

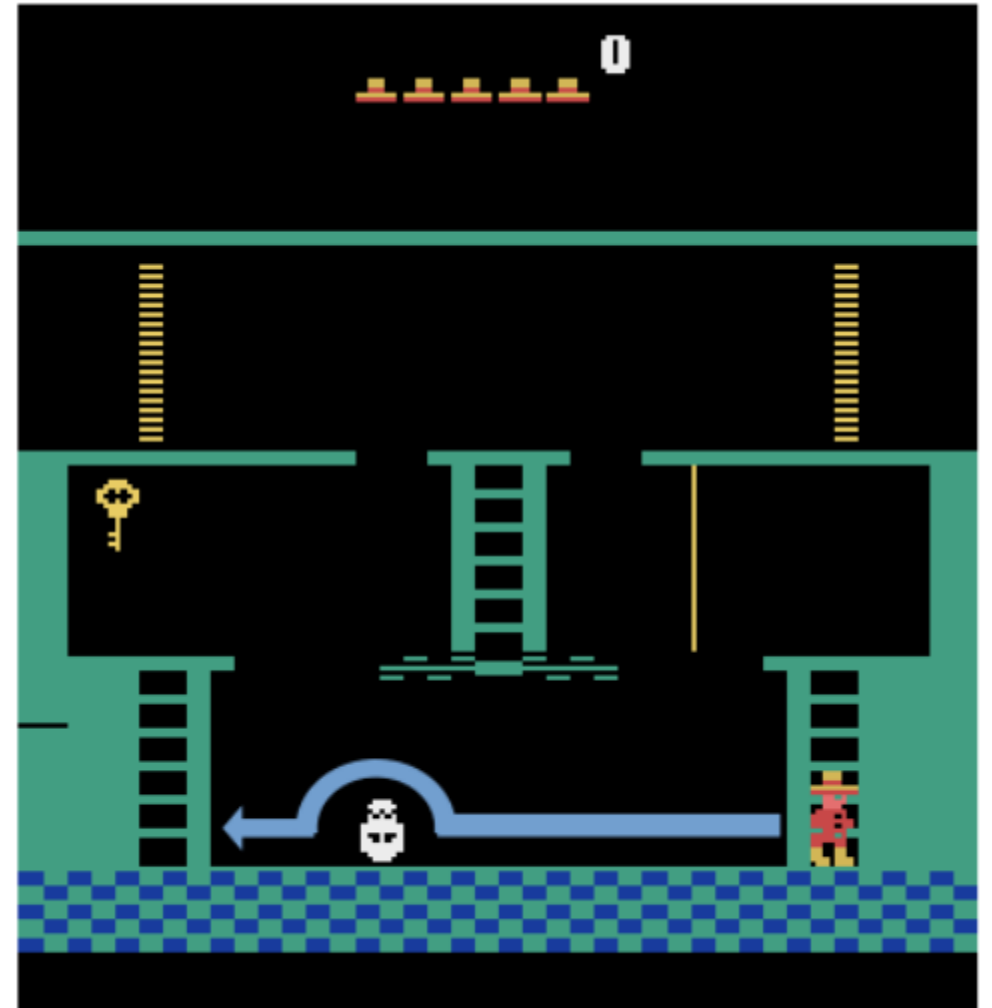
# Using Natural Language for Reward Shaping in Reinforcement Learning

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# Make learning more efficient

- Montezuma's revenge.
- Use reward shaping to reduce interaction time.
- Propose the LanguageE-Action Reward Network(LEARN) to map free-form natural language instructions to intermediate rewards.



# Reward Shaping

Andrew Y Ng, Daishi Harada, and Stuart Russell. Policy invariance under reward transformations: Theory and application to reward shaping.

- Rather than running reinforcement learning algorithm on MDP:

$$M = \{S, A, T, \gamma, R\}$$

- Run it on transformed MDP,  $M'$ :

$$M' = \{S, A, T, \gamma, R'\}$$

$$R' = R + F$$

# Shaping reward function

$$R' = R + F$$

$$F: S \times A \times S \mapsto \mathbb{R}$$

- In original MDP, if we receive reward  $R(s, a, s')$  from  $s$  to  $s'$  on  $a$ , in  $M'$ , we would receive reward  $R(s, a, s') + F(s, a, s')$ .

