

# **Constraint Satisfaction Problems (CSPs)**

## **Introduction and Backtracking Search**

This lecture topic (two lectures)

Chapter 6.1 – 6.4, except 6.3.3

Next lecture topic (two lectures)

Chapter 7.1 – 7.5

(Please read lecture topic material before and after  
each lecture on that topic)

# Outline

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- What is a CSP?
- Backtracking Search for CSP
- Variable selection (ordering)
  - Minimum Remaining Values (MRV) heuristic
  - Degree Heuristic
- Value selection (ordering)
  - Least Constraining Value (LCV) heuristic

## You Will Be Expected to Know

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- Basic definitions (section 6.1)
- Backtracking search (6.3)
- Variable ordering or selection (6.3.1)
  - minimum-remaining values
  - degree heuristic
- Value ordering or selection (6.3.1)
  - least-constraining-value

# Constraint Satisfaction Problems

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- What is a CSP?
  - Finite set of variables  $X_1, X_2, \dots, X_n$
  - Nonempty domain of possible values for each variable  $D_1, D_2, \dots, D_n$
  - Finite set of constraints  $C_1, C_2, \dots, C_m$ 
    - Each constraint  $C_i$  limits the values that variables can take,
    - e.g.,  $X_1 \neq X_2$
  - Each constraint  $C_i$  is a pair  $\langle \text{scope}, \text{relation} \rangle$ 
    - Scope = Tuple of variables that participate in the constraint.
    - Relation = List of allowed combinations of variable values.  
May be an explicit list of allowed combinations.  
May be an abstract relation allowing membership testing and listing.
- CSP benefits
  - Standard representation pattern
  - Generic goal and successor functions
  - Generic heuristics (no domain specific expertise).

## Sudoku as a Constraint Satisfaction Problem (CSP)

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- Variables: 81 variables
  - $A_1, A_2, A_3, \dots, A_{17}, A_{18}, A_{19}$
  - Letters index rows, top to bottom
  - Digits index columns, left to right

	1	2	3	4	5	6	7	8	9
A		6		1		4		5	
B			8	3		5	6		
C	2								1
D	8			4		7			6
E			6				3		
F	7			9		1			4
G	5								2
H			7	2		6	9		
I		4		5		8		7	

- Domains: The nine positive digits
  - $A_1 \in \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$
  - Etc.
- Constraints: 27 *Alldiff* constraints
  - *Alldiff*( $A_1, A_2, A_3, A_4, A_5, A_6, A_7, A_8, A_9$ )
  - Etc.

# Random Binary CSP

(adapted from <http://www.unitime.org/csp.php>)

- A random binary CSP is defined by a four-tuple  $(n, d, p_1, p_2)$ 
  - $n$  = the number of variables.
  - $d$  = the domain size of each variable.
  - $p_1$  = probability a constraint exists between two variables.
  - $p_2$  = probability a pair of values in the domains of two variables connected by a constraint is incompatible.
    - Note that R&N lists compatible pairs of values instead.
    - Equivalent formulations; just take the set complement.
- $(n, d, p_1, p_2)$  are used to generate randomly the binary constraints among the variables.
- The so called model B of Random CSP  $(n, d, n_1, n_2)$ 
  - $n_1 = p_1 n(n-1)/2$  pairs of variables are randomly and uniformly selected and binary constraints are posted between them.
  - For each constraint,  $n_2 = p_2 d^2$  randomly and uniformly selected pairs of values are picked as incompatible.
- The random CSP as an optimization problem (minCSP).
  - Goal is to minimize the total sum of values for all variables.

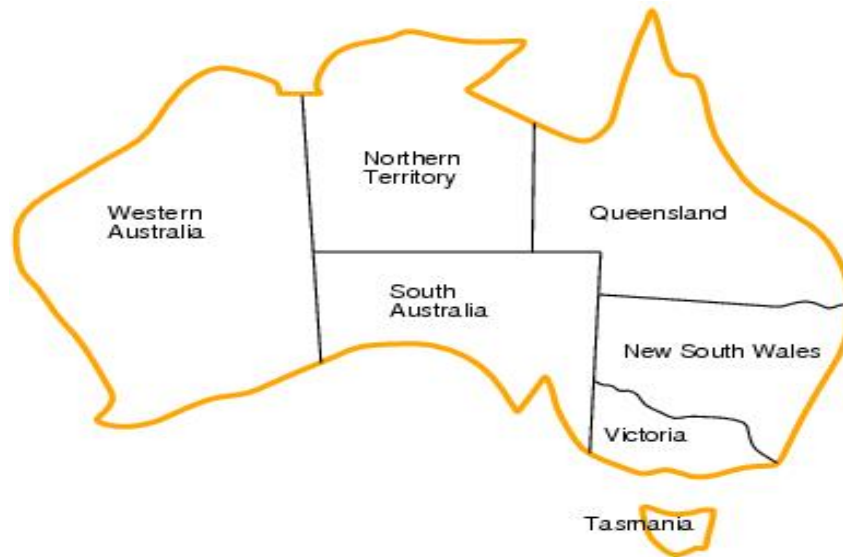
## CSPs --- what is a solution?

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- A *state* is an *assignment* of values to some or all variables.
  - An assignment is *complete* when every variable has a value.
  - An assignment is *partial* when some variables have no values.
- **Consistent assignment**
  - assignment does not violate the constraints
- A **solution** to a CSP is a complete and consistent assignment.
- Some CSPs require a solution that maximizes an *objective function*.
- Examples of Applications:
  - Scheduling the time of observations on the Hubble Space Telescope
  - Airline schedules
  - Cryptography
  - Computer vision -> image interpretation
  - Scheduling your MS or PhD thesis exam 😊

## CSP example: map coloring

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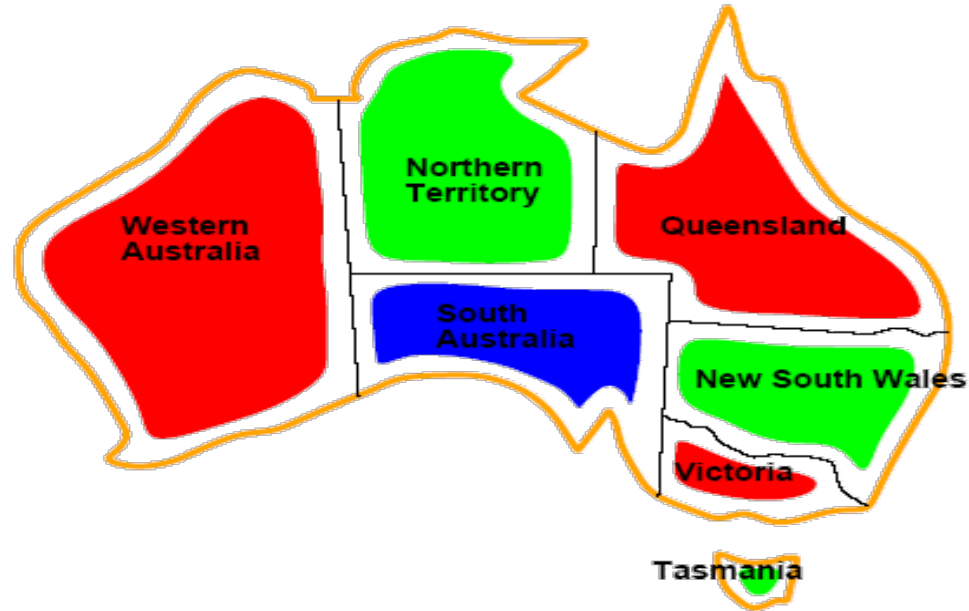


- Variables:  $WA, NT, Q, NSW, V, SA, T$
- Domains:  $D_i = \{red, green, blue\}$
- Constraints: adjacent regions must have different colors.
  - E.g.  $WA \neq NT$



