Planar Separators and Parallel Polygon Triangulation*

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Abstract

We show how to construct an $O(\sqrt{n})$-separator decomposition of a planar graph $G$ in $O(n)$ time. Such a decomposition defines a binary tree where each node corresponds to a subgraph of $G$ and stores an $O(\sqrt{n})$-separator of that subgraph. We also show how to construct an $O(n^{1/2+c})$-way decomposition tree in parallel in $O(\log n)$ time so that each node corresponds to a subgraph of $G$ and stores an $O(n^{1/2+c})$-separator of that subgraph. We demonstrate the utility of such a separator decomposition by showing how it can be used in the design of a parallel algorithm for triangulating a simple polygon deterministically in $O(\log n)$ time using $O(n/\log n)$ processors on a CRCW PRAM.

Keywords: Computational geometry, algorithmic graph theory, planar graphs, planar separators, polygon triangulation, parallel algorithms, PRAM model.

1 Introduction

Let $G = (V, E)$ be an $n$-node graph. An $f(n)$-separator is an $f(n)$-sized subset of $V$ whose removal disconnects $G$ into two subgraphs $G_1$ and $G_2$ each of size at most $2n/3$ [37]. Typically, separator finding is used to drive divide-and-conquer algorithms [7, 38], where one finds an $f(n)$-separator of $G$, dividing $G$ into $G_1$ and $G_2$, and then recurses on each $G_i$. Such a recursive decomposition is called an $f(n)$-separator decomposition of $G$ [7]. It produces a binary decomposition tree for $G$, where each node $v$ is associated with a subgraph $G_v$ of $G$ and an $f(|G_v|)$-sized subset of the nodes of $G_v$ that decompose $G_v$ into two pieces, which are of size at most $2|G_v|/3$ each and are associated with $v$’s children.

This decomposition tree often corresponds to the calling structure of a divide-and-conquer algorithm for $G$, where, in order to optimize the running time of the “marry” step in this algorithm, one desires that the separators be as small as possible. Of course, if $G$ is a tree, then this is easy, for there is a node, called the centroid, that is itself a separator [10], and

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its removal disconnects $G$ into trees that may then be recursively decomposed. Moreover, one can construct a centroid decomposition of such a $G$ in $O(n)$ time sequentially (e.g., see [27]) or in parallel in $O(\log n)$ time using $O(n/\log n)$ processors [15].

If, on the other hand, $G$ is a planar graph, then, in what is now a classic result in algorithmic graph theory, Lipton and Tarjan [37] show that $G$ has an $O(\sqrt{n})$-separator that can be found in $O(n)$ time. This, of course, immediately leads to an $O(n\log n)$-time algorithm for constructing an $O(\sqrt{n})$-separator decomposition of such a graph $G$, a result that has been used to solve a number of problems in VLSI layout, computational geometry, and algorithmic graph theory (e.g., see [7, 11, 20, 36, 38]).

In this paper we show how to construct an $O(\sqrt{n})$-separator decomposition of a planar graph $G$ in $O(n)$ time. Our method is based on a recursive emulation of Lipton and Tarjan’s algorithm, except that we use additional data structures to implement each level of the recursion in $o(n)$ time so as to achieve an optimal $O(n)$ running time for the entire decomposition. These data structures include standard binary search trees (such as red-black trees [28, 43]) as well as the more sophisticated link-cut tree data structure of Sleator and Tarjan [42, 43]. Throughout the recursive decomposition we maintain the breadth-first spanning (BFS) tree [2, 17] of each individual piece the graph, so as to avoid the recomputation of BFS trees as would be required by simple recursive applications of Lipton and Tarjan’s algorithm. We implement this BFS tree maintenance by augmenting the individual pieces with “deactivated” nodes “left over” from previous levels in the recursion. To achieve fast running times for locating separators we also maintain the inverse of each BFS tree, which is the tree formed by the graph-theoretic duals of the non-tree edges. Indeed, this tree interlacing technique of maintaining a spanning tree and its inverse to speed up dynamic graph maintenance is central to our method. Incidentally, this technique seems to be quite powerful, as it was also employed recently by Eppstein et al. [19] for fast maintenance of dynamic minimum spanning trees and by Goodrich and Tamassia [26] for maintaining dynamic planar subdivisions for fast planar point location.

Interestingly, our tree interlacing approach also leads to improved methods for finding many-way separators in parallel. In this case, the problem is to find a small-sized separator that divides $G$ into $O(n^e)$ disjoint subgraphs, each of size $O(n^{1-e})$. We show that a many-way $O(n^{1/2+e})$-separator can be found in $O(\log n)$ time using $O(n/\log n)$ processors assuming one is given a BFS tree as part of the input (otherwise, it requires $O(\log n)$ time using $O(n^3)$ processors [31, 32]). Our model of computation is the parallel random access machine (PRAM), the synchronous shared-memory parallel model in which simultaneous concurrent reads or writes are either allowed or disallowed, depending upon the submodel designation. This contrasts with the fastest previous parallel algorithm, due to Miller [39], which finds an $O(\sqrt{n})$-sized binary (cycle) separator in these same bounds. Note that one could iteratively use Miller’s method to find a separator similar to ours, but this would require $O(\log^2 n)$
time. There is also a parallel separator-finding method due to Gazit and Miller [23] that has a more efficient processor bound than our method, but it runs in $O(\log^3 n)$ time (hence, would run in $O(\log^4 n)$ time to find a separator such as ours).

Our $O(n^3)$ processor bound might seem excessively high, but, by following an approach similar to that used in the sequential algorithm by Chazelle [11], we show how to use this inefficient algorithm to design an optimal parallel method for polygon triangulation: the problem of augmenting a simple polygon $P$ with diagonals so that each internal face in the resulting planar subdivision is a triangle [22]. This problem was first studied in the parallel setting by Aggarwal et al. [1], and is a problem with many applications (e.g., see [11, 25, 27]). Our method runs in $O(\log n)$ time using $O(n/\log n)$ processors in the deterministic PRAM model where simultaneous concurrent reads and writes are allowed (the CRCW PRAM model [31, 32]), where we assume concurrent write conflicts are resolved arbitrarily. This matches the work bound of the best sequential method, due to Chazelle [11], and improves the previous parallel method, due to Clarkson, Cole, and Tarjan [12], which runs in $O(\log n \log^* n \log^* n)$ expected time and has an expected $O(n)$ work bound on a randomized CRCW PRAM. It also improves the previous best deterministic methods, which run in $O(\log n)$ time using $O(n)$ processors\(^1\) [24, 46].

As mentioned above, our triangulation method is based on an approach similar to that used in the sequential method of Chazelle [11]. Specifically, we use a divide-and-conquer approach to construct a submap of $P$, that is, a partitioning of $P$ into subpolygons of size $O(n^\delta)$, for some $\delta < 1$, which are then refined to form a triangulation. Our method differs from Chazelle’s approach in some important ways, however. For example, Chazelle is able to use the sequential contour tracing paradigm to traverse polygonal chains while performing “local” ray shooting operations, whereas our method depends upon the design of a parallel method for “global” ray shooting operations (this is where many-way separators come in). Another important difference is that our method is based on an $n^\gamma$-way divide-and-conquer paradigm, whereas Chazelle’s method is based on the simpler binary divide-and-conquer paradigm. This allows us to achieve our $O(\log n)$ running time, but this also requires that the “marry” step in our divide-and-conquer method be more complicated than that of a slower binary approach.

In the next section we present our linear-time method for finding a separator decomposition of a planar graph. In Section 3 we show how to use some of the insights in our sequential method to design an efficient parallel many-way separator-finding algorithm. In Section 4 we show how to triangulate a simple polygon, and we conclude in Section 5.

\(^1\)These methods are optimal, however, if $P$ is allowed to contain polygonal holes, which we do not allow.
2 Separator Decomposition

Suppose we are given an $n$-node plane graph $G$, i.e., an $n$-node graph embedded on a sphere so that no two edges cross [8]. Moreover, let us assume that each face, including the external face, has three edges—we refer to such a graph as being triangulated. We also assume that $G$ is simple, i.e., no two edges $e$ and $f$ are incident upon the same vertices.

We assume that $G$ is represented so that the adjacencies for any vertex $v$ are stored in cyclic order around $v$ in a circular doubly-linked list. For example, $G$ could be represented using the “winged edge” structure of Baumgart [4], the “quad edge” structure of Guibas and Stolfi [29], or the “doubly-connected edge list” structure of Muller and Preparata [40, 41]. In this section we present our linear-time method for constructing an $O(\sqrt{n})$-separator decomposition of $G$.

2.1 Our Approach

Before we give our method, however, let us review the approach taken by Lipton and Tarjan [37], which we will emulate. Let $T$ be a rooted BFS tree for $G$. Let $L(i)$ denote the set of nodes at level $i$ in $T$, and let $F(e)$ denote the fundamental cycle determined by $T$ and some non-tree edge $e$. It is easy to see that any $L(i)$ is a separator, since $T$ is BFS tree, and any $F(e)$ is a separator, since $T$ is a spanning tree for an embedded planar graph. Lipton and Tarjan’s separator theorem [37] can be viewed as an elegant method for picking these two kinds of separators against each other in order to find an $O(\sqrt{n})$-sized separator.