# Some examples

- To encourage moving towards a goal, a possible shaping-reward function is:

$$\begin{cases} F(s, a, s') = r, \text{ if } s' \text{ is closer to the goal than } s \\ F(s, a, s') = 0, \text{ otherwise} \end{cases}$$

- To encourage taking action  $a_1$ , set  $F$  as:

$$\begin{cases} F(s, a, s') = r, \text{ if } a = a_1 \\ F(s, a, s') = 0, \text{ otherwise} \end{cases}$$

# Feasibility

- In many case,  $M = \{S, A, T, \gamma, R\}$  is not explicitly given.
- We have to learn through taking actions and by observing the resulting state transition rewards.
- Make the observed reward  $R(s, a, s') + F(s, a, s')$ .

# Optimal policy guarantee

- $M'$  is an aid to helping the agent to learn faster.
- We have to guarantee that  $\pi_{M'}^*$ , the optimal policy in  $M'$ , will also be optimal in  $M$ ?
- How can we find such forms of shaping-reward functions  $F$ ?

# Theorem

- If  $F(s, a, s')$  is a potential-based shaping function, then every optimal policy in  $M'$  will also be an optimal policy in  $M$ .
- If there is a real-valued function,  $\phi: S \mapsto \mathbb{R}$ ,

$$\text{let } F(s, a, s') = \gamma\phi(s') - \phi(s)$$

We can say  $F$  is potential-based.



# Proof

- For MDP  $M$ , the optimal Q function satisfies Bellman equation:

$$Q_M^*(s, a) = E_{s'}[R(s, a, s') + \gamma \max_{a' \in A} Q_M^*(s', a')]$$

- For  $M'$ ,

$$\begin{aligned} & Q_M^*(s, a) - \phi(s) \\ &= E_{s'} \left[ R(s, a, s') + \gamma \phi(s') - \phi(s) + \gamma \max_{a' \in A} Q_M^*(s', a') - \phi(s') \right] \end{aligned}$$

- Define  $\hat{Q}_{M'}(s, a) = Q_{M'}^*(s, a) - \phi(s)$ ,  $F(s, a, s') = \gamma \phi(s') - \phi(s)$ ,