## CSP example: map coloring

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- Solutions are assignments satisfying all constraints, e.g.  
 $\{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green\}$

# Graph coloring

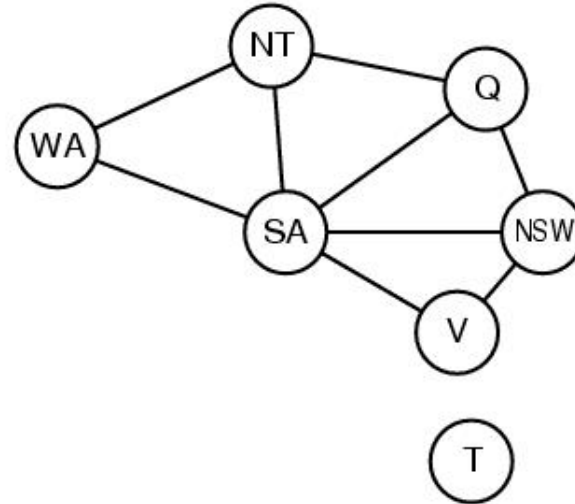
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- More general problem than map coloring
- Planar graph = graph in the 2d-plane with no edge crossings
- Guthrie's conjecture (1852)
  - Every planar graph can be colored with 4 colors or less*
  - Proved (using a computer) in 1977 (Appel and Haken)

# Constraint graphs

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- Constraint graph:
  - nodes are variables
  - arcs are binary constraints



- Graph can be used to simplify search
    - e.g. Tasmania is an independent subproblem
- (will return to graph structure later)

# Varieties of CSPs

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- Discrete variables
  - Finite domains; size  $d \Rightarrow O(d^n)$  complete assignments.
    - E.g. Boolean CSPs: Boolean satisfiability (NP-complete).
  - Infinite domains (integers, strings, etc.)
    - E.g. job scheduling, variables are start/end days for each job
    - Need a constraint language e.g.  $StartJob_1 + 5 \leq StartJob_3$ .
    - Infinitely many solutions
    - Linear constraints: solvable
    - Nonlinear: no general algorithm
- Continuous variables
  - e.g. building an airline schedule or class schedule.
  - Linear constraints solvable in polynomial time by LP methods.

## Varieties of constraints

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- Unary constraints involve a single variable.
  - e.g.  $SA \neq green$
- Binary constraints involve pairs of variables.
  - e.g.  $SA \neq WA$
- Higher-order constraints involve 3 or more variables.
  - Professors A, B, and C cannot be on a committee together
  - Can always be represented by multiple binary constraints
- Preference (soft constraints)
  - e.g. *red* is better than *green* often can be represented by a cost for each variable assignment
  - combination of optimization with CSPs

## CSPs Only Need Binary Constraints!!

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- Unary constraints: Just delete values from variable's domain.
- Higher order (3 variables or more): reduce to binary constraints.
- Simple example:
  - Three example variables,  $X, Y, Z$ .
  - Domains  $D_x = \{1, 2, 3\}$ ,  $D_y = \{1, 2, 3\}$ ,  $D_z = \{1, 2, 3\}$ .
  - Constraint  $C[X, Y, Z] = \{X + Y = Z\} = \{(1, 1, 2), (1, 2, 3), (2, 1, 3)\}$ .
  - Plus many other variables and constraints elsewhere in the CSP.
  
  - Create a new variable,  $W$ , taking values as triples (3-tuples).
  - Domain of  $W$  is  $D_w = \{(1, 1, 2), (1, 2, 3), (2, 1, 3)\}$ .
    - $D_w$  is exactly the tuples that satisfy the higher order constraint.
  - Create three new constraints:
    - $C[X, W] = \{ [1, (1, 1, 2)], [1, (1, 2, 3)], [2, (2, 1, 3)] \}$ .
    - $C[Y, W] = \{ [1, (1, 1, 2)], [2, (1, 2, 3)], [1, (2, 1, 3)] \}$ .
    - $C[Z, W] = \{ [2, (1, 1, 2)], [3, (1, 2, 3)], [3, (2, 1, 3)] \}$ .
  - Other constraints elsewhere involving  $X, Y$ , or  $Z$  are unaffected.

## CSP Example: Cryptarithmic puzzle

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$$\begin{array}{r} \text{T W O} \\ + \text{T W O} \\ \hline \text{F O U R} \end{array}$$

Variables:  $F T U W R O X_1 X_2 X_3$

Domains:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Constraints

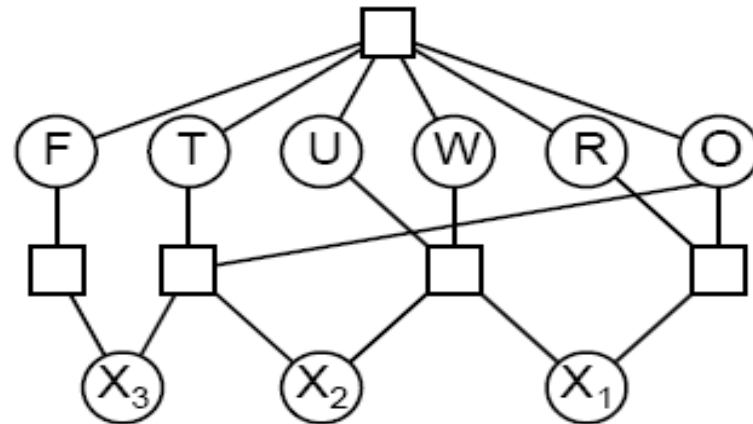
$alldiff(F, T, U, W, R, O)$

$O + O = R + 10 \cdot X_1$ , etc.

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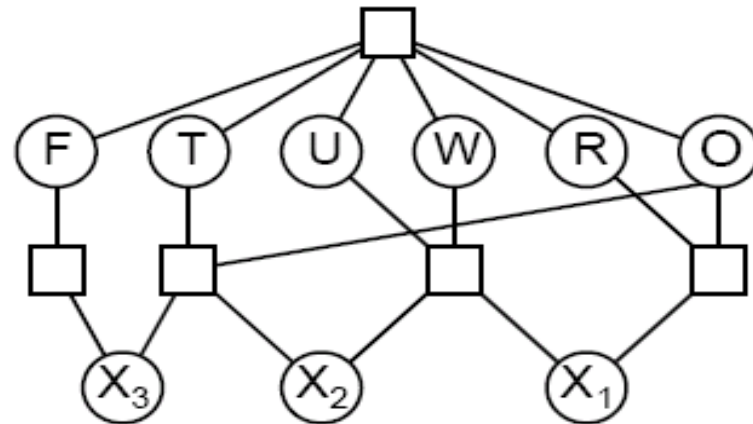
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Constraints

$alldiff(F, T, U, W, R, O)$

$O + O = R + 10 \cdot X_1$ , etc.

**A Solution:**

**$F=1, T=7, U=6, W=3, R=8, O=4,$   
 $X_1=0, X_2=0, X_3=1$**

$$\begin{array}{r} 734 \\ + 734 \\ \hline 1468 \end{array}$$

## CSP Example: Cryptarithmic puzzle

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- Try it yourself at home:

$$\begin{array}{r} \text{S E N D} \\ + \text{M O R E} \\ \hline \text{M O N E Y} \end{array}$$

- (A frequent request from college students to parents!)

## CSP as a standard search problem

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- A CSP can easily be expressed as a standard search problem.
- Incremental formulation
  - *Initial State*: the empty assignment { }
  - *Actions*: Assign a value to an unassigned variable provided that it does not violate a constraint
  - *Goal test*: the current assignment is complete  
(by construction it is consistent)
  - *Path cost*: constant cost for every step (not really relevant)
- Can also use complete-state formulation
  - Local search techniques (Chapter 4) tend to work well

## CSP as a standard search problem

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- Solution is found at depth  $n$  (if there are  $n$  variables).
- Consider using BFS
  - Branching factor  $b$  at the top level is  $nd$
  - At next level is  $(n-1)d$
  - ....
- end up with  $n!d^n$  leaves even though there are only  $d^n$  complete assignments!