For completeness, we present a simplified version of their approach here. Let $l_1$ be the level in $T$ such that $\sum_{i=0}^{l_1-1} |L(i)| < n/2$ but $\sum_{i=0}^{l_1} |L(i)| \geq n/2$. Search up $T$ at most $\sqrt{n}$ levels from $l_1$ to locate a level $l_0 \leq l_1$ (which must exist) such that $|L(l_0)| \leq \sqrt{n}$. Similarly, search down $T$ from $l_1$ at most $\sqrt{n}$ levels to locate a level $l_2 \geq l_1$ such that $|L(l_2)| \leq \sqrt{n}$. “Cut” $T$ at the levels $l_0$ and $l_2$, dividing it into three “pieces”, $G_1$, $G_2$, and $G_3$. (See Figure 1.) Cutting the nodes at a level involves the removal of all nodes on that level together with all of their incident edges, of which there can be at most $O(n)$, since $G$‘s being planar implies that $|E|$ is $O(n)$ [8]. If the middle piece, $G_2$, is of size at most $2n/3$, then we’re done. So suppose $|G_2| > 2n/3$. Create a new root $r$ and join $r$ to all the roots of subtrees created when we cut $T$ at $l_0$. This creates a spanning tree $T_2$ of $G_2$ that has depth at most $2\sqrt{n}$. Lipton and Tarjan show that there is a fundamental cycle $F(e)$ in $G_2$ (whose size must be $O(\sqrt{n})$) that separates $G_2$ into two graphs of size at most $2n/3$. Adding this cycle to the nodes in $L(l_0)$ and $L(l_2)$ gives us an $O(\sqrt{n})$-separator for $G$, and all this can easily be implemented in $O(n)$ time. This approach, of course, results in a running time of $O(n \log n)$ for constructing a separator decomposition of $G$.

We show how to reduce the running time for finding such a separator decomposition to
distance is at most $\sqrt{n}$

size is at least $1/2$

Figure 1: The levels cut for a $O(\sqrt{n})$ separator.

$O(n)$. We achieve this improvement by retaining more information from recursive call to recursive call, so as to implement each level of the recursion in $o(n)$ time. To achieve this we augment $T$ and $G$ with a few data structures.

2.2 The Underlying Data Structures

For each level $i$ in the BFS tree $T$ we store the nodes of $L(i)$ in a dynamic binary search tree $B(i)$, ordered from left to right in the order that their corresponding nodes in $T$ would be visited in an in-order traversal of $T$. We also maintain a dynamic search tree $B$ that is built upon the $B(i)$’s, stored by increasing level numbers. The specific data structure one uses is not crucial (e.g., a red-black tree [28, 43] will do) so long as, in addition to the usual operations of Search, Insert, and Delete, it also supports the following operations:

- $Split(B, x)$: split the tree $B$ into two trees, $B_1$ and $B_2$, such that every element in $B_1$ is less than $x$ and every element in $B_2$ is greater than or equal to $x$.

- $Join(B_1, B_2)$: join the trees $B_1$ and $B_2$ into one, provided that each element in $B_1$ is less than every element in $B_2$.

In each internal node $\mu$ in $B(i)$ (or $B$) we store the number of the leaf descendent of $\mu$. Maintaining this information for the $B(i)$’s subject to the above operations can easily be done in $O(\log n)$ time per operation (e.g., see [2, 17, 43]).

In addition to its adjacency information, we represent $T$ using the link-cut tree data structure of Sleator and Tarjan [42, 43]. This data structure represents an arbitrary rooted tree, such as $T$, as a collection of paths joined by edges not on any of these paths. The extra edges are not considered to be on the paths, so we refer to the edges on the distinguished paths as solid and the other edges as dashed. The solid paths are stored in binary search
trees, with each dashed edge \((v, w)\) being represented as a pointer from the root of the tree for the \(v\) to the record for \(w\) in the tree for the solid path to which \(w\) belongs. Sleator and Tarjan show how to implement this structure to support \(O(\log n)\)-time execution of the following operations:

- \(Cut(T, e)\): separate \(T\) at an edge \(e \in T\) into two trees \(T_1\) and \(T_2\).
- \(Link(T_1, v, T_2)\): join trees \(T_1\) and \(T_2\) into a single tree by making the root of \(T_2\) a child of \(v\).
- \(Path-query(v)\): produce a binary search tree representation of the path from \(v\) to the root (which may then be searched using any standard binary-search tree query method).

In addition, as shown by Eppstein et al. [19], this data structure also supports the following operations (see Figure 2):

- \(Compress(u, w)\): compress the edge \((u, w)\) in \(T\) so as to identify \(u\) and \(w\) as a single vertex, \(v\). The parent of \(w\) becomes the parent of \(v\) and the children of \(u\) and the children of \(w\) (except for \(u\) itself) become the children of \(v\) (with the same relative in-order ordering).
- \(Expand(v, x, y)\): expand the node \(v\) into two nodes \(u\) and \(w\), such that \(u\) is the parent of \(w\), with \(w\) taking all of \(v\)'s children between \(x\) and \(y\), inclusive, and \(u\) taking \(v\)'s other children.
We also augment the binary trees representing solid paths so that we can compute the number of nodes on a path, strictly to the left of a path, or strictly to the right of a path in $O(\log n)$ time. This is easily accomplished by associating appropriate values with the leaves of our solid trees and summing these values in internal nodes. Given this modification, it is a simple matter to compute the number of nodes inside, outside, and on a fundamental cycle determined by some non-tree edge $(v, w)$ in $O(\log n)$ time.

Finally, we also represent a spanning tree of the graph-theoretic dual of $G$. In particular, as mentioned in the introduction, we maintain a tree $D$ such that each edge of $D$ is the graph-theoretic dual of a non-tree edge in $G$. (See Figure 3.) As with $T$, we maintain $D$ using the link-cut tree data structure of Sleator and Tarjan [42, 43].

We initialize our recursive computation by constructing each of these data structures. This includes the construction of the BFS tree $T$, its graph-theoretic inverse, $D$, the $L(i)$ level lists, as well as the underlying data structures that include the link-cut tree representations of $T$ and $D$, and the binary search trees $B$ and the $B(i)$. This can all be done in $O(n)$ time (see [26, 28, 42, 43]).
2.3 Separating $G$ into $G_1$, $G_2$, and $G_3$

Our method for performing recursive separator, then, is to use these structures to find an $O(\sqrt{n})$-separator and then remove the separator vertices while maintaining these data structures in the resulting “pieces.” In order for this approach to run in $O(n)$ time over all the recursive calls we require that each separation step run in $o(n)$ time. To achieve this requirement we will perform the separation of $G$ so as to maintain the data structures $B$, $T$, and $D$ in the resulting subgraphs. We do this by removing some, but not necessarily all, vertices in $G$ that belong to the separator. We refer to the separator vertices that remain in a subgraph as “deactivated” vertices.

We must take care, however, that the total size of all the subgraphs still be linear. We fulfill this requirement by forcing the total number of edges in all the subgraphs to be at most that of the original graph. Unfortunately, this requires that we relax our assumption about $G$ being a simple triangulated plane graph (for this will only be guaranteed to be true for the initial $G$). Instead, we will inductively assume only that $G$ is a triangulated plane graph, i.e., each face is a triangle. Interestingly, a familiar property for simple plane graphs, still holds for non-simple triangulated plane graphs:

**Lemma 2.1:** Let $G = (V, E)$ be a possibly non-simple triangulated plane graph. Then $|E| = 3|V| - 6$.

**Proof:** The proof is essentially the same as that for the similar relationship that holds for simple plane graphs (e.g., see [8] (p. 144)). □

So, let us begin our method by assuming inductively that we are given the above $B$, $T$, and $D$ structures representing $G$. Let $n$ denote the number of active vertices in $G$, let $k$ denote the number of deactivated vertices in $G$. If $k > n$, then we perform a simple traversal of $G$ to remove all the deactivated vertices in $G$ together with their adjacencies. Since $G$ is a triangulated plane graph, such a traversal of $G$ takes $O(n + k) = O(k)$ time (by Lemma 2.1). In this case, we then re-triangulate all the (simple) plane graph components, constructing the $B$, $T$, and $D$ structures for each, and proceed on each independently. Thus, for the remainder of this discussion, let us assume that $k \leq n$ and, since $G$ is a triangulated plane graph, that the number of edges in $G$ is $O(n)$.

We search in $B$ to locate the level $l_1$ (as defined in Section 2.1) in $O(\log n)$ time, and, from there, we iteratively search in $B$ to locate the levels $l_0$ and $l_2$ in $O(\sqrt{n})$ additional time. Having found $l_0$ and $l_2$, we must separate $T$ (and $G$) by removing the nodes at levels $l_0$ and $l_2$, creating $G_1$, $G_2$, and $G_3$. Of course, we must also create the underlying data structures for $G_1$, $G_2$, and $G_3$, as well (i.e., $B_1$, $B_2$, $B_3$, $T_1$, $T_2$, $T_3$, $D_1$, $D_2$, and $D_3$).

This separation step can be viewed as “cutting” $G$ along the edges that join the vertices at levels $l_0$ and $l_2$, respectively, dividing $G$ into three parts. Let us concentrate on the
operations for the nodes in \( L(l_0) \), as the method for the nodes in \( L(l_2) \) is similar. Given an embedded plane graph \( G \), as described above, we create \( B_1, G_1, T_1 \), and \( D_1 \) as follows.