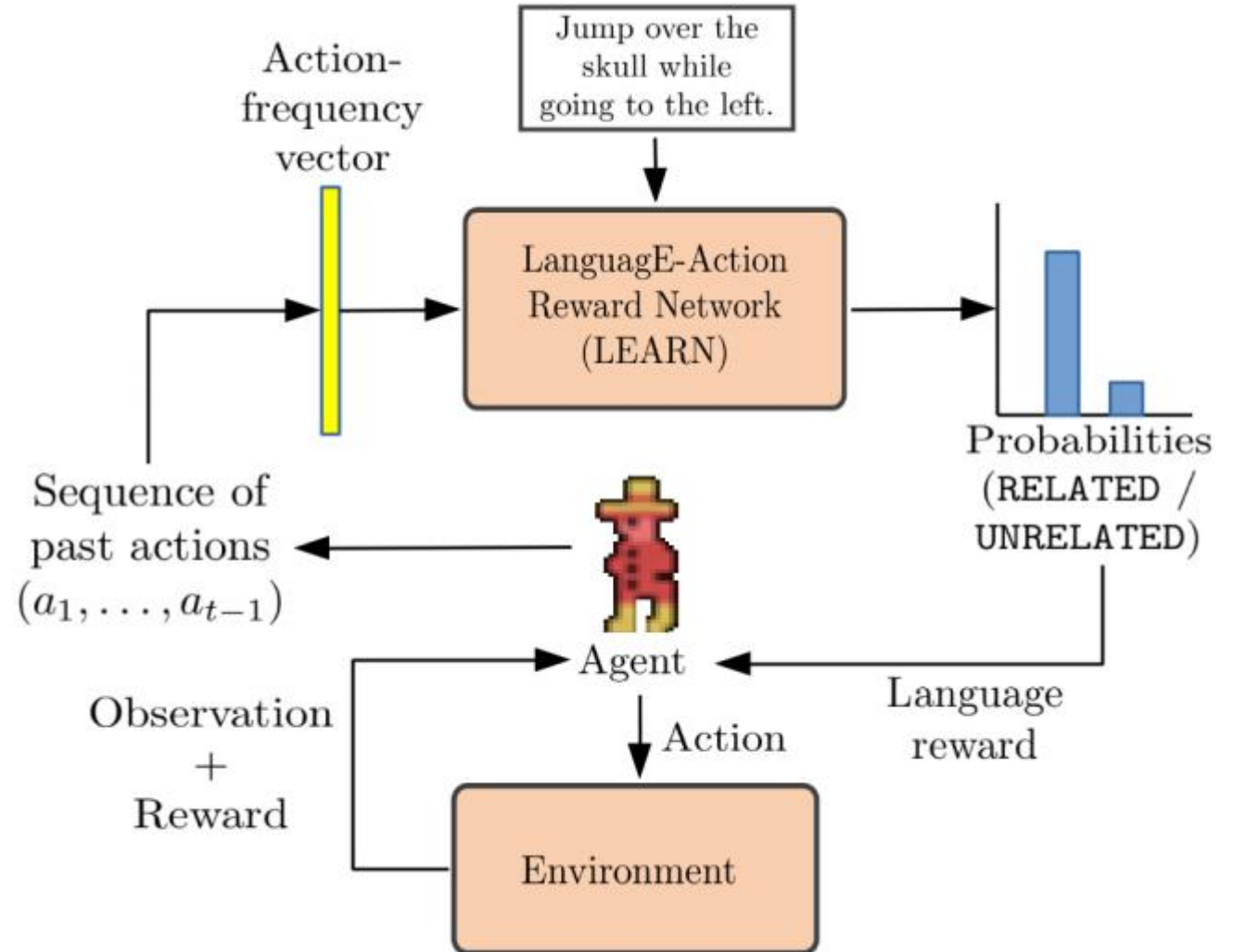
$$\hat{Q}_{M'}(s, a) = E_{s'}[R'(s, a, s') + \gamma \max_{a' \in A} \hat{Q}_{M'}(s', a')]$$

# MDP+L

- An extension of the MDP framework.
- $\langle S, A, R, T, \gamma, l \rangle, l \in L$
- $L$  defines all possible language commands.
- Learn an optimal policy under reward function  $(R_{ext} + R_{lang})$ .

# LanguageE-Action Reward Network (LEARN)

- Takes a pair of (trajectory, language ).
- Predicts if the language describes the actions within the trajectory.



# Language-Action Reward Network (LEARN)

- Transform trajectory  $\tau$  into action-frequency vector  $f_t$   
 $(\tau, l) \rightarrow (f, l)$
- The dimensionality of  $f$  is equal to the number of actions in the MDP+L. The  $k$ th component of  $f$  is the fraction of timesteps action  $k$  appears in  $\tau$
- Then, generate positive/negative examples of  $(f, l)$