# Commutativity

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- CSPs are commutative.
    - The order of any given set of actions has no effect on the outcome.
    - Example: choose colors for Australian territories one at a time
      - [WA=red then NT=green] same as [NT=green then WA=red]
  - All CSP search algorithms can generate successors by considering assignments for only a single variable at each node in the search tree
    - ⇒ there are  $d^n$  leaves
- (will need to figure out later which variable to assign a value to at each node)

# Backtracking search

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- Similar to Depth-first search, generating children one at a time.
- Chooses values for one variable at a time and backtracks when a variable has no legal values left to assign.
- Uninformed algorithm
  - No good general performance

## Backtracking search (Figure 6.5)

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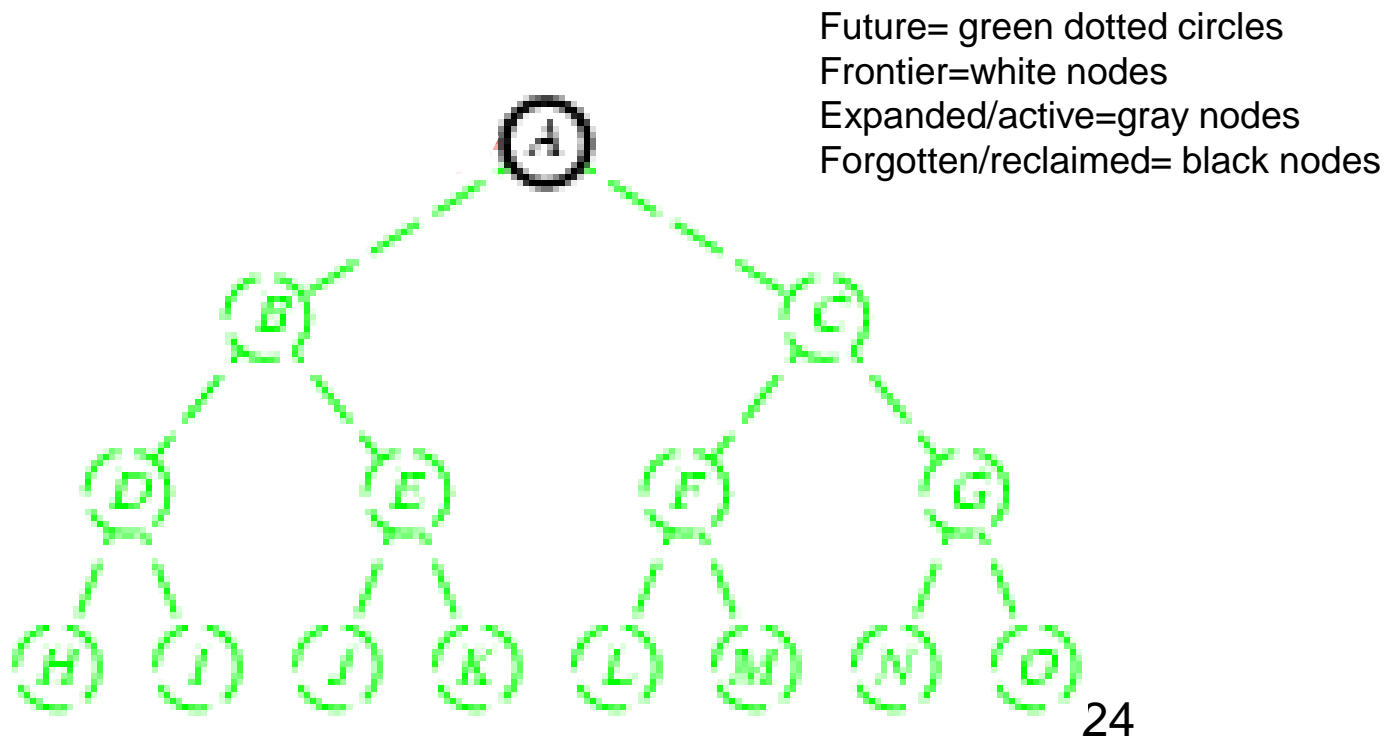
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## Backtracking search

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- Expand *deepest* unexpanded node
- Generate **only one** child at a time.
- *Goal-Test* when inserted.
  - For CSP, Goal-test at bottom