**Step 1:** Creating \( B_1 \) and \( G_1 \). We perform a Split in \( B \) at the node for level \( l_0 \), and remove all the nodes of \( B(l_0) \). This creates \( B_1 \) and a binary tree \( B' \) that can then be split into \( B_2 \) and \( B_3 \) by a similar operation. To maintain the adjacency information in \( G \) we split \( v \) into two (non-adjacent) nodes \( v' \) and \( v'' \), so that \( v' \) retains all of \( v \)'s adjacencies to nodes on levels \( l_0 \) and \( l_0 - 1 \) and \( v'' \) retains all of \( v \)'s adjacencies to nodes on levels \( l_0 \) and \( l_0 + 1 \). We do not include any edges of the form \((v', v'')\), however. The nodes on levels \( 0 \) to \( l_0 - 1 \), together with the \( v' \) nodes, form the nodes of \( G_1 \) and the nodes on levels \( l_0 + 1 \) and greater, together with the \( v'' \) nodes, form nodes of the remaining piece of \( G \) (which will later be cut into \( G_2 \) and \( G_3 \)).

*Analysis of Step 1.* Assuming that the adjacency list for each \( v \) in \( L(l_0) \) is represented as a circular doubly-linked list, and we have separate pointers to the sublist of adjacencies to nodes on level \( l_0 - 1 \), the sublist adjacencies to nodes on the level \( l_0 \), and the sublist of adjacencies to nodes on the level \( l_0 + 1 \)—we can perform all of these operations in constant time per node visited, plus an \( O(\log n) \) charge for the search in \( B \). Thus, this step can be implemented in \( O(\sqrt{n} + k_0) \) time, where \( k_0 \) is the number of deactivated vertices in \( L(l_0) \).

**Step 2:** Compressing the separator vertices in \( G_1 \). In this step we compress each edge connecting \( v' \) vertices in \( L(l_0) \). We mark the remaining vertices as “deactivated” vertices (there will be one for each connected component in the subgraph induced by \( L(l_0) \)), and we do not count such vertices in the number of vertices of \( G_1 \). It is important to note that the number of edges in \( G_1 \) does not increase, but the result is that \( G_1 \) is a fully-triangulated plane (multi-) graph (except that there may now be some faces having only two edges). (See Figure 4.) If any such edge compression should create two parallel tree edges (on the same face), then we arbitrarily pick one of these parallel edges to no longer be a tree edge (so that we maintain \( T_1 \) as a tree), and we remove the non-tree edge from \( G_1 \). Similarly, if we create two parallel non-tree edges, then we remove one of them arbitrarily. This maintains \( G_1 \) as a triangulated plane graph, and is also illustrated in Figure 4.

*Analysis of Step 2.* Assuming adjacency lists are partitioned as we needed for the analysis of Step 1, this step can be implemented in \( O(m_0) \) time, where \( m_0 \) is the number of edges in the subgraph of \( G \) induced by the nodes in \( L(l_0) \).

**Step 3:** Creating \( T_1 \) and \( T' \). To create \( T_1 \) we perform a Cut on the edge from \( v \) to its parent in \( T \), for each \( v \in L(l_0) \). This separates \( T_1 \) from the nodes of \( G_2 \cup G_3 \). Let us therefore consider each such \( v \) node on level \( l_0 \) as the (deactivated) root of a subtree of \( T \) in \( G_2 \cup G_3 \), i.e., we view each as a \( v'' \) node. Unfortunately, there may be many such \( v'' \) nodes, implying that we do not have a single BFS tree for the nodes of \( G_2 \cup G_3 \). But we need such a BFS tree to implement the separation of \( G_2 \) and \( G_3 \). Thus, we artificially form such a BFS tree by merging all the \( v'' \) nodes into a single node \( r \) (much as we did in Step 2), which
Figure 4: Separating $G$ at $l_0$ and compressing the nodes of $L(l_0)$ in each resulting piece.
becomes the root of a new BFS tree $T'$ for all of $G_2 \cup G_3$. Moreover, as Lipton and Tarjan show [37], the resulting graph, $G'$, remains a plane graph. And, as was the case in Step 2, we get that $G'$ is a plane (multi-) graph, which in this case has the same number of edges as the original $G'$ (although some faces may now have only two edges). (See Figure 4.) As in Step 2, we remove any non-tree edges that become parallel to tree edges as a result of this compression, so as to maintain $G'$ as a triangulated plane graph.

**Analysis of Step 3.** First, we observe that cutting $T_1$ from $T$ requires $O(\sqrt{n} + k_0)$ Cut operations and the root-merging process can be implemented using $O(\sqrt{n} + k_0)$ Link and Compress operations. Thus, the construction of $T_1$ and $T'$ can be implemented in $O((\sqrt{n} + k_0) \log n)$ time.

**Step 4: Creating $D_1$.** Of course, performing all the above changes to $G$ and $T$ necessitates that we update our representation for $D$, the inverse of $T$, so as to create $D_1$ and a dual spanning tree $D'$ that can then be split into $D_2$ and $D_3$. Certainly, for each non-tree edge $e$ of $G$ removed in constructing $G_1$ and $T_1$ (including multi-edges), we must perform the corresponding Cut operation along the edge of $D$ dual to $e$, and remove any isolated nodes this creates. (See Figure 4.) In addition, during the compression of $l_0$-level edges in $G_1$ in Step 2 we must connect the appropriate parts of $D_1$ via Link operations (note that we actually only need to do this when we create a parallel tree edge in $T_1$ that is then removed). Finally, each parallel edge removed in Step 3 requires a Cut and a Link to update the dual tree $D'$.

**Analysis of Step 4.** We perform at most $O(m_0)$ Cut and Link operations. Thus, the running time for this step is $O(m_0 \log n)$.

This completes our cutting operation along level $l_0$. By a similar operation we may cut $G'$ along level $l_2$ so as to create $B_2$, $B_3$, $G_2$, $G_3$, $T_2$, $T_3$, and $D_2$, and $D_3$. The only caveat is that $G_1$, $G_2$, and $G_3$ may contain extra deactivated nodes and edges incident upon these nodes (and possibly even multiple edges), but we may nevertheless keep the total number of edges to be at most the number in $G$.

Let $n_1$, $n_2$, and $n_3$ denote the number of active nodes in $G_1$, $G_2$, and $G_3$, respectively. Clearly, $n_1 + n_2 + n_3 < n$ and $m_i$ is $O(n_i)$ for $i = 1, 2, 3$. Note at this point that we have $n_1 \leq n/2$ and $n_3 \leq n/2$. We are not done with the construction of our separator, however, if $n_2 > 2n/3$.

### 2.4 Finding a Fundamental Cycle in $G_2$

If $n_2 > 2n/3$, then we must emulate the second step in the approach of Lipton and Tarjan [37]—that of finding a separating fundamental cycle $\mathcal{F}(e)$ in $G_2$, i.e., a fundamental cycle that minimizes the maximum number of nodes either inside or outside the cycle. Indeed, the search for such a cycle is the motivation for the maintenance of a link-cut representation.
of the tree $D$. In this case we are interested in $D_2$. For each node $v$ in $D_2$ we let $e(v)$ denote the edge in $G_2$ that is dual to the edge from $v$ to its parent in $D_2$. Given any edge $e(v)$ we can use the link-cut representation of $T_2$ to compute the number of nodes of $G_2$ strictly inside $F(e(v))$, on $F(e(v))$, and strictly outside $F(e(v))$ in $O(\log n)$ time. Moreover, using the method of Goodrich and Tamassia [26], we may locate a centroid node $v$ in $D_2$ in $O(\log n)$ time. This centroid location is implemented by a simple search in the solid path in $D_2$ containing the root [26], and does not require any modifications to the standard link-cut tree representation [42]. Using the information obtained from the queries in $T_2$, we can then perform a (temporary) cut at $v$ and recurse on the appropriate subtree of $D_2$. By repeating this procedure $O(\log n)$ times we will find a fundamental cycle $F(e)$ in $G_2$ that minimizes the maximum number of nodes either inside or outside the cycle. We then reverse these temporary cuts so as to reconstruct $D_2$. The total time for this search is $O(\log^2 n)$.

By construction, the total number of nodes on this cycle $F(e)$ is $O(\sqrt{n})$. The computation that remains, then, is to cut $G_2$ along $F(e)$, and update the underlying data structures for the two remaining pieces, $G'_2$ and $G''_2$. We do this as follows:

**Step 1: Splitting $B_2$ into $B'_2$ and $B''_2$.** The cycle $F(e)$ intersects each level of $T_2$ in at most two nodes. Moreover, since each $B(l)$ is stored in in-order, $F(e)$ divides $B(l)$ into at most three pieces, two of which are exterior to $F(e)$, and one of which is interior to $F(e)$. These pieces can be formed by performing a *Split* in $B_2(l(v))$ for each node $v$ in $F(e)$, where $l(v)$ denotes $v$’s level. This may be followed by a *Join* operation for each $l$ to join the two exterior pieces for level $l$, creating at most two trees, $B'_2(l)$ and $B''_2(l)$, for each level $l$. We may then construct the tree $B'_2$ (resp., $B''_2$) by combining all the $B'_2(l)$’s (resp., $B''_2(l)$’s).

The total time needed to implement these operations is $O(\sqrt{n} \log n)$. We do not include the nodes of $F(e)$ in the resulting trees (although, as we show in the next step, these nodes will remain, albeit as deactivated nodes).

