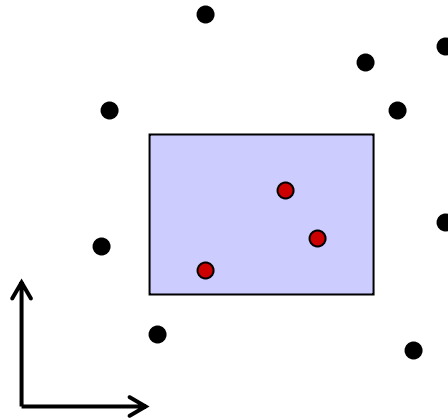


Computational Geometry



K-D Trees

Michael Goodrich

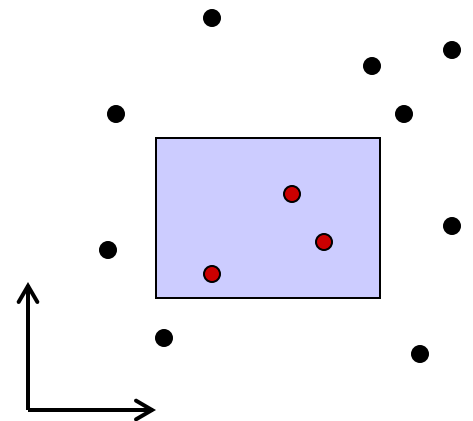
with slides from Carola Wenk

Orthogonal range searching

Input: A set P of n points in d dimensions

Task: Process P into a data structure that allows fast orthogonal range queries. Given an axis-aligned *box* (in 2D, a rectangle)

- Report on the points inside the box:
 - Are there any points?
 - How many are there?
 - List the points.

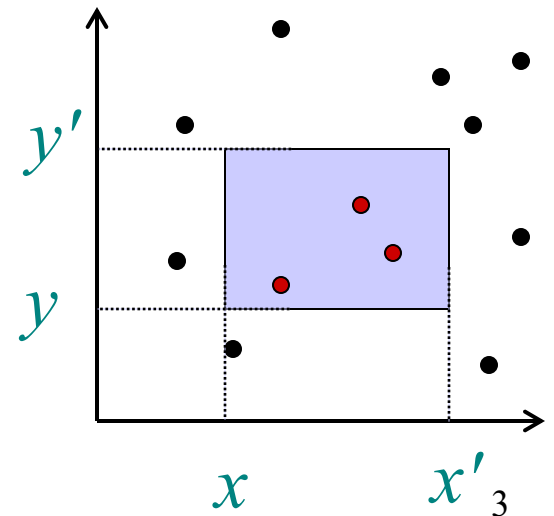


Orthogonal range searching: KD-trees

Let us start in 2D:

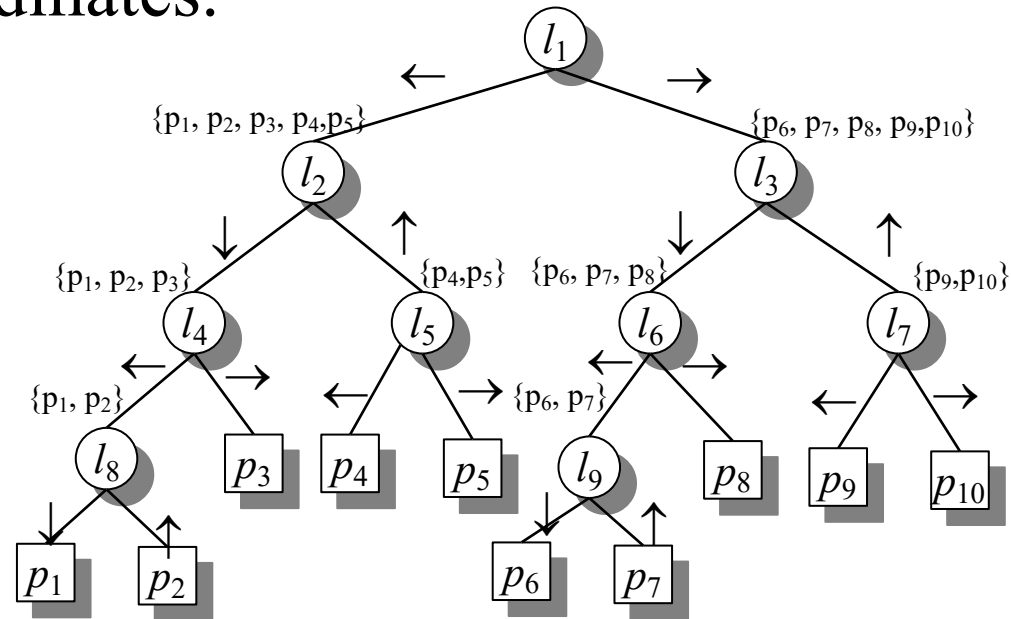
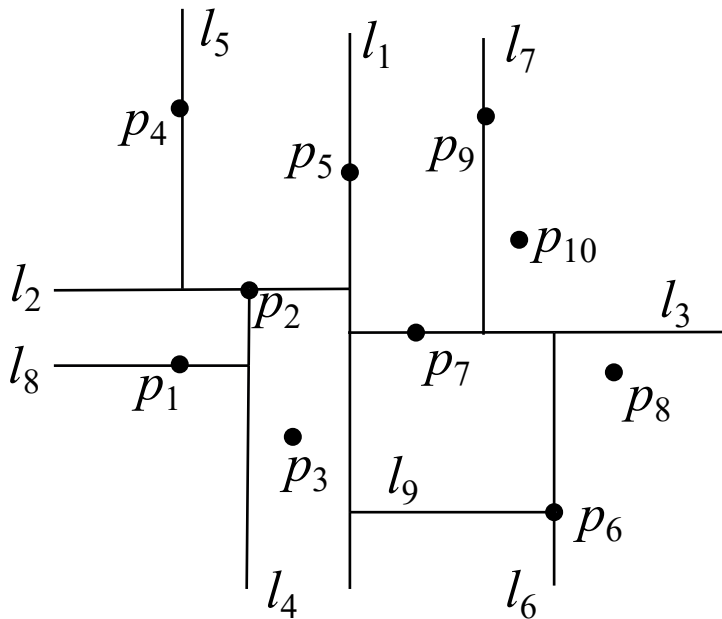
Input: A set P of n points in 2 dimensions

Task: Process P into a data structure that allows fast 2D orthogonal range queries:
Report all points in P that lie in the query rectangle $[x, x'] \times [y, y']$



KD trees

Idea: Recursively split P into two sets of the same size, alternatingly along a vertical or horizontal line through the median in x - or y -coordinates.



BuildKDTree

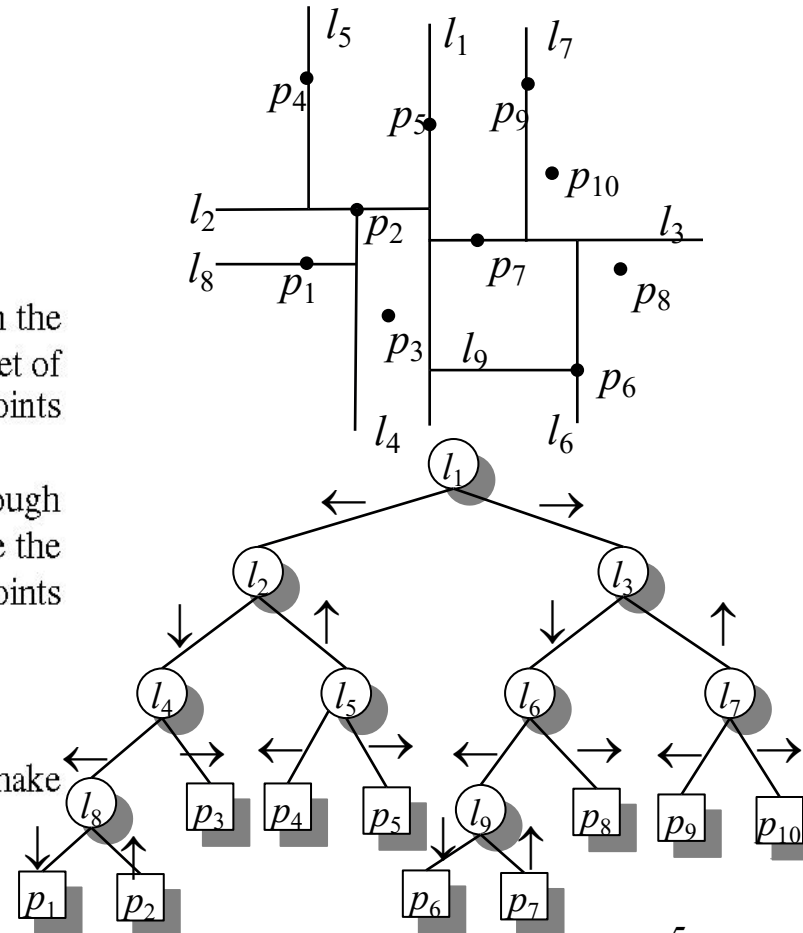
Idea: Recursively split P into two sets of the same size, alternatingly along a vertical or horizontal line through the median in x - or y -coordinates.