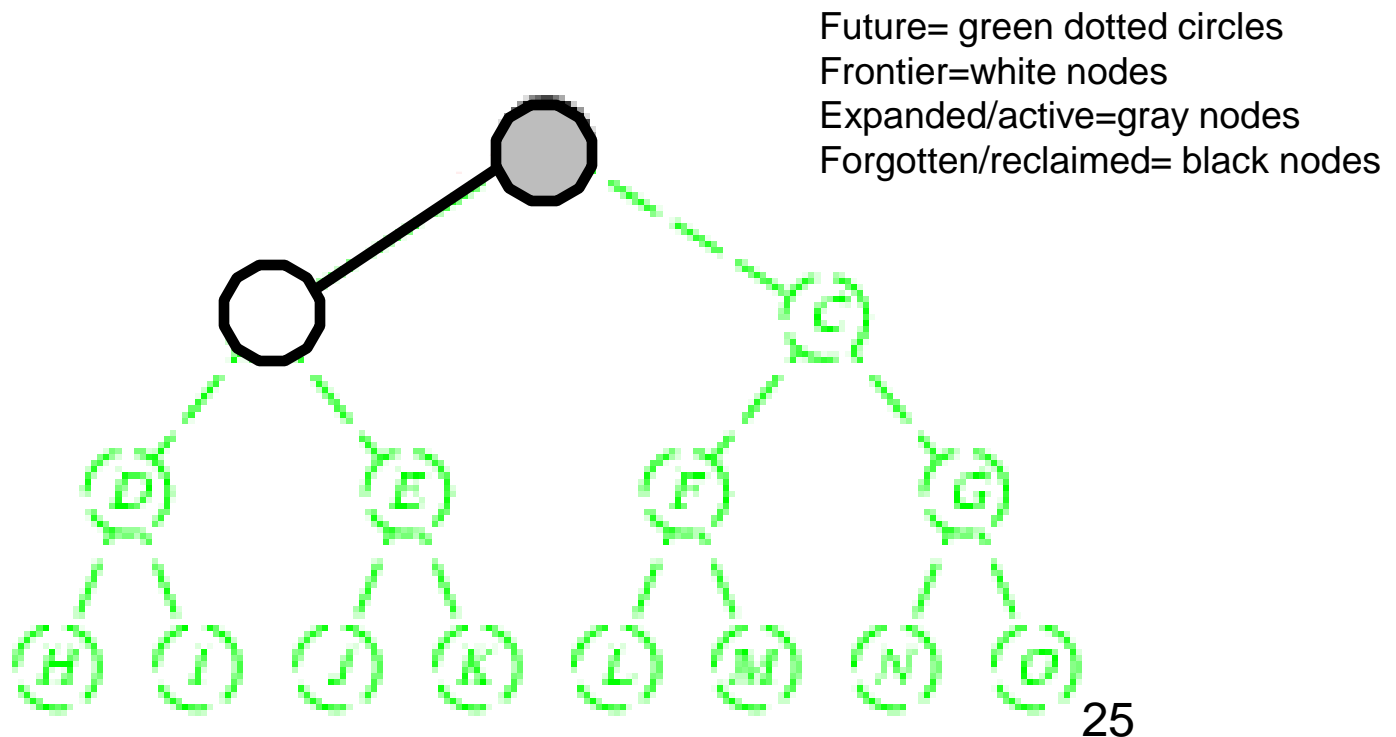




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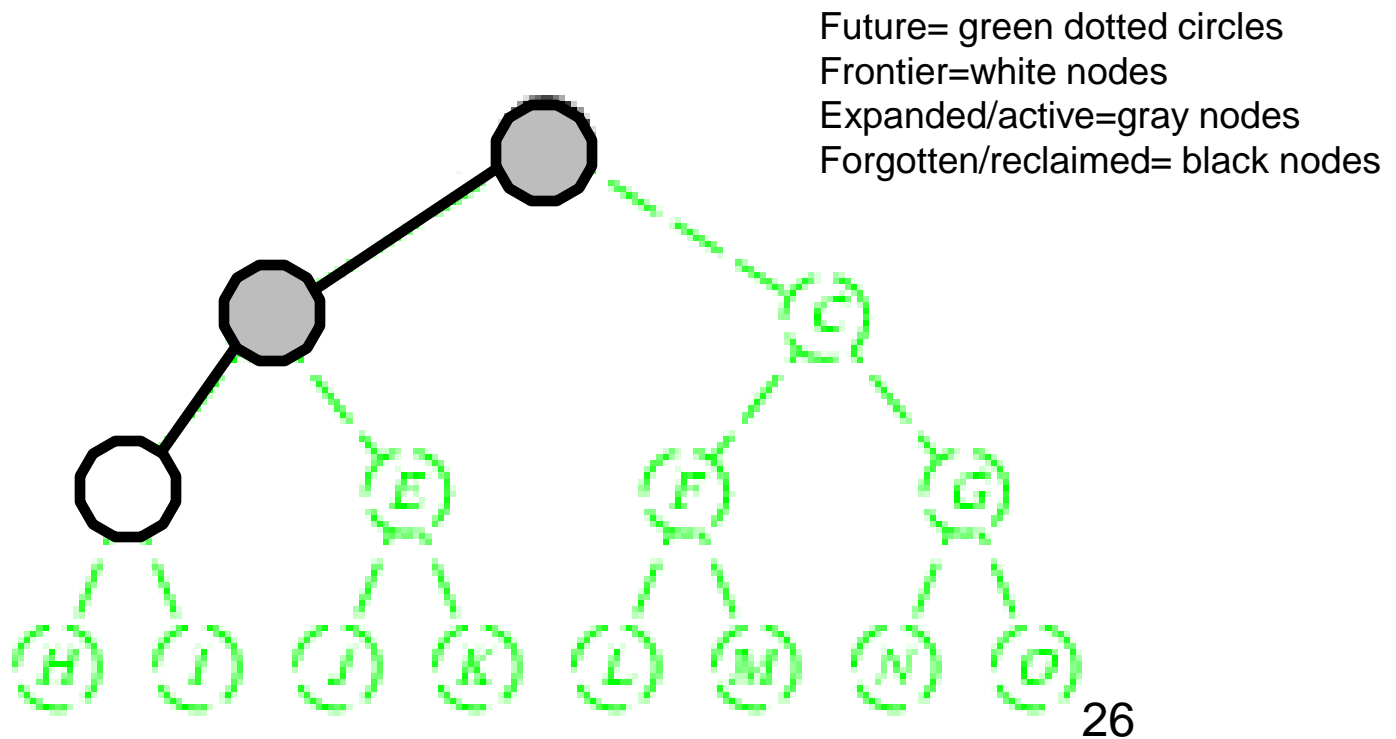
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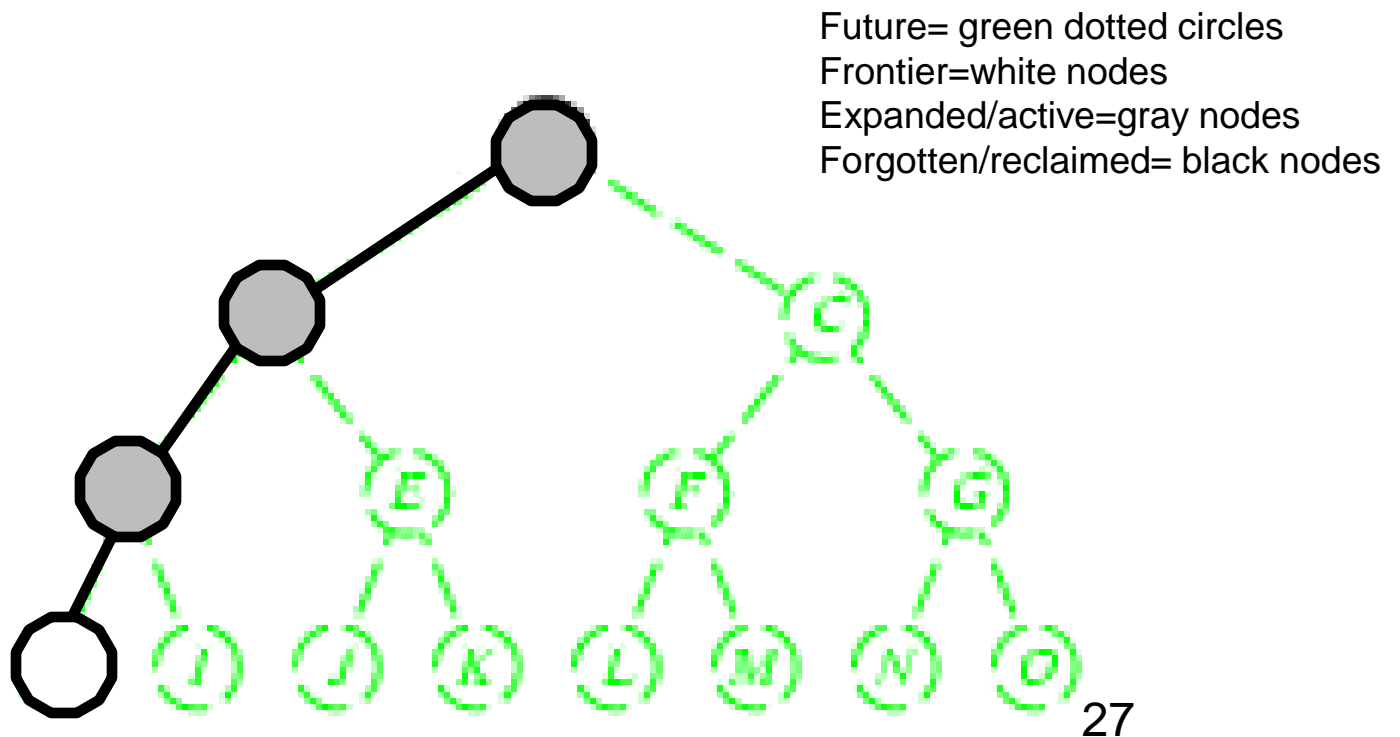
