routed through the proxy server that can change the video stream in real-time.

Each client has an application layer where the video stream is decoded and a middleware layer which routes the information flowing from and to the video decoder application. The video requests from the applications are sent to the proxy by the communication manager module, which also sends device specific features (such as the number of backlight levels, the current backlight level and information identifying the type and make of the handheld) gathered by the system monitor module. The system monitor can also direct the handheld to change backlight levels using the appropriate API calls to the underlying OS. The communication manager also receives video streams from the proxy server and directs them to the application layer for display on the handheld screen.

The middleware on the proxy performs the dynamic adaptation of the streaming video content

(brightness and contrast compensation) and communicates control information to the client middleware (operating backlight levels) through the communication manager module which interfaces with the low bandwidth control stream. The control stream is used (i) by the client to send the video stream request along with current backlight level and other handheld specific information to the proxy and (ii) by the proxy to direct the client handheld device to set a new backlight level while playing streaming video. The proxy maintains a database of information about the videos available at the server and information specific to different handheld types such as the number, luminous intensity and average power consumption of the backlight levels. Additionally, the proxy also employs a static rule base which specifies conditions which determine values for backlight and video compensation. The database and certain parameters of the rule base are populated by extensive profiling and subjective assessment of videos on different handhelds [11].

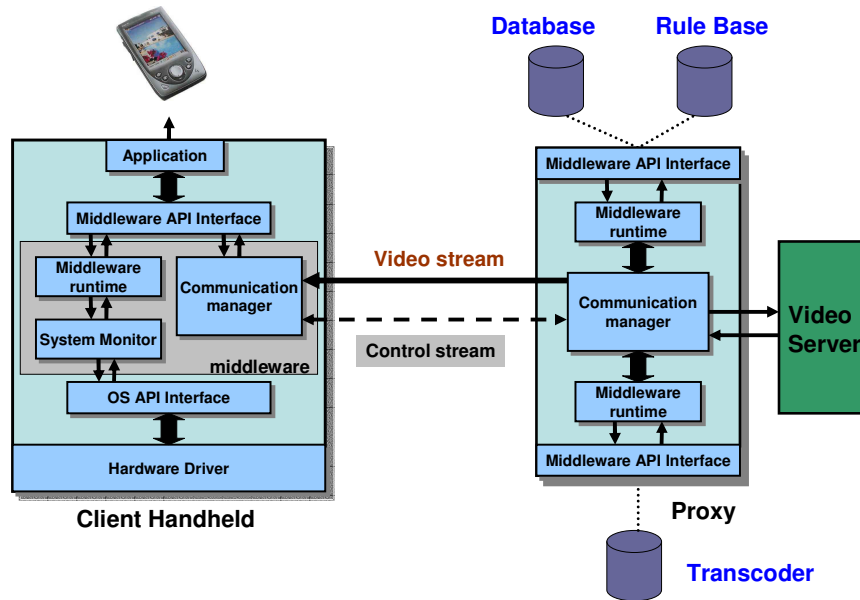


Figure 1: System Architecture

3. Backlight Compensation

We measured power dissipated (averaged for several different MPEG-1 video streams) at various backlight levels for the Compaq iPAQ handheld device running Windows CE. For the five backlight levels of the iPAQ (Super Bright, High Bright, Medium Bright, Low Bright and Power Save), the average power dissipated was 2.80W, 2.51W, 2.32W, 2.16W and 1.72W respectively. The results indicate that significant energy savings are possible by operating the device at a lower backlight intensity level.

The inherent problem with proposing schemes to reduce power is that the backlight directly affects the display quality and user experience. For example, even a slight reduction in backlight intensity during multimedia playback on the handheld (with the intention of saving power) can degrade the human perception of quality. Indeed, simply reducing the backlight is not a viable solution. We explore the use of a video compensation algorithm that induces power savings without noticeably affecting video quality.

Our prior work [11] has focused on several aspects of video quality on handheld devices. We have determined that user perception of video playback quality is significantly influenced by the environment and the type of handheld used to view the video. Consequently, objective assessment [7] of video quality is extremely difficult and subjective assessment [6] is still the primary method for assessing video quality. In our profiling and surveys, we attempted to follow the recommendations given in [6] and chose a diverse collection of video streams (movie clips, animations, sports, documentaries etc) to use in assessing the suitability and effectiveness of our proposed schemes.

To validate our assessments, we selected 30 individuals to be part of an extensive survey to subjectively assess the human perception of video quality when viewing streaming video on a handheld device. The subjects were first shown a full screen version of an original unaltered video stream. Next, they were shown the compensated stream and asked to record their observations pertaining to differences perceived in the video quality. We then attempted several different combinations of parameters used in our compensation algorithm to determine the values

which would give the most power saving without perceptibly degrading video quality. This phase was repeated for several different video streams and feedback from the subjects was recorded.