**Step 2: Splitting $G_2$ into $G'_2$ and $G''_2$.** We divide $G_2$ along the edges of $F(e)$ making each node on $F(e)$ a deactivated node in both $G'_2$ and $G''_2$, in a manner similar to that used in the previous subsection. In addition, so as to maintain the same number of edges in each piece, we compress each pair of nodes on $F(e)$ that are on the same level in $T_2$. We perform this compression in $G'_2$, as well as in $G''_2$ (viewing $G_2$ as being drawn on a sphere makes the compressions in these two graphs symmetric operations). (See Figure 5.) Also, as in the previous subsection, any time we create two parallel edges we simply remove one of them. This step is easily accomplished in $O(\sqrt{n})$ time, since each vertex stores its adjacencies in a doubly-linked circular list.

**Splitting $T_2$ into $T'_2$ and $T''_2$.** Creating the BFS trees for $G'_2$ and $G''_2$ is accomplished by performing $O(\sqrt{n})$ *Expand*, *Compress*, *Cut*, and *Link* operations. We perform an *Expand* operation for each node $v$ on $F(e)$ to expand $v$ into an edge $(v', v'')$ so as to separate its adjacencies on or inside $F(e)$ (which go with $v'$) from its adjacencies outside $F(e)$ (which go
Figure 5: Separating $G_2$ along $\mathcal{F}(c)$ compressing the nodes of $\mathcal{F}(c)$ at the same level in each resulting piece.
with \( v'' \)). We then perform a \textit{Cut} for each such expanded edge \((v', v'')\), and we link each node \( v'' \) with \( w'' \), such that \( w \) was the parent of \( v \) in \( T_2 \). Finally, we compress the nodes on the same level on \( \mathcal{F}(v) \), each of which can be done with a \textit{Cut}, \textit{Link}, and \textit{Compress} operation. An example pair of resulting trees is illustrated in Figure 5 using bold edges. The total time for all of this is \( O(\sqrt{n} \log n) \).

\textbf{Splitting} \( D_2 \) \textbf{into} \( D'_2 \) \textbf{and} \( D''_2 \). In this case, our final update operation of splitting \( D_2 \) into \( D'_2 \) and \( D''_2 \) is quite trivial. We simply cut \( D_2 \) at the dual to \( e \). (See Figure 5.) This take \( O(\log n) \) time and completes the construction of the separator.

As in the previous subsection, we left some deactivated nodes and some of their adjacencies in order to efficiently perform the separation of \( G_2 \) into \( G'_2 \) and \( G''_2 \). Note, however, that the number of edges in \( G'_2 \) and \( G''_2 \) is the same as the number in \( G_2 \).

Our method for constructing a separator decomposition, then, is to recursively iterate the above procedures for each of the resulting pieces.

2.5 \textbf{Analysis}

Let us therefore analyze the total running time of this method. First, note that deactivated nodes are not counted in our search for a separator; so our separator will still be of size \( O(\sqrt{n}) \) and will still separate the active nodes in \( G \) into two pieces whose number of active nodes is least \( n/3 \) and at most \( 2n/3 \), where \( n \) denotes the number of active nodes in \( G \).

We will use an “accounting” argument in our analysis. In particular, any time we mark a node \( v \) as deactivated, then we imagine that we give \( v \) \( \lfloor \log n \rfloor \) credits, each of which is worth \( O(1) \) computation steps. Since we are allowing at least \( O(\sqrt{n} \log n) \) time for our separation running time, this accounting trick only increases the running time charged to a separation computation by a constant factor (since there are only \( O(\sqrt{n}) \) nodes receiving such credits). So, consider the case where \( k > n \) and we must “purge” away the deactivated nodes. In this case we spend \( O(k) \) time, all of which can be charged to the credits “stored” by deactivated nodes, for after this operation completes there are no deactivated nodes left. So, next consider the time spent for an \( l_0 \)-level separation. It takes \( O(m_0 \log n) \) time, where \( m_0 \) is the number of edges of \( G \) induced by the nodes in \( L(l_0) \) (note that \( \sqrt{n} + k_0 \leq m_0 \), since \( G \) is connected). Clearly, each of the \( k_0 \) deactivated nodes in \( L(l_0) \) were deactivated in a fundamental-cycle separation (at a higher level in the recursion). Moreover, because of the way we compress nodes after a fundamental-cycle separations, the adjacencies of any deactivated node in \( L(l_0) \) is a subset of the adjacencies for at most two nodes in the original graph. Since this is an induced subgraph of a simple plane graph, we have that \( m_0 \) is \( O(\sqrt{n} + k_0) \). Thus, the time needed for an \( l_0 \)-level separation is actually \( O((\sqrt{n} + k_0) \log n) \).

But we may inductively assume each deactivated node in \( L(l_0) \) contains \( \log n \) credits, each worth \( O(1) \) computation steps. Thus, we may account for the running time of this \( l_0 \)-level
separation as being $O(\sqrt{n} \log n)$. A similar argument establishes a similar bound for an $l_2$-level separation. Since we have already established that a fundamental-cycle separation takes $O(\sqrt{n} \log n)$ time, we may therefore characterize the running time of our method by the following recurrence relation:

$$T(n) \leq T(n') + T(n'') + b \sqrt{n} \log n,$$

where $b > 0$ is a constant, $n' + n'' < n$, and $n/3 \leq n' \leq n'' \leq 2n/3$. We may easily show by induction that

$$T(n) \leq cn - c \sqrt{n} \log n,$$

for some constant $c > 0$ (where the negative term is added to make the induction easier). This gives us the following theorem:

**Theorem 2.2:** Given an $n$-vertex simple triangulated plane graph $G$, one can construct an $O(\sqrt{n})$-separator decomposition of $G$ in $O(n)$ time.

Incidentally, this theorem immediately implies that one can perform the VLSI embedding of a planar graph in $O(n)$ time using the algorithms of [7, 36]. Moreover, in a manner similar to the approach of Lipton and Tarjan [37], it is straightforward to generalize our methods to weighted graphs. In this case one is given a planar graph $G$ with nonnegative vertex weights summing to $W$, and one desires a decomposition based on the recursive construction of a $O(\sqrt{n})$-weighted-separator, i.e., a separator of size $O(\sqrt{n})$ that divides $G$ into two pieces of weight at most $2W/3$. We leave the details to the reader, but note that one possibility would be to modify our method so that in addition to dividing based on the number of nodes in $G$ one can also be dividing by weights associated with the nodes in $G$ (e.g., one can follow a separation based on node counts by a separation based on weights). This approach can be used to derive the following theorem:

**Theorem 2.3:** Let $G$ be an $n$-vertex simple triangulated plane graph with nonnegative vertex weights. Then one can construct an $O(\sqrt{n})$-weighted-separator decomposition of $G$ in $O(n)$ time.

### 3 Many-Way Separators

Interestingly, our tree-based approach to finding planar separators also carries over into the parallel setting. In this section we give our method for finding small-sized separators that divide $G$ into many similarly-sized subgraphs. In particular, our method finds a separator of size $O(n^{1/2+\epsilon})$ that divides $G$ into $O(n^\epsilon)$ subgraphs of size $O(n^{1-\epsilon})$. Assuming we are given a BFS spanning tree as part of the input, our method runs in $O(\log n)$ time using $O(n/\log n)$
processors in the deterministic PRAM model where concurrent reads are allowed but writes
must be exclusive (the CREW PRAM model [31, 32]). If we are not given the BFS spanning
tree, then our method runs in $O(\log n)$ time using $O(n^3)$ processors on a CRCW PRAM
(e.g., see [31, 32] for a method for constructing a BFS tree in these bounds).

3.1 Many-way Trees

Since our method produces a separation of $G$ into many subgraphs it gives rise to a de-
composition tree that is not binary. So, before we describe our method, let us make a few
observations about non-binary trees. Let $T$ be a balanced rooted tree, and, for each node $v$
in $T$, let $n_v$ denote the number leaves in $T$ that are descendants of $v$ (including $v$ itself if it
is a leaf). We say that $T$ is a globally $f(n)$-way tree if each node $v$ has at most $f(n)$ children,
and we say that $T$ is a locally $f(n)$-way tree if each node $v$ has at most $f(n_v)$ children. Note
that the height of a globally $f(n)$-way tree is $O(\log n/\log f(n))$, whereas the height of a
locally $f(n)$-way tree is determined by the recurrence $h(n) = h(n/f(n)) + 1$. For example,
given a constant $0 < \epsilon < 1$, if $F_1$ is a globally $n^\epsilon$-way tree and $F_2$ is a locally $n^\epsilon$-way tree,
then $F_1$ has height $O(1/\epsilon) = O(1)$ whereas $F_2$ has height $\Theta(\log\log n)$.

An important property of a balanced tree $T$ is that it allows for a canonical representation
for intervals. In particular, let the leaves of $T$ be numbered left-to-right $1, 2, \ldots, n$, and let
each internal node $v$ of $T$ be associated with the interval $[a, b]$ that spans $v$’s descendents. As
in the (binary) segment tree data structure of Bentley and Wood [5], we say that an interval
$[c, d]$ covers a node $v$ if $[c, d]$ contains the interval for $v$ but does not contain the interval for
$v$’s parent. An interval $[c, d]$ can therefore be represented by the union intervals in $T$ that
it covers. Note that any interval $[c, d]$ can cover at most $O(f(n) \log n/\log f(n))$ nodes in a
globally $f(n)$-way tree and at most a number in a locally $f(n)$-way tree that is determined
by the recurrence $g(n) = g(n/f(n)) + 2f(n)$. Continuing our example, note that an interval
$[c, d]$ can cover at most $O(n^\epsilon)$ nodes in either $F_1$ or $F_2$.