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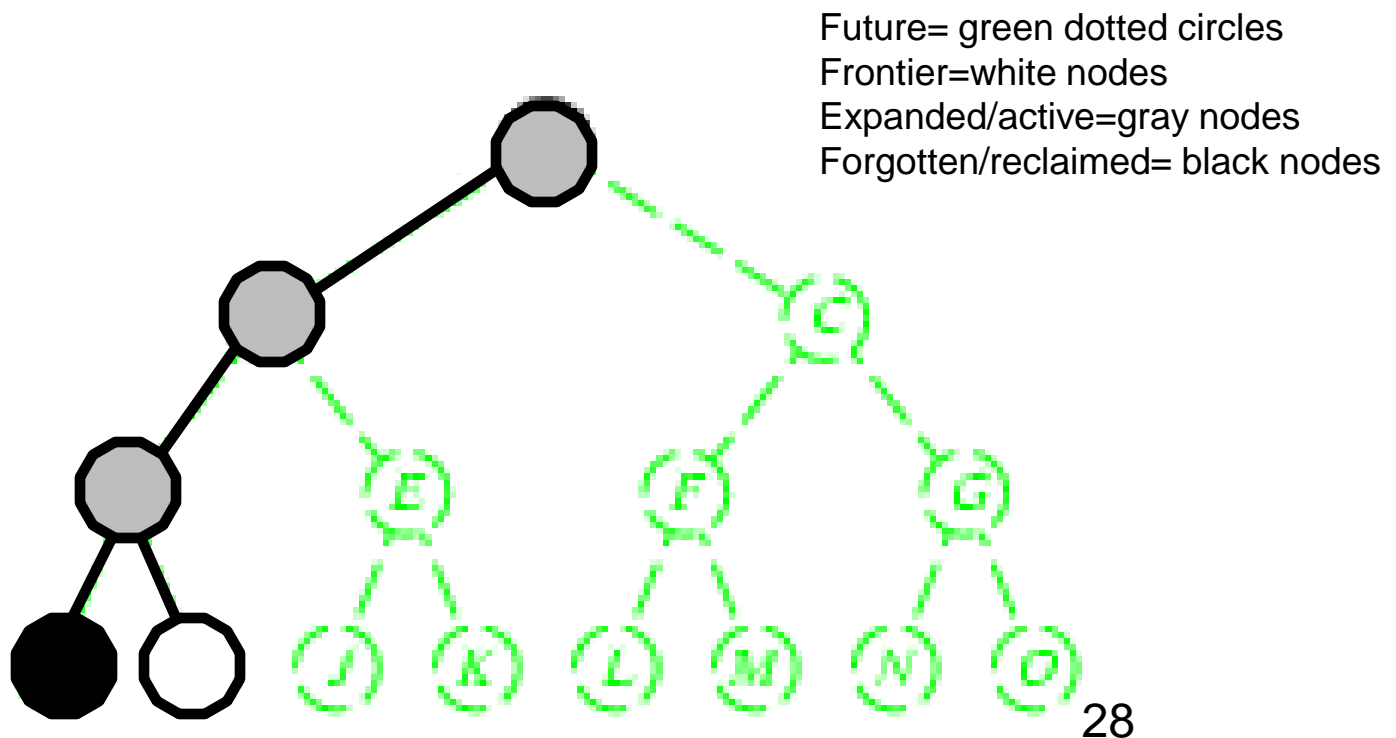
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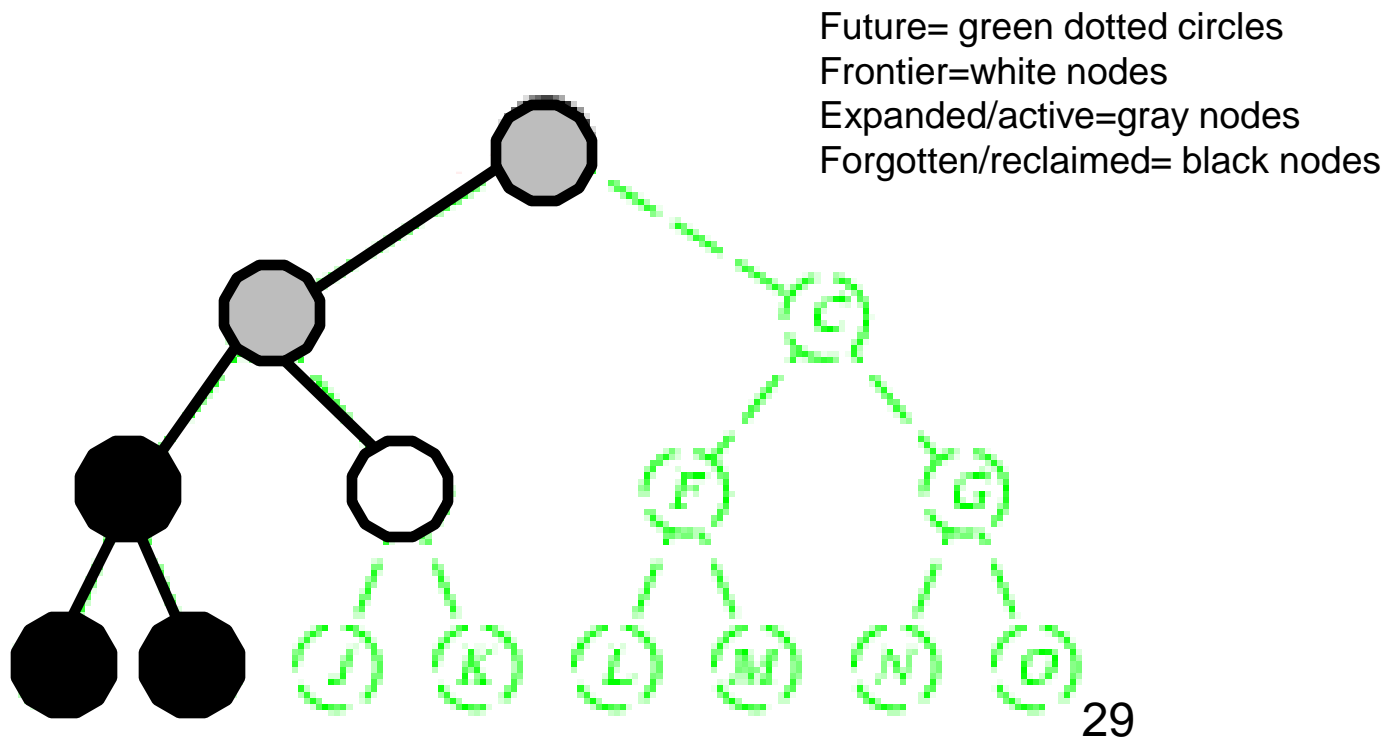
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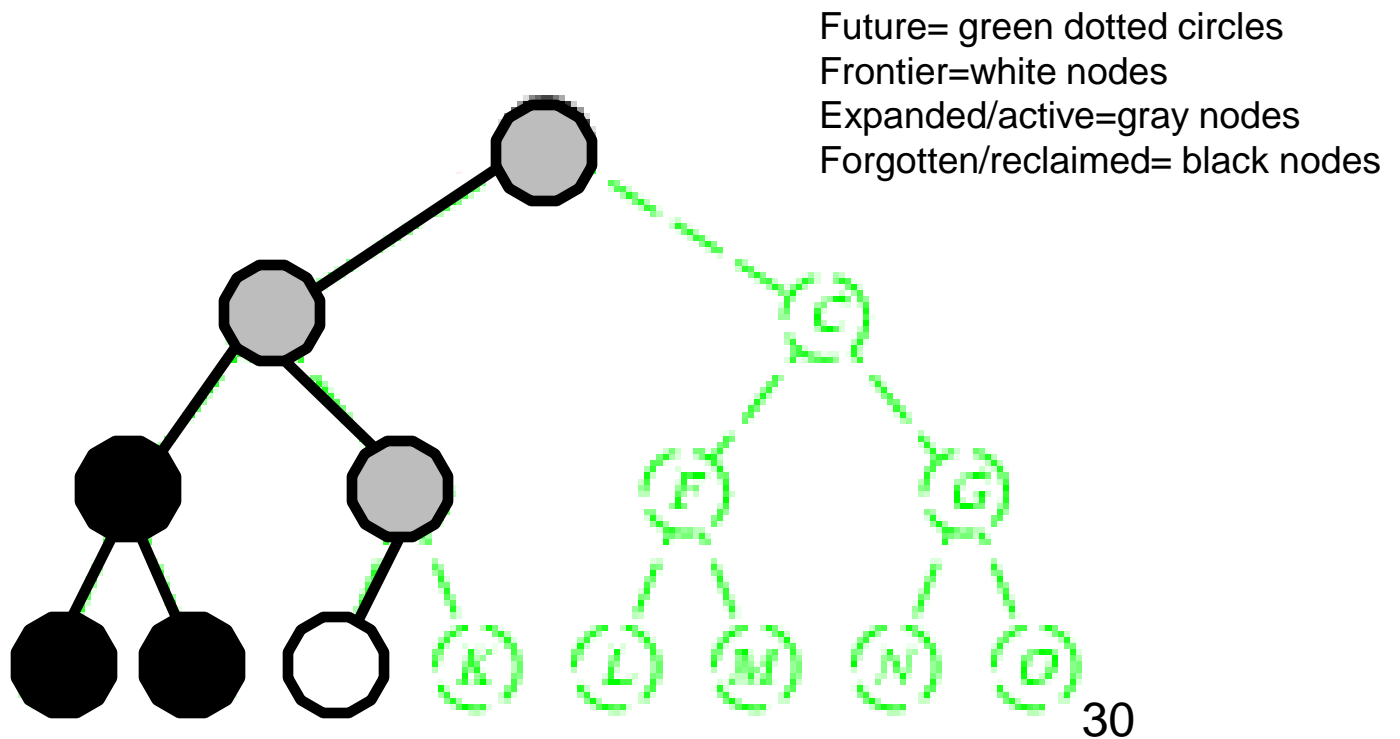