Based on our extensive analysis of the feedback received, we arrived at a set of values for the rule base, luminance thresholds and parameters in our compensation algorithm which gave us substantial power savings with acceptable degradation in video quality. It is important to emphasize that in this work we do not intend to sacrifice video quality in order to gain savings in handheld power consumption. The following sections describe our compensation algorithm and the middleware adaptations used in our system.

4. Dual Compensation Algorithm

In this section, we characterize the problem and propose our compensation algorithm. Let n be the number of backlight levels supported and $P(n)$ be the power at each level. Then $P_{\text{save}} = P(n) - P(n-k)$ denotes the power savings when dimming the backlight from level n to $n-k$. The perceived intensity of an image is denoted by

$$I = \rho L Y_{fr}$$

where ρ is the transmittance of the LCD panel, L is the backlight luminance and Y_{fr} is the average luminance value of the frame [1]. The luminance value for a pixel (Y_{pix}) can be obtained from its RGB values, after applying standard conversion functions to convert it from RGB to the $Y_{C_r}C_b$ coordinate space [9]. Let the luminance of the backlight at level n be given by L and the luminance at level $n-k$ be given by L' . From [1], if we decrease the backlight level from n to $n-k$, then in order to preserve the perceived intensity, the new luminance value Y_{pix}' for each pixel in the frame is given by

$$\xi(Y_{pix}') = \min(L, \xi(Y_{pix}) + \Delta L)$$

where $\xi(Y_{pix}')$ gives the normalized value of Y_{pix}' , and

$$\Delta L = (L - L')/L$$

However, pixels already having a high luminosity value cannot be compensated adequately resulting in a loss of contrast due to saturation and we observe degradation in video quality. This limits the amount of compensation that can be applied to an image without degrading its quality intolerably. However, the loss in contrast can also be addressed and compensated for, as will be explained later, which then allows even further savings in power resulting from a larger reduction in backlight intensity. Next we introduce the concept of a group of scenes (GOS) which defines the granularity at which backlight compensation is performed. We define a group of scenes as a group of contiguous frames in a video stream such that the variance of the average luminosity values of each frame belonging to the group is less than a threshold value α . The average luminosity value Y_{fr} of a frame can be calculated as

$$Y_{fr} = \sum_{i=0}^{(w-1)(h-1)} \frac{Y_{w,h}}{wxh}$$

where w and h are the width and height of the image in terms of number of pixels and $Y_{w,h}$ is the luminosity of the pixel at (w,h) . The concept of GOS is used to split a video stream into several groups of frames. These groups form the basic entities on which compensation is performed. Video streams in general have the property that a lot of frames having similar average luminosity values are clustered together and this provides ample scope for optimization for low power by uniformly compensating entire GOS entities and reducing the handheld backlight level.

There must be a minimum number of frames β in a GOS for it to be eligible for compensation. The reason for introducing this parameter is that there needs to be a minimum duration between changes in backlight levels.

We introduce a function ' Ω ' that provides the backlight level compensation factor,

$$\Omega(k_i, \Gamma, Y_{gos}) = k'$$

The input parameters to Ω are the current backlight level (k_i), the type of the handheld (Γ) and the average luminosity of the GOS being considered (Y_{gos}) for which the number of frames $> \beta$ and

the function returns the optimal backlight level to be set for the GOS (k^*). The average GOS luminosity value is given by

$$Y_{gos} = \sum_{i=0}^{n-1} \frac{Y_{avg}}{n}$$

where n is the number of frames in the GOS. This function uses the extensively profiled video information for a particular type of handheld stored in the proxy database to select and return a suitable value for backlight level based on the value of Y_{gos} and k_i .

Next we introduce another function ' σ ', that provides the video luminosity compensation factor

$$\sigma(k_i, \Gamma, \Omega(k_i, \Gamma, Y_{gos})) = c^*$$

The input parameters for ' σ ' are the current backlight level (k_i), the type of the handheld (Γ) and the value for the next backlight level (returned by the function Ω) and it returns the brightness compensation value for the GOS being considered. The return value is the difference in luminosity for the two backlight levels, for the particular handheld device being used as a client. The average luminosity values for different backlight levels supported by the handheld are obtained from the database at the proxy, which stores these default values for several handheld devices. If k_g is the current backlight level on a handheld of type Γ and Y_{GOS} is the average luminosity of an eligible GOS streaming to the proxy from the video server, then a control message is sent to the client asking it to set its backlight level to $\Omega(k_g, \Gamma, Y_{gos}) = n_g$ while at the same time the group of pictures is compensated with a brightness of $\sigma(k_i, \Gamma, \Omega(k_i, \Gamma, Y_{gos})) = c^*$ before it is sent to the client. This results in power savings of $P_{save} = P(k_g) - P(n_g)$ over the time interval when the GOS is played back on the client.