3.2 Constructing Many-way Separators

Let $T$ be a BFS spanning tree for $G$, and let $0 < \epsilon \leq 1/2$ be a given constant. We begin
our method for constructing an $O(n^{1/2+\epsilon})$-sized many-way separator for $G$ in parallel by
constructing each $L(i)$ in the form of an array representing a listing of the nodes on level $i$,
ordered by increasing in-order numbers\(^2\). We refer to this computation as the construction of a
level linking of $T$, which we can do efficiently by the following lemma.

\(^2\)We assume the adjacencies for any node $v$ in $T$ are cyclically ordered, and this in-order number is
consistent with this ordering.
**Lemma 3.1:** Let $T$ be an $n$ node rooted tree. One can construct a level linking of $T$ in $O(\log n)$ time using $O(n/\log n)$ processors on a CREW PRAM.

**Proof:** Using the Euler-tour technique on trees [31, 32, 45], we construct a list $L$ of the nodes in $T$ listed in the order they would be traversed in a recursive tree traversal (such as the in-order traversal), so long as the children of a node $v$ are “visited” according to their cyclic ordering around $v$. It is important to note that a node in $L$ is included each time it would be visited in such a traversal, not just when it would be assigned its, say, in-order number. With each node $v$ in this list we associate its level number $l(v)$, which can be computed by another application of the Euler-tour technique, along with calls to the well-known list ranking [3, 14] and parallel prefix [34, 35] techniques (see also [31, 32]). All of this can be implemented in $O(\log n)$ time using $O(n/\log n)$ processors. Let $v$ be a node in $T$, and let $v_{\text{first}}$ and $v_{\text{last}}$ respectively denote the first and last copies of $v$ in $L$. Notice that $v$’s left neighbor in $L(i)$ corresponds to the node left of $v_{\text{first}}$ in $L$ that is nearest to $v_{\text{first}}$ and has level label at least as large as $l(v_{\text{last}})$. Similarly, $v$’s right neighbor in $L(i)$ corresponds to the node right of $v_{\text{last}}$ in $L$ that is nearest to $v_{\text{last}}$ and has level label at least as large as $l(v_{\text{last}})$. The problem of locating all such neighbors for every node in $L$ is known as the all nearest larger values problem, can, as shown by Berkman et al. [6], this can be solved in $O(\log n)$ time using $O(n/\log n)$ processors on a CREW PRAM. Linking each node $v$ to its neighbors in $L(i)$ gives us a linked-list representation of each $L(i)$. A final application of list ranking [3, 14], then, gives us each $L(i)$ represented in an array. □

Given the $L(i)$ lists we build a binary tree $B$ “on top” of these lists, as we did in the previous section. Let $s_i$ denote the number of nodes on levels 1, 2, ..., $i$. The tree $B$ allows us to perform “binary searches” on the $s_i$ values. We allocate $O(n^i)$ processors to the task of finding each level $i$ such that the interval $(s_{i-1}, s_i]$ contains a multiple of $[n^{1-\epsilon}]$. Call such levels starter levels. We then assign $O(n^{i/2})$ processors to each starter level $i$ to locate the levels $i'$ and $i''$ nearest to $i$ such that $i'$ and $i''$ contain at most $2[I\sqrt{n}]$ nodes. Call these levels the cutter levels. Note that such levels must exist within a distance of $\sqrt{n}/2$ of each starter level, by a simple pigeon-hole argument. We have to take a bit of care here to avoid concurrent writes, which could occur if the computations for different $i$’s simultaneously discover the same cutter level. This difficulty is easily overcome, however, by limiting the search for the cutter level nearest to level $i$ to the interval of levels spanned by $i$’s predecessor and successor starter levels. Given the cutter levels, we disconnect $G$ along the nodes of each of these levels, removing each node at such a level, as well as all its adjacencies. This can be implemented in $O(\log n)$ time using $O(n/\log n)$ processors, and it decomposes $G$ into $O(n^i)$ subgraphs $G_1, G_2, \ldots, G_m$, such that each $G_i$ either has size $O(n^{1-\epsilon})$ or depth $O(n^{i/2})$.

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3Recall that a list ranking determines for each node $x$ in a linked list $A$ the rank of $x$ in $A$, and a parallel prefix sum determines for each element $x$ in an array $A$ the sum of the elements that precede $x$ in $A$. 17
Let \( n_i \) denote the number of nodes in \( G_i \). If \( n_i \) is \( O(n^{1-\epsilon}) \), then we are done. So, suppose \( n_i \) is over this threshold. That is, \( G_i \) has depth \( O(n^{1/2}) \). We treat each such \( G_i \) in parallel. Let \( l_i \) denote the top level of \( G_i \). We create a “dummy” node \( r \) and make each node \( v \) on level \( l_i \), which is currently the root of a subtree in \( G_i \), be a child of \( r \). This representation gives us a BFS tree, \( T_i \), for this augmented \( G_i \), and can easily be constructed in \( O(\log n) \) time using \( O(n_i/\log n) \) processors, given the array \( L(l_i) \). We then construct the inverse of \( T_i \), which we denote \( D_i \) (as in Section 2.2). This too can easily be constructed in \( O(\log n) \) time using \( O(n_i/\log n) \) processors.

Note that a centroid of \( D_i \) determines a non-tree edge \( e \) of \( G_i \) that in turn determines a fundamental cycle \( \mathcal{F}(e) \) that has at most \( 2n_i/3 \) nodes either inside or outside\(^4\) \( \mathcal{F}(e) \). We therefore form a centroid decomposition of \( D_i \) using the accelerated centroid decomposition method of Cole and Vishkin [15], which can be implemented for \( G_i \) in \( O(\log n) \) time using \( O(n_i/\log n) \) processors. This gives us a binary decomposition tree \( A \) such that each node \( \mu \) in \( A \) corresponds to a node in \( G_i \) that forms a centroid of the piece of \( T_i \) one gets by cutting \( T_i \) at the nodes associated with \( \mu \)’s ancestors. For each \( \mu \) in \( A \), we can determine a non-tree edge \( e_\mu \) in \( G_i \) that in turn determines a fundamental cycle \( \mathcal{F}(e_\mu) \) in \( G_i \). Each such \( \mathcal{F}(e_\mu) \) contains in its interior (or exterior) a constant fraction of the number of nodes in the piece of \( G_i \) one gets by “cutting out” the fundamental cycles associated with \( \mu \)’s ancestors. Thus, there is a level \( l \) in \( A \) of size \( O(n^\delta) \) such that the nodes on level \( l \) and higher determine a decomposition of \( G_i \) into \( O(n^\delta) \) pieces, each of size at most \( [n^{1-\epsilon}] \). Since \( G_i \) has depth \( O(n^{1/2}) \) the total size of all these fundamental cycles is \( O(n^{1/2+\epsilon}) \). Collecting the nodes on these cycles with the nodes on the cutter levels, then, gives us our separator. Let us, therefore, summarize our results so far with the following lemma:

**Lemma 3.2:** Supposed one is given an \( n \)-node embedded planar graph \( G \), a BFS spanning tree \( T \) on \( G \), and a parameter \( 0 < \epsilon < 1/2 \). Then one can construct an \( O(n^{1/2+\epsilon}) \)-size separator of \( G \) that divides \( G \) into \( O(n^\epsilon) \) subgraphs of size \( O(n^{1-\epsilon}) \). This construction can be implemented in \( O(\log n) \) time using \( O(n/\log n) \) processors on a CREW PRAM.

Iteratively applying this lemma immediately gives us the following theorem:

**Theorem 3.3:** Supposed one is given an \( n \)-node embedded planar graph \( G \) and a parameter \( 0 < \epsilon < 1/2 \). Then one can construct a globally \( O(n^\epsilon) \)-way \( O(n^{1/2+\epsilon}) \)-size separator decomposition of \( G \) in \( O(\log n) \) time using \( O(n^\epsilon) \) processors on a CRCW PRAM.

\(^4\)The alert reader will note that \( \mathcal{F}(e) \) actually bounds the number of triangles on either side of it. This causes no difficulties, however, since the number of nodes inside or outside \( \mathcal{F}(e) \) is bounded by the number of triangles that are respectively inside or outside \( \mathcal{F}(e) \) (indeed, the number of nodes may be significantly smaller than the number of triangles).
Proof: The method is a straightforward recursive application of the previous lemma. The large processor bound comes from the best known bound for constructing a BFS tree in $O(\log n)$ time (e.g., see [31, 32]). □

We give a non-trivial application of this result in the following section.

4 Parallel Polygon Triangulation

Suppose we are given a simple polygon $P$. The problem we address in this section is that of augmenting $P$ with diagonal edges so as to decompose $P$’s interior into triangles. Before we give our method, however, let us first address a problem that will arise repeatedly in our method.