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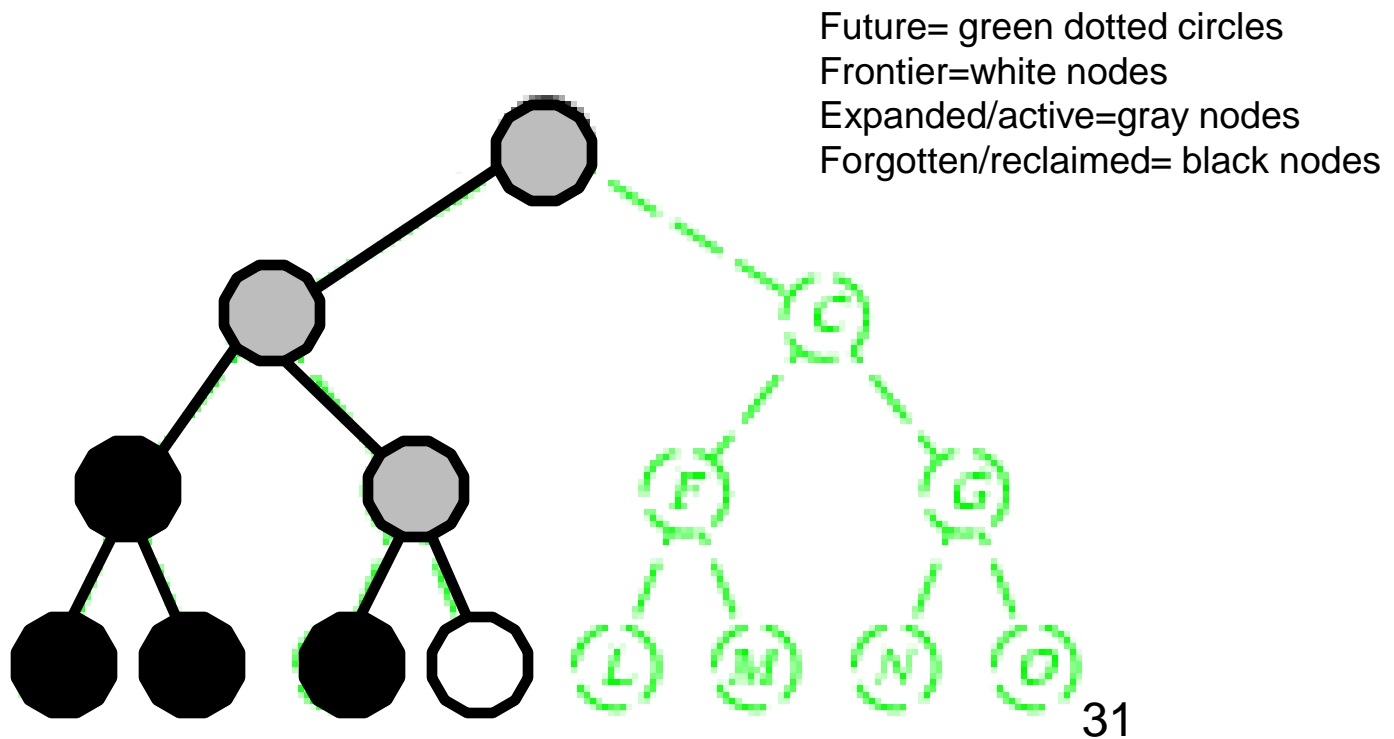
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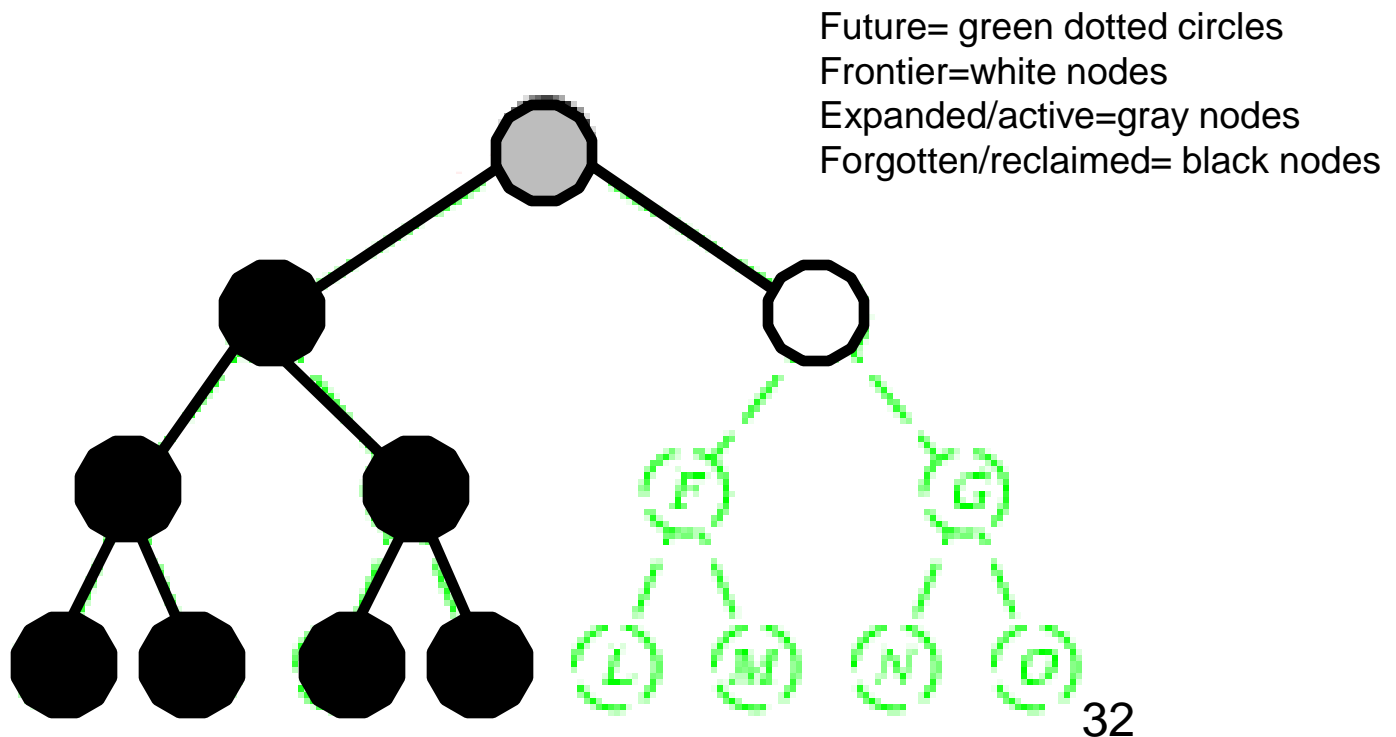
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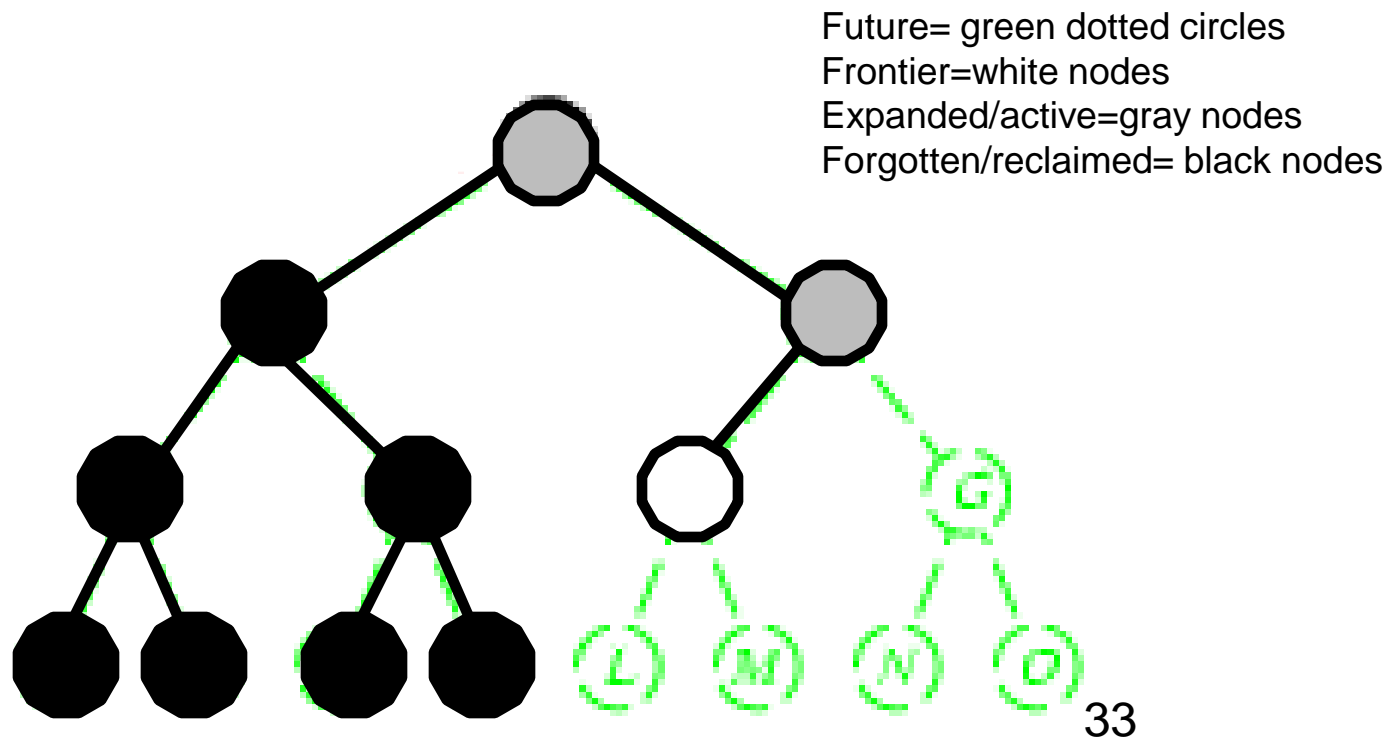