# LanguageE-Action Reward Network (LEARN)

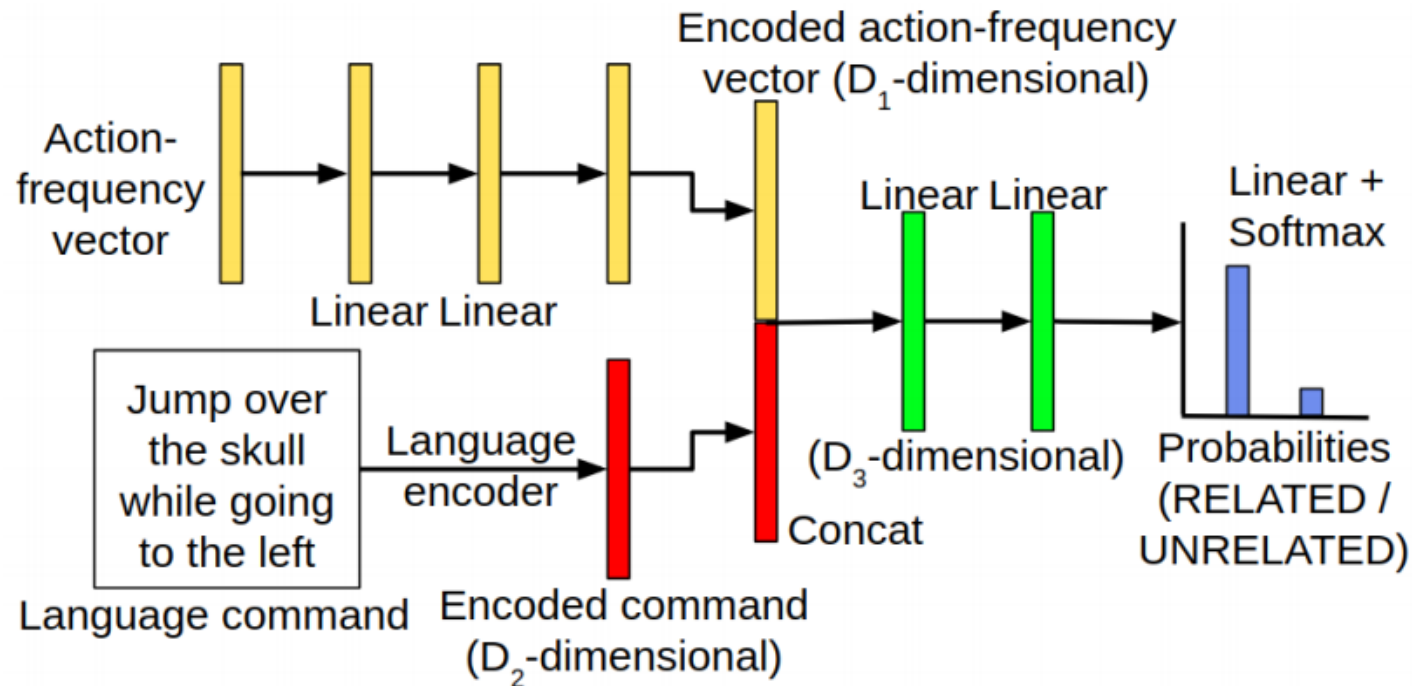


Figure 3: Neural network architecture for LEARN (Section 3.1)

# Language-Action Reward Network (LEARN)

To embed the natural language instruction into a vector, experiment with three models:

1. Infsent
2. GloVe+RNN
3. RNNOnly

The final output is a probability distribution over two classes:

1. Related
2. Unrelated

# Language-aided RL

- Action-frequency vector  $f_t$

- Softmax result:

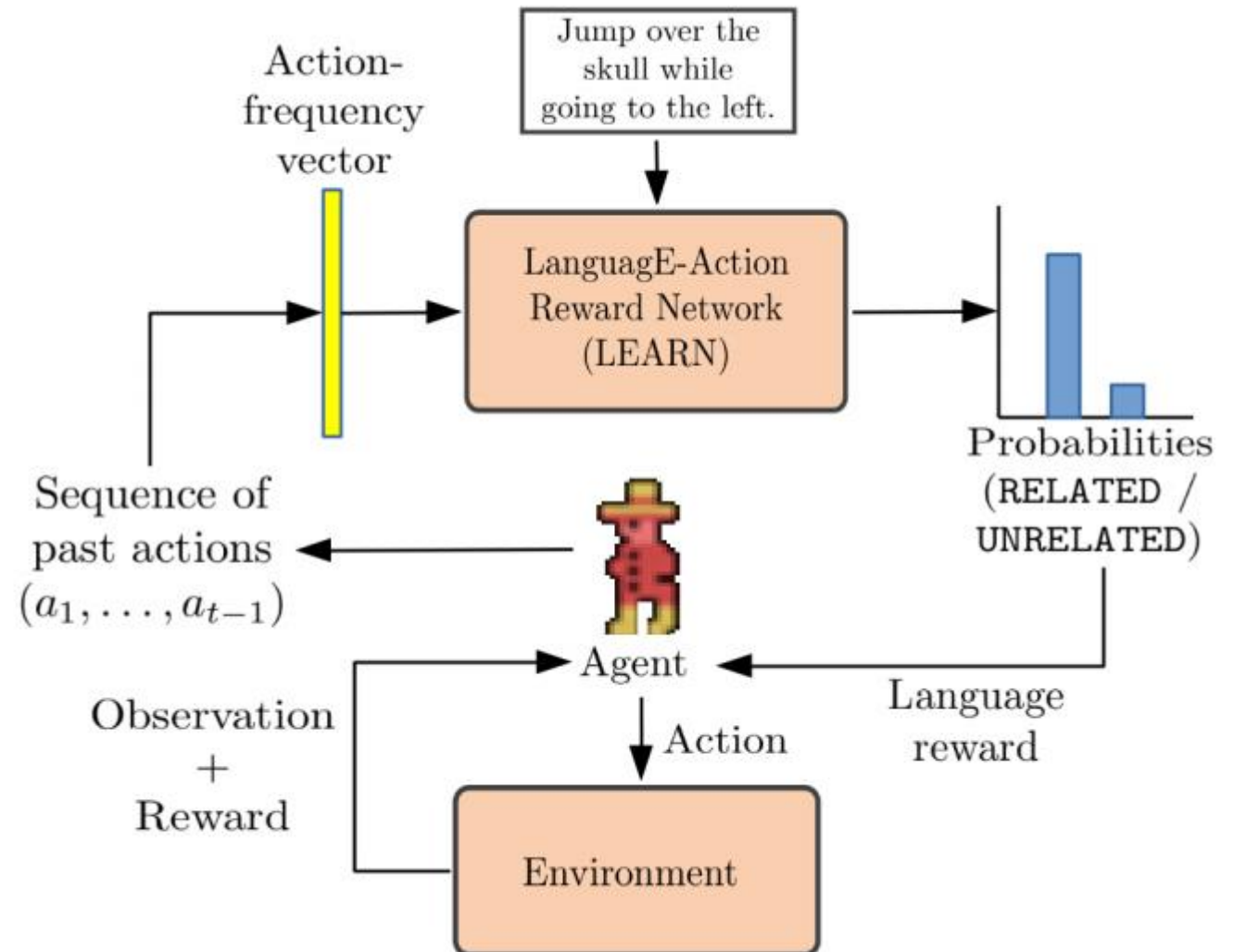
$$P_R(f_t) \Rightarrow \text{Related}$$

$$P_V(f_t) \Rightarrow \text{Unrelated}$$

- Potential function  $\phi(f_t) = P_R(f_t) - P_V(f_t)$
- $R_{lang}(f_t) = \gamma\phi(f_t) - \phi(f_{t-1})$
- $R_{ext} + R_{lang}$

# Language-aided RL

- Given the trajectory executed by the agent so far and the language instruction, use LEARN to predict whether the agent is making progress.