Balancing contrast and luminosity: Increasing the luminosity of a frame can cause a loss in contrast between different regions in the frame, which makes it difficult to identify edges of objects in the frame and degrades picture quality. To overcome this, we propose performing an additional compensation step. In this step, the luminosity compensated frame is passed through a high pass filter which performs a spatial convolution on the luminosity values of the frame. This

convolution step sharpens the edges and makes objects in the frame more recognizable. A convolution kernel is of the form

$$\mathbf{C}_k = \begin{matrix} c_1 & c_2 & c_3 \\ c_4 & c_{pix} & c_5 \\ c_6 & c_7 & c_8 \end{matrix}$$

where c_1, c_2, \dots, c_8 are values carefully selected to increase the amplitude of the high-frequency content in the frame. The convolution kernel is not limited to a 3x3 matrix and can be larger (e.g. 5x5). Now let the luminosity value of the pixel under consideration be L_{pix} and that of its 8 neighboring pixels be as shown:

$$\mathbf{L}_{3 \times 3} = \begin{matrix} L_1 & L_2 & L_3 \\ L_4 & L_{pix} & L_5 \\ L_6 & L_7 & L_8 \end{matrix}$$

Then the modified pixel value after convolution is given by:

$$L_{pix}' = \frac{1}{\sum_{k=1}^8 c_k} \left(L_{pix} * c_{pix} + \sum_{k=1}^8 L_k * c_k \right)$$

if $c_{pix} + \sum_{k=1}^8 c_k > 0$

and

$$L_{pix}' = \left(L_{pix} * c_{pix} + \sum_{k=1}^8 L_k * c_k \right) + 128$$

if $c_{pix} + \sum_{k=1}^8 c_k = 0$

An alternative to the high pass filter is a median filter which is a non-linear filter that also sharpens a frame and also possesses the additional property of noise tolerance. This is generally harder and more time consuming to implement than the high pass filter described above. Our

experiments have shown that this particular filter produces images that are of the same quality as those produced by the high pass filter for the video streams considered.

5. Proxy based middleware adaptation schemes

We now present three middleware adaptation policies that utilize the compensation algorithm. The first two policies can be implemented with limited operating system interface control and the third is our proposed dual compensation algorithm that requires both proxy and OS interfaces for optimal operation.

Simple Backlight Compensation (SBC): Using this policy, power can be saved by identifying GOS entities for which Y_{GOS} is high (above a threshold level τ) and reducing the backlight level at the client for these GOS entities. Note that the proxy simply calculates new backlight levels without compensating the brightness of the video stream. The proxy has a record of the GOS entities in the video stream and whenever it expects to be sending a GOS with $Y_{GOS} > \tau$, it sends control information to the client to reduce its backlight level, such that the perceived difference in quality is minimal. This scheme has the disadvantage that it degrades video quality in every case and is attractive only because of its simplicity (no video compensation performed).

Constant Backlight with Video Luminosity Compensation (CBVLC): A more interesting and practical approach is to set a constant backlight level at the start of the video stream and then dynamically compensate different GOS entities based on their Y_{GOS} values. However, since the backlight value is fixed for the entire duration of the video, the level has to be chosen conservatively so that video quality is not affected adversely if there are dramatic variations in luminous intensity of consecutive GOS entities in the video stream. This is a static approach and would provide a constant power saving (depending on the initial backlight level chosen), with no dynamic adaptation of backlight intensity levels. The limitations of the previous approaches can

be overcome with a hybrid dual compensation approach described below.

Dual Compensation Approach (DCA): In this technique, we simultaneously compensate the video stream and the backlight levels for different GOS entities. The proxy dynamically compensates the GOS entities in the video stream and begins streaming the video to the client, simultaneously directing the client to change its backlight level through the control stream. The client middleware sets the appropriate backlight intensity levels for the video playback. This approach provides more flexibility for aggressive optimizations with much greater power savings.

6. Performance Evaluation

Parameter	Description	Value
β	Minimum number of frames in GOS	60
α	Variance threshold for Y_{GOS}	40
τ	Threshold level for SBC scheme	220
ϵ_k	Convolution kernel used in high pass filter	$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$

Table 1: Parameter values for compensation algorithm used in experiments

To evaluate these approaches, we conducted experiments with several different MPEG-1 video streams and a Compaq iPAQ 3600 series mobile handheld device, which comes with a color reflective thin film transistor LCD screen with a pixel pitch of .24mm and a display resolution of 240 x 320 pixels.