4.1 Point Location in a Jordan Tessellation

Suppose we are given an $m$-edge Jordan tessellation $J$ of the plane, that is, a subdivision of $\mathbb{R}^2$ with simple, closed curves (where we two curves to share a portion of their do allow two. Suppose further that each edge in the Jordan tessellation is a piece-wise linear curve, and let $n$ denote the total number of linear pieces in $J$. (See Figure 6.) Given a point $p$, a horizontal ray-shooting query for $p$ is to determine the first point of $J$, called the shadow of $p$, that is hit by a horizontal ray emanating from $p$. We assume that we have the following ray-shooting oracle:

- Ray-shooting oracle. There is an oracle that allows us to perform a horizontal ray shoot against a single Jordan curve in $O(\log n)$ time using $\rho(n)$ processors (where $\rho(n)$ is a measure of the “complexity” of the Jordan curves that make up $J$).

In a fashion analogous to a sequential structure used by Chazelle [11], in this subsection we show how to use the parallel separator decomposition theorem (3.3) to design a data structure that allows for arbitrary horizontal ray shooting queries to be performed in $O(\log n)$ time using $O(\rho(n)m^{1/2+\epsilon})$ processors.

Let $D$ be the graph-theoretic dual of $J$, that is, the graph formed by associating a vertex with each face of $J$ and adding an edge $(v, w)$ for each pair of faces whose boundaries overlap. (See Figure 6.) Apply Theorem 3.3 to $D$ to produce a globally $m^{\epsilon}$-way separator decomposition tree $T$ for $D$, for some constant $0 < \epsilon < 1/2$. The height of this tree is $O(1/\epsilon) = O(1)$. In addition to the separator stored at each node $v$, we also store at $v$ the $O(m^{1/2+\epsilon})$ Jordan curves that are dual to the nodes of $D$ stored at $v$.

Given a horizontal ray $\tilde{r}$, we perform a ray shoot for $\tilde{r}$ as follows. Using $O(\rho(n)m^{1/2+\epsilon})$ processors we perform a ray shoot against all the Jordan curves stored at the root of $T$. This
Figure 6: A Jordan Tessellation and its dual graph (excluding the external face).
takes $O(\log n)$ time, by assumption, and returns the horizontal shadow of the head of $\vec{r}$ on each curve stored at the root of $T$. We then compute the shadow point nearest to the head of $\vec{r}$, and determine the subregion we traverse just before hitting this point. This takes an additional $O(\log m)$ time using $O(m^{1/2+c})$ processors (without using concurrent writes), and it informs us of the child $w$ of $v$ in $T$ at which we may now recurse to complete the ray shoot for $\vec{r}$. If $w$ is not a leaf, then we repeat this test at $w$. Since there are $O(1)$ levels in $T$, this procedure clearly runs in $O(\log n)$ time. Therefore, we have the following theorem:

**Theorem 4.1:** Suppose one is given an $m$-edge planar Jordan tessellation $J$. Suppose further that there exists an oracle that can perform a horizontal ray shooting query for a single Jordan curve in $J$ in $O(\log n)$ time using $\rho(n)$ processors. Then one can construct a data structure for $J$ in $O(\log n)$ time using $O(m^3)$ processors on a CRCW PRAM that allows $O(\rho(n)m^{1/2+c})$ CREW PRAM processors to perform horizontal ray shooting queries in $J$ in $O(\log n)$ time, where $\epsilon$ is any constant such that $0 < \epsilon < 1/2$.

This theorem plays an important role in our method for polygon triangulation, which we now describe.

### 4.2 Our Polygon Triangulation Algorithm: An Overview

Suppose we are given a simple polygonal chain$^5$ $P$. Following elegant conventions used by Chazelle [11], as well as Kirkpatrick, Klawe, and Tarjan [33], we view the edges of $P$ as having two distinct sides and we view $P$ as being embedded in a sphere. A submap of $P$ is the planar (i.e., spherical) subdivision that is determined by adding edges, called chords, from some distinguished vertices to their shadow points, defined by performing horizontal ray shooting operations from each distinguished vertex in both directions, counting only chords as dual to edges. Because of the two-sided nature of $P$’s boundary, a horizontal ray only hits one side of an edge. Indeed, we store the shadow points on $P$ in two lists, the shadows on the “left” side and the shadows on the “right” side, both of which are ordered along $P$. By adopting the convention that $P$ is embedded in a sphere, we view horizontal ray shooting operations that “miss” $P$ as actually wrapping around the sphere and hitting $P$ from the other side. (See Figure 7.)

Following Chazelle’s approach [11], our method for constructing a triangulation of $P$ is to construct a submap of $P$ and then refine that submap into a trapezoidal map, that is, the decomposition formed by adding an edge from each vertex of $P$ to its shadows on $P$’s boundary. By a well-known result of Fournier and Montuno [21], constructing a trapezoidal map is linear-time equivalent to polygon triangulation. Indeed, several recent triangulation

$^5$We describe our method assuming a possibly open polygonal chain, since our method is based upon applying recursion to the edges of this chain.
algorithms (e.g., [11, 33, 44]) actually produce a trapezoidal map and then apply this result to construct a triangulation. In our case, we will construct a trapezoidal map and then apply an algorithm due to the author [24] to convert this trapezoidal map into a triangulation in parallel.

So, let us begin our discussion by describing the specific kind of submap we construct in the first phase of our algorithm. Let $S$ be a submap of $P$, and let $D$ be the graph-theoretic dual of $S$. Because of the two-sided nature of $P$, there are no edges in $D$ that correspond to adjacencies that cross the boundary of $P$; hence, $D$ is a tree. Following the terminology of Chazelle [11], we say that $S$ is conformal if $D$ has degree at most 4. Each region $R$ in $S$ (and its associated node in $D$) is assigned a weight, where the weight of a region $R$ is the maximum number of polygon edges on any arc in $R$, whereby arc we refer to a maximal continuous portion of $P$’s boundary. $S$ is $\gamma$-granular if each of its regions has weight at most $\gamma$ and compressing any edge $e$ in $D$ incident upon a node of degree 3, by removing the chord dual to $e$, would create a node with weight more than $\gamma$. (See Figure 7.) As the following lemma shows, conformity and granularity imply an “even distribution” of regions:

**Lemma 4.2 (Chazelle [11]):** A $\gamma$-granular conformal submap of an $n$-edge polygonal curve $P$ has $O(n/\gamma + 1)$ regions and each region is bounded by $O(\gamma)$ edges.

We concentrate on submaps whose granularity is a function of $n$, namely, we are interested in $n^\delta$-granular conformal submaps, where $\delta$ is some constant such that $0 < \delta < 1$. In addition
to the adjacency information for the nodes, arcs, and edges in such a submap, we require that it be augmented with a few important data structures. In particular, we say that an \( n^\lambda \)-granular conformal submap is \emph{fully augmented} if it has the following data structures associated with it:

- A \emph{(binary) centroid decomposition tree for} \( D \). We have a binary centroid decomposition of \( D \), the dual spanning tree \( D \) of \( S \).

- A \emph{ray-shooting data structure}. We have a data structure that allows for horizontal ray shooting in \( S \) to be performed in \( O(\log n) \) time using \( O(n^{\delta^2}) \) processors.

- A \emph{chain-cutting data structure}. We have a data structure that allows for chain-cutting operations to be performed in \( O(\log n) \) time using \( O(n^\epsilon) \) processors, for some constant \( 0 < \epsilon < 1 \). Given a subchain \( P' \) of \( P \), a \emph{cutting of} \( P' \) is a partitioning of \( P' \) into \( O(n^\epsilon) \) chains \( J_1, J_2, \ldots, J_l \) such that each \( J_i \) has an associated fully augmented \( n_i^\lambda \)-granular conformal submap, where \( n_i = |J_i| \).

Given an \( n \)-edge simple polygon \( P \), the first phase in our algorithm is to construct a fully augmented \( n^\lambda \)-granular conformal submap of \( P \), for some constant \( 0 < \delta < 1 \), in \( O(\log n) \) time using \( O(n/\log n) \) processors. Our method is based on an \( n \)-way divide-and-conquer merge where \( 0 < \epsilon < 1 \) is a constant to be determined in the analysis\(^6\).

The structure of our algorithm is as follows:

1. We begin our algorithm by dividing \( P \) into subchains \( P_1, P_2, \ldots, P_m \) of size \( n^{1-\epsilon} \) each (so \( m \) is \( O(n^\epsilon) \)) and recursively constructing a (fully augmented) \( n_i^\lambda \)-granular submap \( S_i \) of each \( P_i \) in parallel, where \( n_i = |P_i| \).

2. We merge all the submaps \( S_1, S_2, \ldots, S_m \) into a single submap \( S' \), which may not be conformal nor \( n^\lambda \)-granular. We implement this step by performing horizontal ray shooting queries for every chain endpoint in an \( S_i \). This step requires \( O(\log n) \) time using \( O(n^{1-\epsilon+\delta^2+2\epsilon}) \) processors.

3. We refine \( S' \) into a conformal submap \( S'' \) by adding extra chords as necessary. We implement this step by dividing each region in \( S' \) into \( O(n^{3\delta}) \) chains with fully augmented \( n^\delta \)-granular conformal submaps, and then performing a parallel search for each pair of such chains to try to find a vertex on one chain that horizontally sees an edge on the other. This step requires \( O(\log n) \) time using \( O(n^{1-\epsilon+\delta^3+2\delta+8\epsilon}) \) processors.