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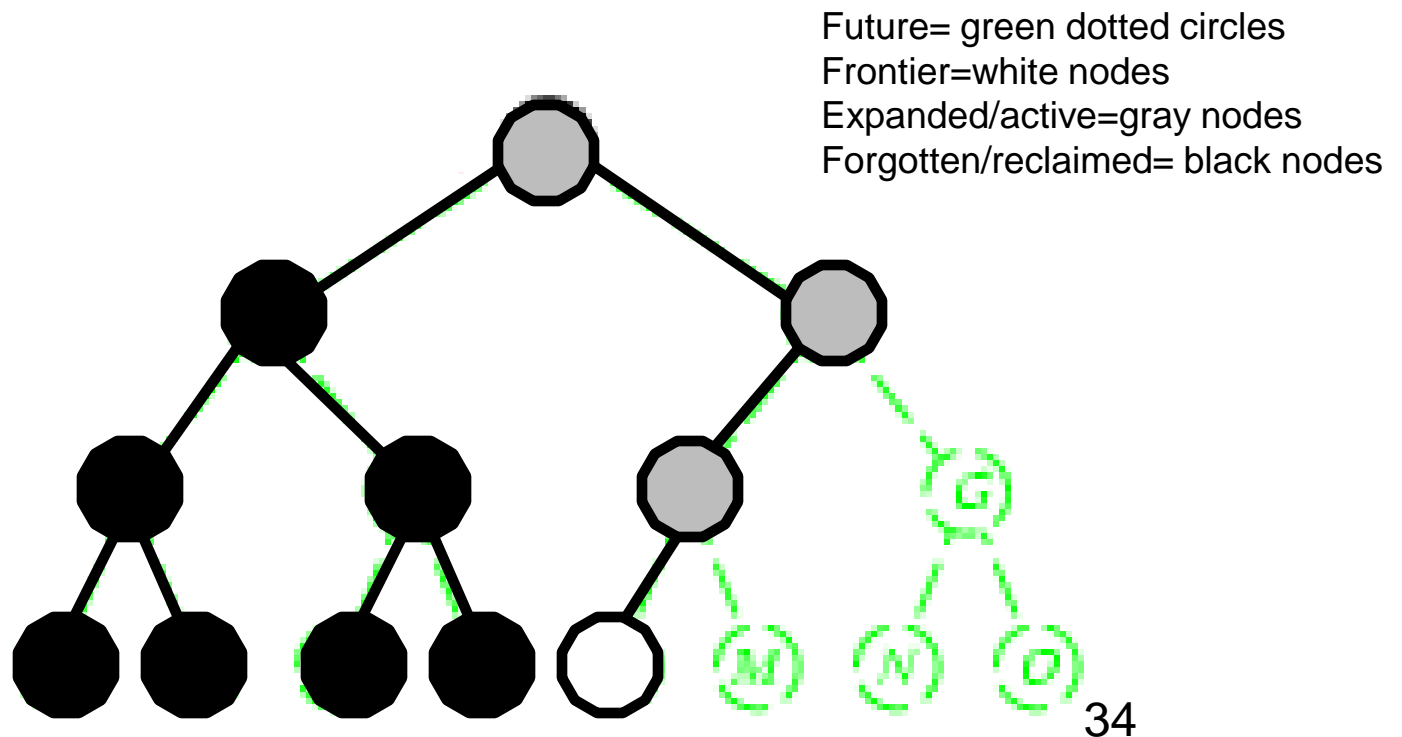
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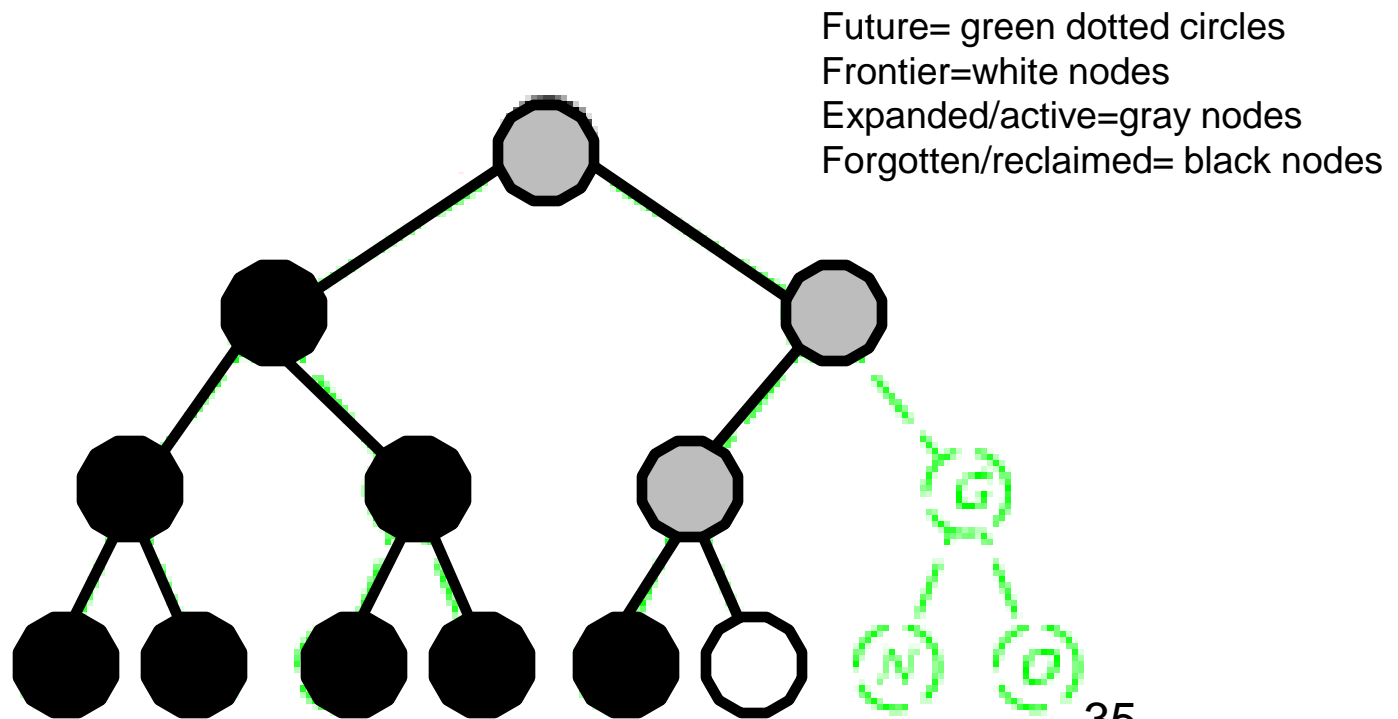
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## Backtracking search (Figure 6.5)