MPEG Video	Name	Resolution	FPS	Duration (sec)	Luminosity Variation	Video Type
	bipolar.mpg	320 x 240	30	41	Little	Dark, 3D animation
	iceegg.mpg	240 x 136	30	59	Moderate	Bright, 3D animation
	intro.mpg	160 x 120	30	59	Very High	Flashy, TV show clip
	simpsons.mpg	192 x 144	30	27	High	Colorful, 2D animation

Table 2: Characteristics of video streams used in experiment

The parameters we used for our compensation algorithm are given in Table 1. In addition, the rule base for determining $\Omega(k_x)$ and $\sigma(k_x)$ for the five backlight levels (k_0 , k_1 , k_2 , k_3 and k_4) for the Compaq iPAQ is given below

$$\Omega(k_0) = k_0; \sigma(k_0) = 0; \quad \text{for all } Y_{GOS}$$

$$\Omega(k_1) = k_1; \sigma(k_1) = 0; \quad \text{for all } Y_{GOS}$$

$$\Omega(k_2) = k_1; \sigma(k_2) = 30; \quad \text{for } Y_{GOS} < 140$$

$$\Omega(k_3) = k_2; \sigma(k_3) = 30; \quad \text{for } 80 < Y_{GOS} < 190$$

$$= k_1; \sigma(k_3) = 55; \quad \text{for } 190 < Y_{GOS} < 220$$

$$\Omega(k_4) = k_3; \sigma(k_4) = 30; \quad \text{for } 190 < Y_{GOS} < 220$$

$$= k_2; \sigma(k_4) = 55; \text{ for } 60 < Y_{\text{GOS}} < 190$$

$$= k_1; \sigma(k_4) = 65; \text{ for } Y_{\text{GOS}} < 60$$

The above rule base, the luminance threshold values and the compensation algorithm parameters in Table 1 were determined after extensive profiling and subjective assessment of several video streams [11] because no analytical method exists for deriving them. The values were chosen to achieve substantial power savings without significant degradation in video quality and stored in the database at the proxy. Our compensation algorithm then queries these parameters for different GOS entities to determine the amount of compensation to perform. It should be noted that our approach is not limited to the Compaq iPAQ and is valid for other handhelds with fewer or greater number of backlight levels than the iPAQ (such as the Palm Tungsten and HP Jornada handheld series). Each handheld type would possess its own unique rule base, luminance threshold values and compensation algorithm parameter calculated using extensive profiling and assessment, just like we did for the iPAQ.

We analyzed the effectiveness of the three schemes described in the previous section for several different video streams of different durations (number of frames), resolutions (frame width, height) and types (containing dynamically/statically changing scenes). Due to lack of space we present the results for a limited set of streams. Table 2 shows the video streams used and also describes them in detail. For all our experiments, we assume that the initial level of the handheld is set to a brightness level that takes into account the brightness of the client's environment, such that the quality of the video playback is deemed acceptable by the user at the set backlight level. Our schemes are not applicable if the initial backlight setting is low or power save and this information is captured in the rule base described earlier. We used a National Instruments PCI DAQ board to sample voltage drops across a resistor and the iPAQ, and sampled the voltages at 200K samples/sec to determine variations in power consumption of the iPAQ. The instantaneous and average power is calculated using the following formulae