\(^6\)So as to relieve the suspense a bit, let us foreshadow here that \( \epsilon = 1/80 \) and \( \delta = 7/10 \) will prove to be acceptable values for these algorithmic parameters.
4. We contract $S''$ into an $n^\epsilon$-granular conformal submap $S$ by removing chords as necessary. We implement this step by a recursive procedure based on a centroid decomposition of the dual tree for $S''$ that runs in $O(\log n)$ time using $O(n^{1-\delta+4\epsilon})$ processors.

5. We conclude by constructing a ray shooting data structure for $S$ and decomposition tree for the dual graph of $S$. We need not explicitly construct a chain-cutting data structure for $S$, however, for the recursion tree for our algorithm can be used for such operations. This is because our recursion tree is a locally $n^\epsilon$-way tree, which implies, by an observation from Section 3.1, that any subchain of $P$ covers $O(n^\epsilon)$ nodes in our recursion tree. This step runs in $O(\log n)$ time using $O(n^{2(1-\delta)})$ processors, and produces a structure that allows ray shooting queries to be performed in $O(\log n)$ time using $O(n^{(1-\delta)/2+\delta^2+3\epsilon})$ processors.

Let us, therefore, describe in detail how we implement each of these steps. Since Step 1 is the divide-and-recurse step, we begin with Step 2.

### 4.3 Merging Submaps

So, suppose we have a polygonal curve $P$ that has been divided into $m = O(n^\epsilon)$ subcurves $P_1, P_2, \ldots, P_m$ of size $O(n^{1-\epsilon})$ each, such that, for each $P_i$, we have a fully augmented $n_i^\epsilon$-granular conformal submap $S_i$, where $n_i = |P_i|$. In this section we describe how to merge all the submaps into a single submap in parallel.

For each endpoint $p$ of a chain $P_i$, we perform a horizontal ray shoot with respect to each of the $O(n^\epsilon)$ other chains to determine the shadow point(s) for $p$ with respect to $P$. The segments from all such $p$'s to their shadows define the chords in this new submap, $S'$, of $P$. For any such point $p$, this computation takes $O(\log n)$ time and, since each $P_i$ has a fully augmented submap, it requires $O(n^{\delta^2})$ processors\(^7\) for each of the $O(n^\epsilon)$ ray shooting queries for $p$. Thus, since there are $O(n^{1-\delta+\epsilon})$ such endpoints, this step requires

$$O(n^{1-\delta+\epsilon^2+2\epsilon})$$

processors in total. We then sort the shadow points with respect to their ordering around $P$ to determine all the adjacencies between endpoints and shadow points, and also between consecutive shadow points. This can be implemented in $O(\log n)$ time using $O(n^{1-\delta+\epsilon})$ processors [13] (which is clearly dominated by (1)).

**Lemma 4.3:** The number of Jordan curves (arcs) bounding any region in $S'$ is $O(n^\epsilon)$.

\(^7\)For notational simplicity, we will often use $n$ in place of $\max\{n_i : i = 1, 2, \ldots, m\}$, even though this quantity is $O(n^{1-\epsilon})$. This convention will have only a marginal, albeit pessimistic, effect on our processor bounds, but it will allow us to avoid complicating the exponents in our analysis with a lot of inconsequential “$1-\epsilon$” terms. For example, the true processor bound here is $O(n^{(1-\epsilon)\delta^2})$. 

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Proof: Chazelle [11] shows that in any submap, $S$, the Jordan curves (not counting chords) bounding a region $R$ appear in the order they occur on $P$. Thus, if $R$ is a region in $S'$, $R$’s boundary contains a (possibly empty) collection of arcs from $P_1$, followed by a (possibly empty) collection of arcs from $P_2$, and so on, until it terminates with a (possibly empty) collection of arcs from $P_m$. Since the submaps for each of the $P_i$’s were conformal, each such $P_i$ can contribute at most $O(1)$ arcs. Therefore, the total number of curves on $R$’s boundary is $O(n')$. □

At this point in the algorithm we have a submap consisting of $O(n^{1-\delta+c})$ regions, where each region $R$ is bounded by $O(n^c)$ chains of weight $O(n^\delta)$ each (because of the granularity of the recursively-computed submaps). This submap may not be conformal, however.

4.4 Achieving Conformality

So we must refine the submap $S'$ to make it be conformal. Let $R$ be a region in the submap. By the chain-cutting structure, we can divide each chain in $R$ into $O(n^c)$ sub-chains, $C_1, C_2, \ldots$, of size $O(n^\delta)$ each, such that each such subchain $C_i$ has a fully augmented $n^{\delta^2}$-granular submap built upon it. In fact, we make yet another application of chain-cutting, so as to divide each subchain $C_i$ into $O(n^c)$ smaller chains, $c_1, c_2, \ldots$, of size $O(n^{\delta^2})$ each, such that each $c_j$ has a fully augmented $n^{\delta^3}$-granular submap built upon it. This, of course, implies that each region $R$ has been partitioned into $O(n^{3c})$ chains, each of which has a centroid decomposition tree and a ray-shooting data structure to go with it.

For each $c_j$ we wish to determine if there is a vertex on $c_j$ that can horizontally see some edge on $c_k$, for each other $c_k$. We call each such chord a valid chord. To locate all the valid chords, we perform a globally $O(n^c)$-way search down the centroid decomposition tree $B_j$, for $c_j$, to drive a search for a visible edge on $c_k$, which we perform for each $c_k$ in parallel. Of course, $B_j$ is a binary tree, so we implement this by searching $c \log n$ levels down the decomposition tree for $c_j$ and performing a “probe” for each of the $O(n^c)$ nodes on that level. The probe that we must perform in this case is that we have a horizontal chord $ab$, determined by a centroid in $B_j$, and we wish to find the first edge in $R$ that is hit by the ray $\overrightarrow{ab}$ (with $a$ as the head). Given such a chord $ab$, we perform a ray-shooting query against each of the $O(n^{3c})$ subchains in $R$. Each such ray-shooting query requires $O(\log n)$ time using $O(n^\delta)$ processors (because of the ray-shooting structure that accompanies each $c_k$). By a local test with respect to this shadow point, we can determine which node in the decomposition tree from which to continue our search for a visible edge of $c_k$ (which we determine for each $c_k$ in parallel). This local test is similar to a binary-search test given by Chazelle [11] and is based on the fact that the edges bounding $R$ occur in the same order they occur on $P$’s boundary. We leave the details of this test to the reader.
In searching down $B_j$ for some $c_k$, we must perform $O(1)$ rounds of local tests, where a single round consists of $O(n^k)$ ray shooting queries being performed in parallel. The total overhead in setting up these searches requires only $O(\log n)$ time, and after performing these $O(1)$ probes, each of which requires $O(\log n)$ time, we will reach a leaf in $B_j$. Performing these internal-node probes for all the $O(n^{2c})$ chains in $R$ requires $O(n^{\delta+4c})$ processors. Upon reaching a leaf node $v$ in $B_j$ in the search for a valid chord to $c_k$, we must then perform a ray shooting query for each vertex on the chain associated with $v$. There are $O(n^\delta)$ vertices on this chain, and each requires $O(n^{\delta+3c})$ processors to answer its query. Therefore, we can implement the leaf-level searches for all the $O(n^{3c})$ chains in $R$ in $O(\log n)$ time using $O(n^{\delta+\delta^2+6c})$ processors. This implies that we can implement all the searches (for both the internal-node and the leaf-node ray shooting queries) in $O(\log n)$ time using $O(n^{\delta+\delta^2+7c})$ processors. Performing the ray shooting queries for all the regions in $S'$, therefore, requires $O(\log n)$ time using

$$O(n^{1-\delta+\delta^2+\delta^2+8c})$$

processors in total.

**Lemma 4.4:** Adding all valid chords to $S'$ using the above method creates a submap $S''$ that is conformal and contains at most $O(n^{1-\delta+4c})$ arcs.

**Proof:** Suppose there is region $R$ in $S'$ with whose boundary contains the sequence of curves $c_1, c_2, \ldots, c_l$, with $l > 4$. Chazelle [11] shows that in such a region there are a pair of curves $c_j$ and $c_k$ on $R$ that contain two mutually horizontally-visible points with $|k - j| > 1$. But our method finds all pairs of curves $c_j$ and $c_k$ with two mutually horizontally-visible points and adds a chord for such a pair. Therefore, no such $R$ can exist in $S'$. As for the total number of arcs, note that before we added all of these extra chords, we had $O(n^{1-\delta+\epsilon})$ regions in our submap. Moreover, by Lemma 4.3 and the fact that we decomposed each region twice using the chain-cutting structure, each region in this submap had $O(n^{3c})$ arcs. Since each region is topologically equivalent to a circle and the added chord topologically equivalent to non-intersecting chords in this circle, the total number of extra chords we can add per region is $O(n^{3c})$. □

### 4.5 Achieving $n^\delta$-Granularity

Having constructed a conformal submap $S''$, we must then turn it into an $n^\delta$-granular submap $S$. We do this by recursion on a centroid decomposition of the dual tree $D''$ of $S''$. That is, we find a centroid edge $e$ in $D''$, disconnect $D''$ at $e$, recursively contract the two subtrees this creates, and then (by a local test) determine if we should compress $e$ (by removing the
chord dual to $e$). After a preprocessing step that computes a centroid decomposition of $D''$ in $O(\log n)$ time [15], this can easily be implemented in $O(\log n)$ time, using

$$O(n^{1-\delta+4\epsilon})$$

processors.

So, we now have an $n^\delta$-granular conformal submap. We have only to augment it with the necessary data structures.