Algorithm BUILDKDTREE($P, depth$)

Input. A set of points P and the current depth $depth$.

Output. The root of a kd-tree storing P .

1. **if** P contains only one point
2. **then return** a leaf storing this point
3. **else if** $depth$ is even
4. **then** Split P into two subsets with a vertical line ℓ through the median x -coordinate of the points in P . Let P_1 be the set of points to the left of ℓ or on ℓ , and let P_2 be the set of points to the right of ℓ .
5. **else** Split P into two subsets with a horizontal line ℓ through the median y -coordinate of the points in P . Let P_1 be the set of points below ℓ or on ℓ , and let P_2 be the set of points above ℓ .
6. $v_{left} \leftarrow \text{BUILDKDTREE}(P_1, depth + 1)$
7. $v_{right} \leftarrow \text{BUILDKDTREE}(P_2, depth + 1)$
8. Create a node v storing ℓ , make v_{left} the left child of v , and make v_{right} the right child of v .
9. **return** v



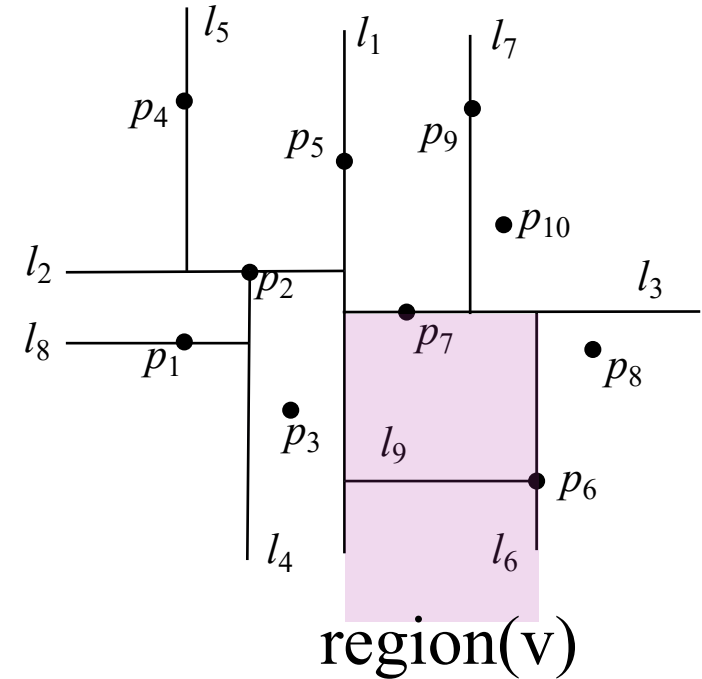
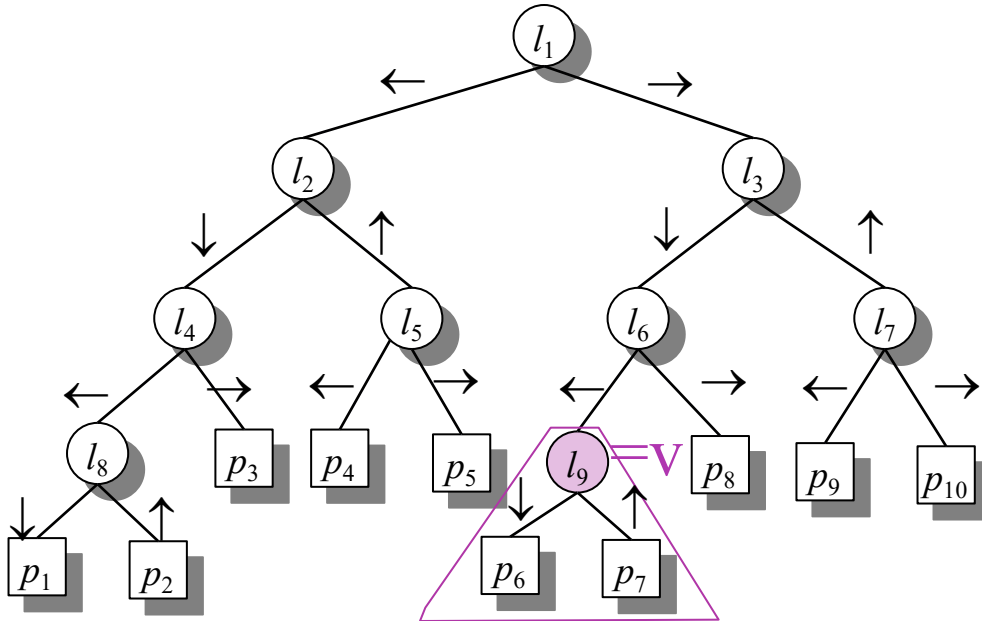
BuildKDTree Analysis