$$P = V_{iPAQ} * V_R / R$$

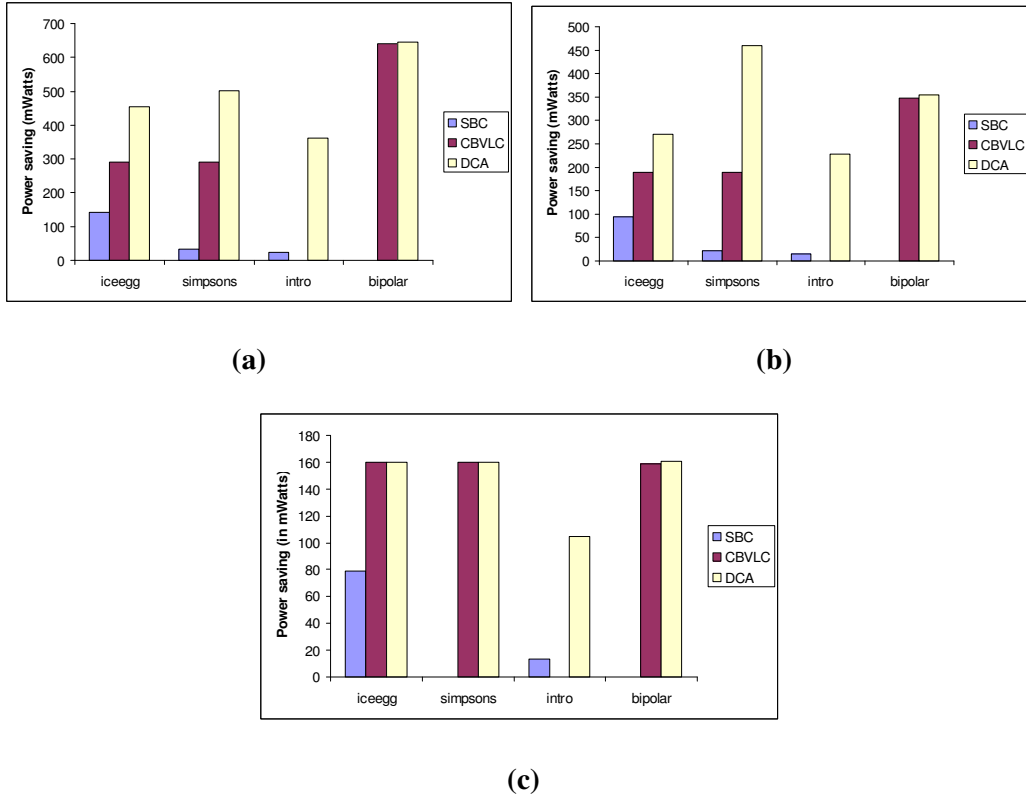


Figure 2: Power savings for initial reference point of (a) super bright, (b) high bright and (c) medium bright

The first experiment assumes that the initial backlight level of the handheld is set to super bright. Figure 2 (a) shows the results for this case. It is easy to see that the DCA scheme outperforms the other two schemes in all the cases. The CBVLC scheme outperforms the simplistic SBC scheme in all cases except for *intro.mpg*. This video has a very high variation of average luminous intensity from one frame to the next and very high intensity values for some frames. Consequently there are very few GOS entities eligible for compensation in this case.

Since the CBVLC scheme sets the client backlight level just once (in the beginning), lowering the backlight level can result in significant degradation of quality for many of the frames in this case, which the compensation cannot rectify. As a result, the CBVLC scheme takes the conservative approach and does not request the client to lower its backlight level from its preset value. It is

interesting to note that for the case of *bipolar.mpg*, the SBC scheme does not provide any power saving. This is because the video is very dark (low luminance) on an average and there is no GOS entity with a value of $Y_{GOS} > \tau$. Consequently, for this video, the backlight level is never reduced in this scheme.

Figures 2 (b) and (c) show the results when we assume that the initial backlight level of the handheld is set to high bright and medium bright respectively. As we lower the initial backlight levels, we expect that the scope for reducing power consumption in the handheld decreases, which can be seen from the results. For the experiment with the initial level set to medium bright, it is interesting to note from the figure that both the CBVLC and scheme DCA schemes perform more or less the same. An exception to this observation is the case of *intro.mpg* (for reasons mentioned earlier).

The reason for the similar performance of the two schemes is that the DCA scheme can only reduce the backlight by 1 level at most – further reduction makes it difficult to maintain quality even with video compensation. This fact is reflected in our rule base. The CBVLC scheme also manages to lower the backlight level by 1 level for all the cases except *intro.mpg* and hence performs similar to the DCA scheme. Overall, it can be seen from these experiments that the DCA scheme performs just as well as the other two schemes in a few cases, but performs much better compared to them in a majority of the cases. The power savings for the DCA scheme ranges from 100 mW to 625 mW depending on the type of video being played and the initial backlight setting, which is a range that corresponds to roughly 9% to 60% reduction in power consumed by the backlight on the mobile handheld client.

7. Conclusion

For the Compaq iPAQ, the backlight can consume as much as 40% of the total power when playing streaming MPEG video. To reduce the contribution of the backlight to overall power

consumption, we proposed reducing the handheld backlight level while simultaneously compensating the video stream by increasing frame luminosity. We convolved video frames with a high pass filter to minimize impact on picture detail after aggressive luminosity compensation. We presented three middleware adaptation techniques that utilize a proxy server to implement our approach. Our experiments with several different MPEG-1 streaming videos on the Compaq iPAQ handheld show that the dual compensation approach (DCA) – which is a hybrid of the other two proposed middleware adaptation approaches – is effective in reducing as much as 60% of the power consumed by the backlight on the handheld for certain video streams.

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