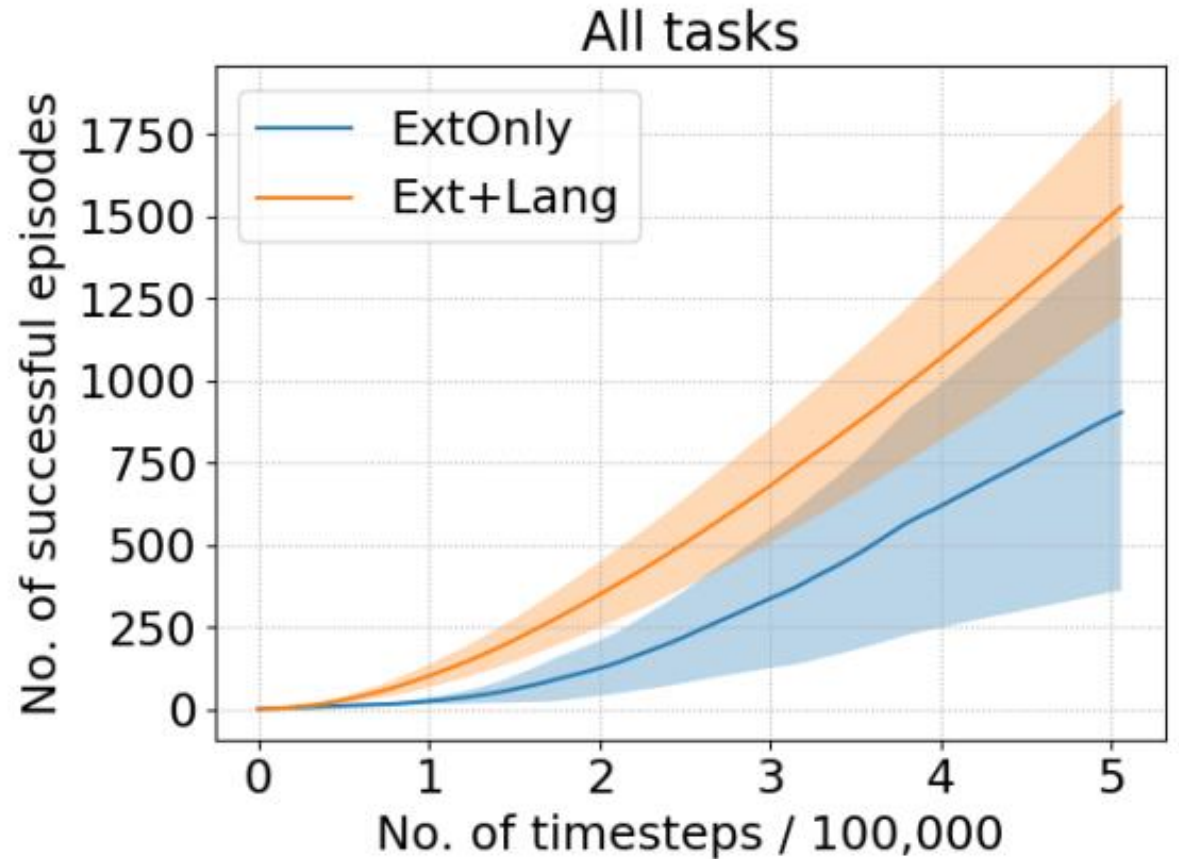


# Experimental Evaluation

- Define a set of 15 diverse tasks in multiple rooms, each of which requires the agent to go from a fixed start position to a fixed goal position while interacting with some of the objects present in the path.
- For each task, the agent gets an extrinsic reward of +1 from the environment for reaching the goal, and an extrinsic reward of zero in all other cases.
- $R_{total} = R_{ext} + \lambda R_{lang}$
- Proximal Policy Optimization is used.

# Experimental Evaluation RL

- ExtOnly: use the original environment reward.
- Ext+Lang: in addition to the rewards after completing the task successfully,  $R_{lang}$  is added.