- Sort P separately by x - and y -coordinate in advance
- Use these two sorted lists to find the median
- Pass sorted lists into the recursive calls
- Runtime:

$$T(n) = \begin{cases} O(1) & , n = 1 \\ O(n) + 2T\left(\frac{n}{2}\right) & , n > 1 \end{cases}$$
$$= O(n \log n)$$

- Storage: $O(n)$, because binary tree on n leaves, and each internal node has two children.

Regions



- $lc(v) = \text{left_child}(v)$
 - $region(lc(v)) = region(v) \cap l(v)^{\text{left}}$
- \Rightarrow Can be computed on the fly in constant time

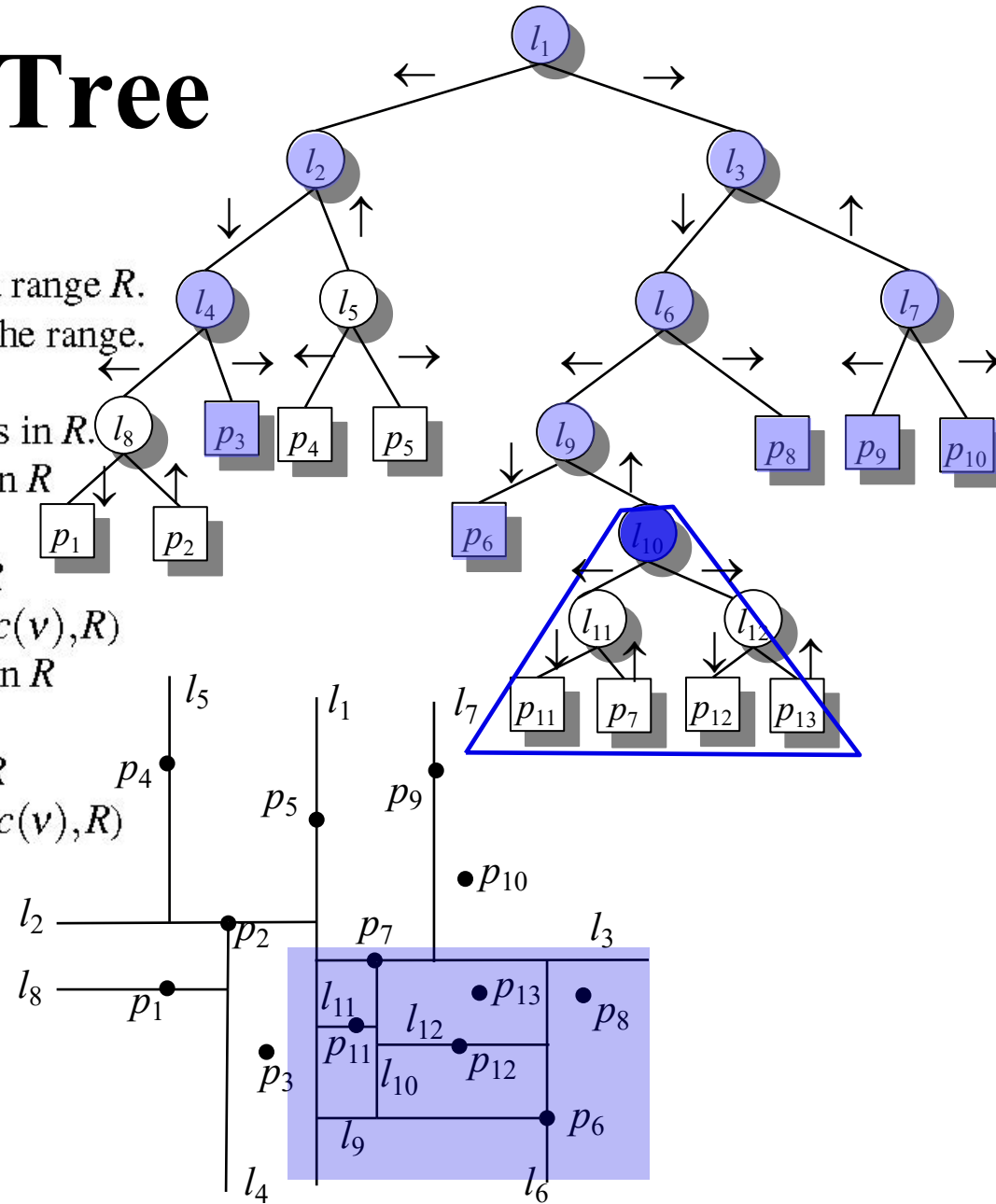
SearchKDTree

Algorithm SEARCHKDTREE(v, R)

Input. The root of (a subtree of) a kd-tree, and a range R .

Output. All points at leaves below v that lie in the range.

1. **if** v is a leaf
2. **then** Report the point stored at v if it lies in R .
3. **else if** $region(lc(v))$ is fully contained in R
4. **then** REPORTSUBTREE($lc(v)$)
5. **else if** $region(lc(v))$ intersects R
6. **then** SEARCHKDTREE($lc(v), R$)
7. **if** $region(rc(v))$ is fully contained in R
8. **then** REPORTSUBTREE($rc(v)$)
9. **else if** $region(rc(v))$ intersects R
10. **then** SEARCHKDTREE($rc(v), R$)



How many nodes
does a search touch?

SearchKDTree Analysis