### 4.6 Augmenting the Submap

Recall that our submap must be augmented with a centroid decomposition tree for $D$, a ray-shooting data structure, and a chain-cutting data structure. Now that we have $D$, the dual tree for $S$, the first of these is fairly straightforward to construct in $O(\log n)$ time using

$$O(n^{1-\delta}/\log n)$$

processors [15].

The second structure, used for ray shooting queries, requires a little more effort, however. Each chain in $S$ consists of $O(n^\delta)$ edges; hence, by the chain-cutting structure, each such chain can be partitioned into $O(n^\epsilon)$ subchains of size $O(n^\delta)$ each, such that each subchain has a fully augmented $n^{\delta^2}$-granular conformal submap. In fact, each such subchain can be further partitioned into $O(n^\delta)$ chains of size $O(n^\delta)$ each, such that each has a fully augmented $n^{\delta^3}$-granular conformal submap. This implies that we can perform a ray-shooting query against any Jordan chain in $S$ in $O(\log n)$ time using $\rho(n) = O(n^{\delta^4+2\epsilon})$ processors. Actually, if one desires a more formal characterization of the running time of a query, then one can let $T_q(n)$ denote its running time, where $T_q(n) \leq T_q(n^\delta) + b \log n$, for some constant $b > 0$, which implies that $T_q(n)$ is $O(\log n)$.

This gives us a collection of Jordan chains for which we would like to apply Theorem 4.1 to derive a point-location structure, but that theorem requires that we view $S$ as a Jordan tessellation; hence, we must merge the list of shadow points on the “left” side of $P$ with the list of shadow points on the “right” side of $P$ so as to produce a dual graph $G$ for $S$ (viewed as a Jordan Tessellation). Because $S$ is an $n^\delta$-granular conformal submap, $G$ has size $O(n^{1-\delta})$; hence, applying Theorem 4.1 to the Jordan tessellation induced by $G$ to construct a ray shooting data structure for $S$ (in $O(\log n)$ time) requires

$$O(n^{3(1-\delta)})$$

processors. This structure allows ray shooting queries to be answered in $O(\log n)$ time using

$$O(n^{(1-\delta)/2+\delta^4+3\epsilon})$$

processors.
processors, also by Theorem 4.1. Note that in order to satisfy the induction invariant for the ray shooting query, we must choose the appropriate values for $\epsilon$ and $\delta$ so that (6) is $O(n^{\delta^2})$. Assuming such values can be chosen (which we show below), this completes our construction of an $n^\delta$-granular conformal submap of $P$.

Let us, therefore, analyze the time and processor bounds for this method. The time bounds are determined by the recurrence relation $T(n) = T(n^{1-\epsilon}) + b \log n$, for some constant $b > 0$, which implies that $T(n)$ is $O(\log n)$. If we desire that our merging procedure uses only $O(n^{1-\alpha})$ processors for some constant $0 < \alpha < 1$, then the processor bounds specified above in Equations (1)-(5) imply the following non-redundant\(^8\) constraints for $\epsilon$ and $\delta$:

\[
1 - \delta + \delta^2 + 2\epsilon < 1 \\
1 - \delta + \delta^3 + \delta^4 + 8\epsilon < 1 \\
3(1 - \delta) < 1.
\]

Moreover, we need to satisfy the induction invariant that the ray shooting data structure accompanying our submap requires only $O(n^{\delta^2})$ processors, which, by the above claim, implies that

\[
(1 - \delta)/2 + \delta^4 + 3\epsilon \leq \delta^2.
\]

There are, in fact, an infinite number of possible assignments for $\epsilon$ and $\delta$ that satisfy Equations (7)-(10). For example, one possibility is to set $\epsilon = 1/80$ and $\delta = 7/10$. Thus, we have the following:

**Lemma 4.5:** Suppose one is given an $n$-vertex polygonal chain $P$ partitioned into $O(n^\epsilon)$ subchains $P_1, P_2, \ldots, P_m$, such that each $P_i$ has an associated fully augmented $n_i^\delta$-granular submap (where $n_i = |P_i|$), for some positive constants $\delta$ and $\epsilon$ that satisfy Equations (7)-(10). Then one can construct a fully augmented $n^\delta$-granular conformal submap for $P$ in $O(\log n)$ time using $O(n^{1-\alpha})$ processors in the CRCW PRAM model, for some constant $\alpha > 0$.

Using this lemma to drive our divide-and-conquer algorithm, then, gives us the following theorem:

**Theorem 4.6:** Given an $n$-vertex polygonal chain $P$, one can construct a fully augmented $n^\delta$-granular conformal submap for $P$, for some positive constant $0 < \delta < 1$, in $O(\log n)$ time using $O(n/\log n)$ processors in the CRCW PRAM model, by the above $n^\epsilon$-way divide-and-conquer algorithm.

\(^8\)Equations (3) and (4) are subsumed by the other constraints.
Proof: We have already established the time bound. If we let $W(n)$ denote the work performed by our algorithm then $W(n) \leq \sum W(n_i) + bn^{1-\alpha} \log n$, for some constants $b > 0$ and $0 < \alpha < 1$, where $n_i$ is $O(n^{1-\epsilon})$. For the base case, when $n$ is below some constant, then we construct a fully augmented $n^\delta$-granular submap for $P$ using the sequential algorithm of Chazelle [11]. This implies that $W(n)$ is $O(n)$. Having established the work bound to be $O(n)$, we may then make a simple application of Brent’s Theorem [9] (which is also known as the work-time scheduling principle [31]) to establish the processor bounds. In order to apply this theorem we must be able to satisfy two conditions: (1) we must be able to determine the number “real” computations being performed in each step, and (2) we must be able to map these computations to the $O(n/\log n)$ processors we are using in the simulation. In this case we can satisfy these conditions by either an ad-hoc method based on the recurrence relation for $W(n)$ or by applying the duration-unknown task scheduling method of Cole and Vishkin [14] or Cole and Zajicek [16]. □

4.7 The Trapezoidal Map

Of course, we wish to construct a trapezoidal map, not merely an $n^\delta$-granular conformal submap. That is, we desire the subdivision of $P$ determined by the chords produced by a horizontal ray shooting operation from each vertex on $P$. In this section we show how to use the procedures outlined above to refine such a submap into a trapezoidal map. Our method runs in $O(\log n)$ time using $O(n/\log n)$ processors on a CRCW PRAM.

We begin by constructing a fully augmented $n^\delta$-granular conformal submap $S$ using the algorithm of the previous section. In fact, let us view this as a preprocessing step. Each region $R$ in $S$ consists of $O(1)$ polygonal chains $C_1, C_2, \ldots, C_t$, each of which contains $O(n^\delta)$ edges. Because we have constructed a fully augmented submap, we can apply the chain-cutting structure to partition each such $C_i$ into $O(n^\epsilon)$ subchains of size $O(n^\delta)$ each, such that each subchain has an associated $n^{\delta^2}$-granular conformal submap. We may therefore apply Lemma 4.5 to construct an $n^{\epsilon^2}$-granular conformal submap of $R$. Our algorithm, then, iteratively applies chain-cutting and Lemma 4.5 to the newly created regions until we have a trapezoidal map for $P$. If we view this iterative algorithm as a recursive procedure, then we may characterize the running time as $T(n) = T(n^\delta) + O(\log n)$, which implies that $T(n)$ is $O(\log n)$. Also, the work bound is characterized by $W(n) = \sum W(n_i) + n^{1-\alpha} \log n$, for some constants $b > 0$ and $0 < \alpha < 1$, which implies that $W(n)$ is $O(n)$. Thus, we have the following theorem:

**Theorem 4.7:** Given an $n$-vertex simple polygon $P$, one can triangulate $P$ in $O(\log n)$ time using $O(n/\log n)$ processors in the CRCW PRAM model.
Proof: Apply the above algorithm to produce a trapezoidal map of $P$, and then apply the result of the author [24] to refine this into a triangulation. □

An immediate consequence of this theorem is that it eliminates the bottleneck computation in the algorithm of Goodrich et al. [25] so that one can now preprocess a polygon $P$ in $O(\log n)$ time using $O(n/\log n)$ processors so as to answer shortest path queries inside $P$ in $O(\log n)$ time using a single processor.

5 Conclusion

We have given optimal algorithms for sequentially constructing planar separator decompositions and triangulating a simple polygon in parallel, both of which are problems with many applications. Indeed, our planar separator result has been used recently by Eppstein et al. [18] in improved methods for dynamic planar graph algorithms, and our parallel polygon triangulation result has been used recently by Hershberger [30] for improved parallel computational geometry algorithms.

Although our methods for solving these two problems are quite different, they are both based upon similar paradigms. One of these paradigms is the dynamic maintenance of a planar graph using a spanning tree and its inverse. Indeed, this tree interlacing technique has already proved useful for solving a number of other problems as well [19, 26]. The second main paradigm our two algorithms share is that they both employ the divide-and-conquer technique, but in a slightly non-standard way. In particular, in addition to the usual subproblem information passed to recursive calls, both of our algorithms also pass rather sophisticated data structures already built on the elements that comprise these subproblems. This technique is certainly not new to this paper, for it is used in such methods as Chazelle’s algorithm for polygon triangulation [11] and the centroid decomposition algorithm of Guibas et al. [27], but its use in this paper provides further evidence of its power. It is sure to appear again in future divide-and-conquer algorithms.

References