# Result

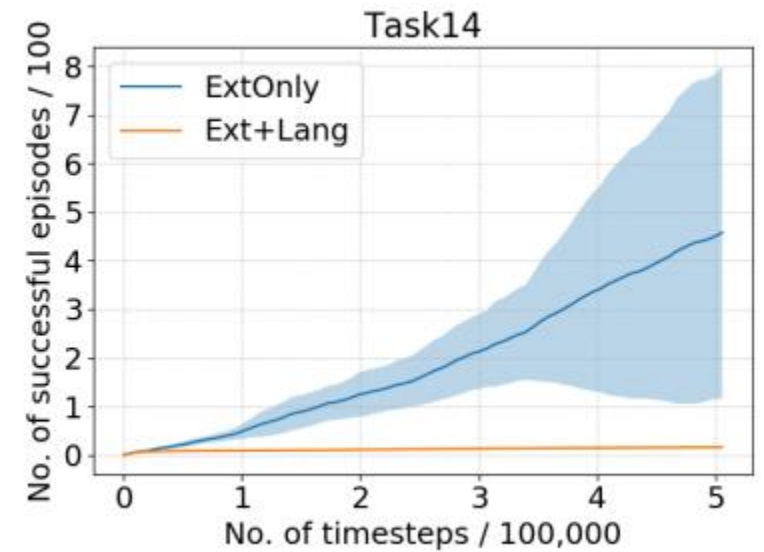
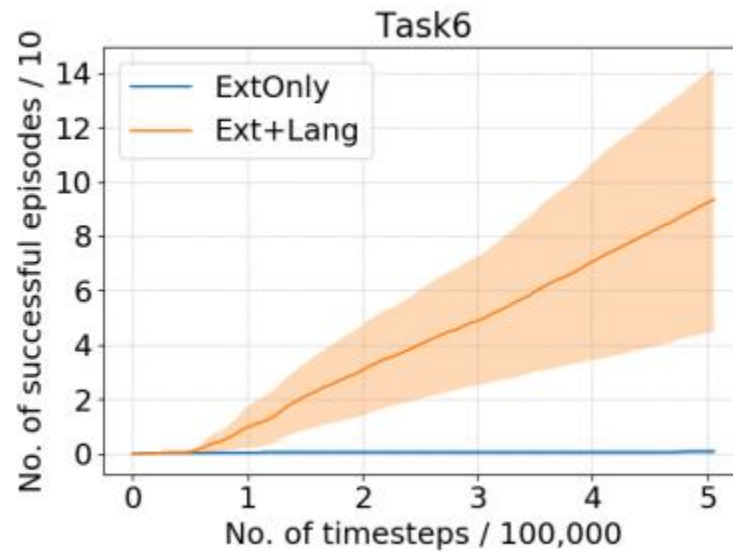
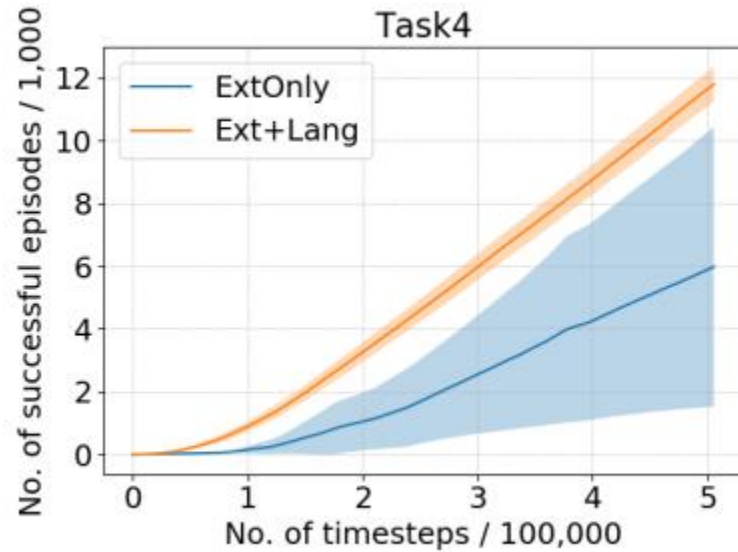
- On average: 30% speed-up, 60% success rate improvement.
- For each case:

	Improvement	Deterioration	No difference
AUC	11	1	3
Final Policy	8	0	7

# Analysis of language-based reward

Task Id	Description	Correlation coefficients of different actions							
		NO-OP	JUMP	UP	RIGHT	LEFT	DOWN	JUMP- RIGHT	JUMP- LEFT
4	climb down the ladder	-0.60	-0.58	-0.59	-0.61	-0.55	0.07	-0.57	-0.56
	go down the ladder to the bottom	-0.58	-0.58	-0.58	-0.60	-0.53	0.09	-0.59	-0.60
	move on spider and down on the lader	-0.58	-0.54	-0.59	-0.60	-0.49	0.10	-0.58	-0.56
6	go to the left and go under skulls and then down the ladder	-0.37	-0.40	-0.49	-0.43	0.33	0.16	-0.46	-0.01
	go to the left and then go down the ladder	-0.24	-0.26	-0.35	-0.31	0.28	0.36	-0.34	-0.04
	move to the left and go under the skulls	-0.16	-0.25	-0.60	-0.48	0.27	-0.63	-0.52	-0.40
14	Jump once then down	0.00	0.07	-0.15	-0.13	0.51	0.50	0.09	0.52
	go down the rope and to the bottom	-0.03	0.10	-0.16	0.56	0.54	0.33	0.28	0.01
	jump once and climb down the stick	0.11	0.11	0.06	0.04	0.14	0.40	0.25	0.11

# Analysis of language-based reward



# Question

- Describe the Language-aided method used in this paper. What steps should be taken to generate the immediate rewards for reinforcement learning?

Thanks for listening