Theorem: A kd-tree for a set of n points in the plane can be constructed in $O(n \log n)$ time and uses $O(n)$ space. A rectangular range query can be answered in $O(\sqrt{n} + k)$ time, where $k = \#$ reported points.

(Generalization to d dimensions: Also $O(n \log n)$ construction time and $O(n)$ space, but $O(n^{1-\frac{1}{d}} + k)$ query time.)

SearchKDTree Analysis

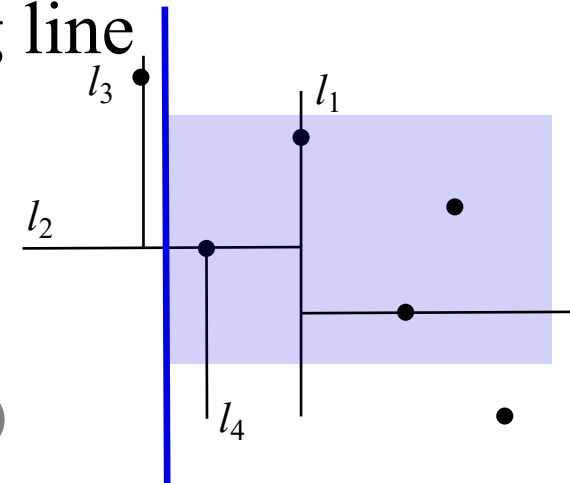
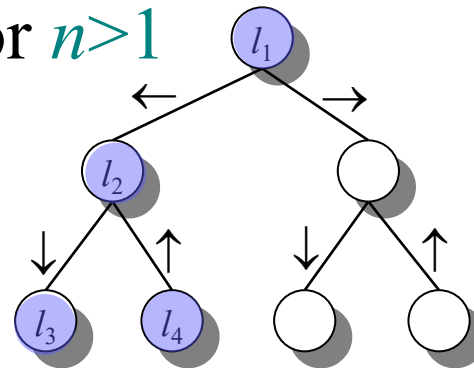
Proof Sketch:

- Sum of # visited vertices in ReportSubtree is $O(k)$
 - # visited vertices that are not in one of the reported subtrees = $O(\# \text{ regions}(v) \text{ intersected by a query line})$
- \Rightarrow Consider intersections with a **vertical line** only.

Let $Q(n) = \#$ intersected regions in kd-tree of n points whose root contains a vertical splitting line

$\Rightarrow Q(n) = 2 + 2Q(n/4)$, for $n > 1$

$\Rightarrow Q(n) = O(\sqrt{n})$



Summary Orthogonal Range Searching

Range trees

Query time: $O(k + \log^{d-1} n)$ to report k points

(uses fractional cascading in the last dimension)

Space: $O(n \log^{d-1} n)$

Preprocessing time: $O(n \log^{d-1} n)$

KD-trees

Query time: $O(n^{1-\frac{1}{d}} + k)$ to report k points

Space: $O(n)$

Preprocessing time: $O(n \log n)$