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**function** RECURSIVE-BACKTRACKING(*assignment*, *csp*) **return** a solution or failure  
    **if** *assignment* is complete **then return** *assignment*  
    *var* ← **SELECT-UNASSIGNED-VARIABLE(VARIABLES**[*csp*], *assignment*, *csp*)  
    **for each** *value* **in** **ORDER-DOMAIN-VALUES**(*var*, *assignment*, *csp*) **do**  
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    **return** failure

## Improving CSP efficiency

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- Previous improvements on uninformed search
  - introduce heuristics
- For CSPs, general-purpose methods can give large gains in speed, e.g.,
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?
  - Can we take advantage of problem structure?

Note: CSPs are somewhat generic in their formulation, and so the heuristics are more general compared to methods in Chapter 4

## Backtracking search (Figure 6.5)

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**for each** *value* **in** ORDER-DOMAIN-VALUES(*var*, *assignment*, *csp*) **do**

**if** *value* is consistent with *assignment* according to CONSTRAINTS[*csp*]

**then**

        add {*var=**value*} to *assignment*

*result* ← RRECURSIVE-BACKTRACKING(*assignment*, *csp*)

**if** *result* ≠ failure **then return** *result*

        remove {*var=**value*} from *assignment*

**return** failure

## Minimum remaining values (MRV) for next variable

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$var \leftarrow \text{SELECT-UNASSIGNED-VARIABLE}(\text{VARIABLES}[csp], \text{assignment}, csp)$

- A.k.a. most constrained variable heuristic
- *Heuristic Rule*: choose variable with the fewest legal moves
  - e.g., will immediately detect failure if X has no legal values

## Degree heuristic for next variable

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- *Heuristic Rule*: select variable that is involved in the largest number of constraints on other unassigned variables.
- Degree heuristic can be useful as a tie breaker after MRV.
- *In what order should a variable's values be tried?*



## Backtracking search (Figure 6.5)

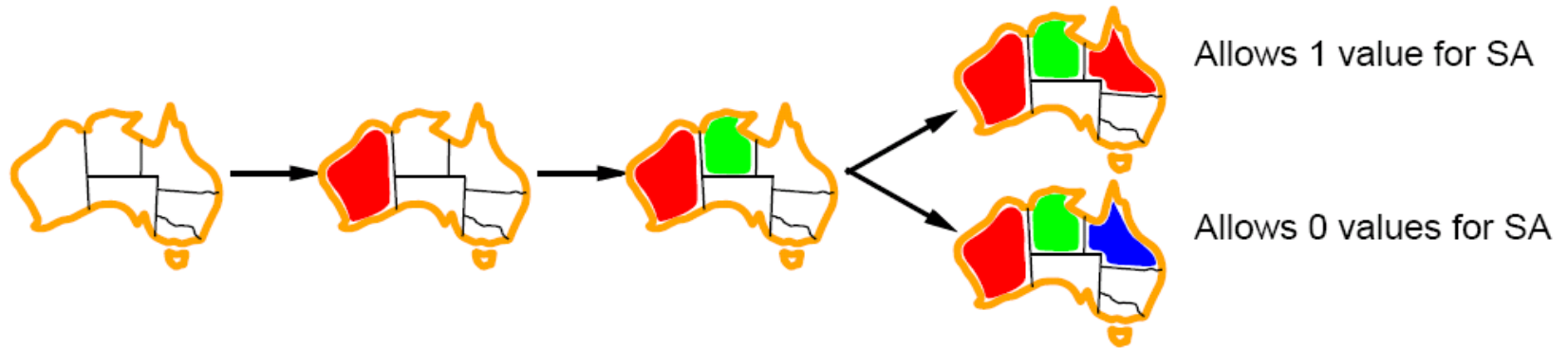
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## Least constraining value (LCV) for next value

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- Least constraining value heuristic
- Heuristic Rule: given a variable choose the least constraining value
  - leaves the maximum flexibility for subsequent variable assignments

## Minimum remaining values (MRV) vs. Least constraining value (LCV)

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- Why do we want the MRV (minimum values, most constraining) for variable selection --- but the LCV (maximum values, least constraining) for value selection?
- Isn't there a contradiction here?
- MRV for variable selection to reduces the branching factor.
  - Smaller branching factors lead to faster search.
  - Hopefully, when we get to variables with currently many values, constraint propagation (next lecture) will have removed some of their values and they'll have small branching factors by then too.
- LCV for value selection increases the chance of early success.
  - If we are going to fail at this node, then we have to examine every value anyway, and their order makes no difference at all.
  - If we are going to succeed, then the earlier we succeed the sooner we can stop searching, so we want to succeed early.
  - LCV rules out the fewest possible solutions below this node, so we have the most chances for early success.

# Summary

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- CSPs
  - special kind of problem: states defined by values of a fixed set of variables, goal test defined by constraints on variable values
- Backtracking=depth-first search with one variable assigned per node
- Heuristics
  - Variable ordering and value selection heuristics help significantly
- Variable ordering (selection) heuristics
  - Choose variable with Minimum Remaining Values (MRV)
  - Degree Heuristic --- break ties after applying MRV
- Value ordering (selection) heuristic
  - Choose Least Constraining Value