

# Vector Space Scoring

Introduction to Information Retrieval

INF 141/ CS 121

Donald J. Patterson

Content adapted from Hinrich Schütze

<http://www.informationretrieval.org>



## Spamming indices

- This was invented before spam
- Consider:
  - Indexing a sensible passive document collection
  - vs.
  - Indexing an active document collection, where people, companies, bots are shaping documents to maximize scores
- Vector space scoring may not be as useful in this context.



### Interaction: vectors and phrases

- Scoring phrases doesn't naturally fit into the vector space world:
  - How do we get beyond the "bag of words"?
  - "dark roast" and "pot roast"
  - There is no information on "dark roast" as a phrase in our indices.
- Biword index can treat some phrases as terms
  - postings for phrases
  - document wide statistics for phrases



### Interaction: vectors and phrases

- Theoretical problem:
  - Axes of our term space are now correlated
    - There is a lot of shared information in “light roast” and “dark roast” rows of our index
- End-user problem:
  - A user doesn’t know which phrases are indexed and can’t effectively discriminate results.



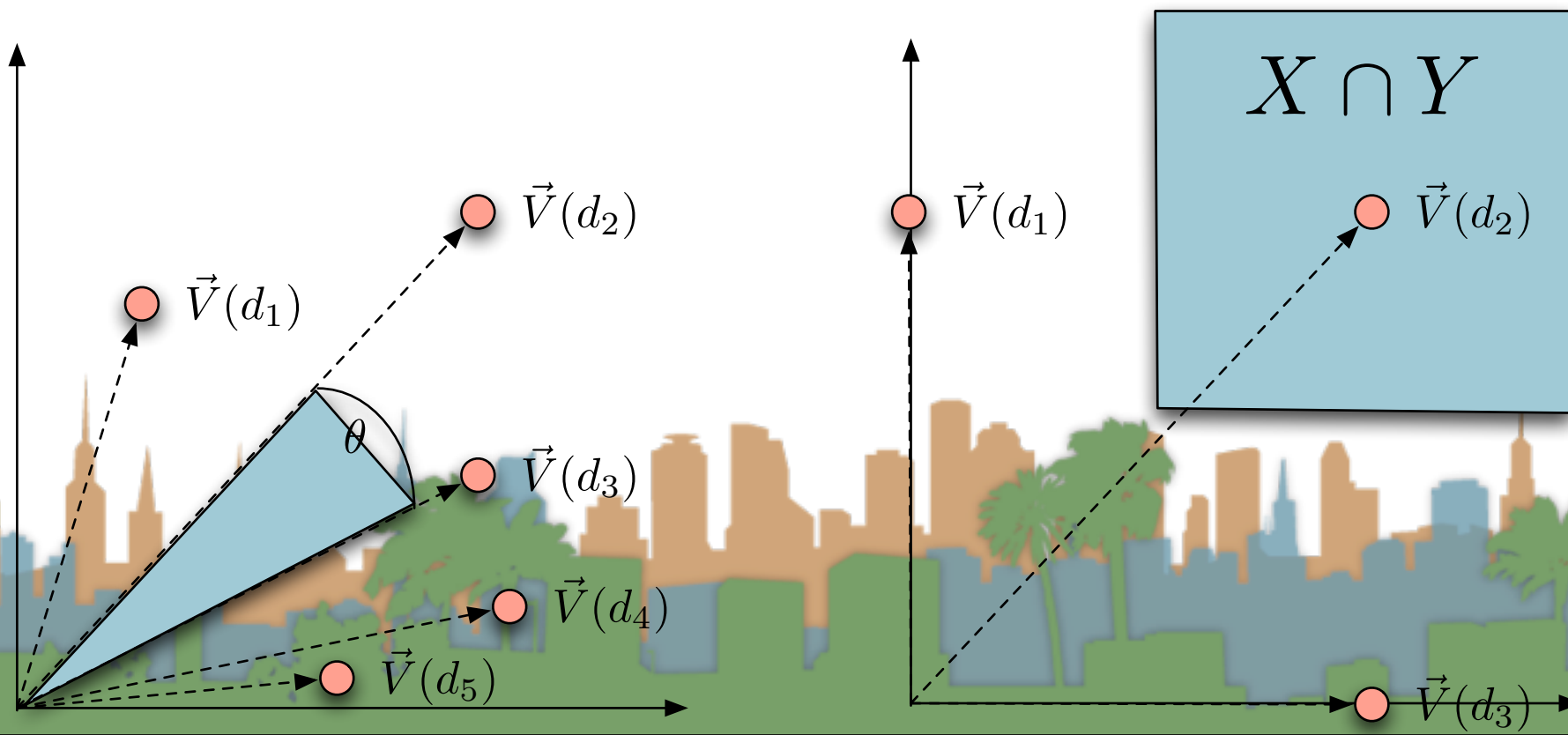
# Multiple queries for phrases and vectors

- Query: “rising interest rates”
- Iterative refinement:
  - Run the phrase query vector with 3 words as a term.
  - If not enough results, run 2-phrase queries and fold into results: “rising interest” “interest rates”
  - If still not enough results run query with three words as separate terms.



## Vectors and Boolean queries

- Ranked queries and Boolean queries don't work very well together
  - In term space
    - ranked queries select based on sector containment - cosine similarity
    - boolean queries select based on rectangle unions and intersections



### Vectors and wild cards

- How could we work with the query, “quick\* print\*” ?
  - Can we view this as a bag of words?
  - What about expanding each wild-card into the matching set of dictionary terms?
- Danger: Unlike the boolean case, we now have tf's and idf's to deal with
- Overall, not a great idea



# Vectors and other operators

- Vector space queries are good for no-syntax, bag-of-words queries
  - Nice mathematical formalism
  - Clear metaphor for similar document queries
  - Doesn't work well with Boolean, wild-card or positional query operators
- But ...





# Query language vs. Scoring

- Interfaces to the rescue
  - Free text queries are often separated from operator query language
  - Default is free text query
  - Advanced query operators are available in “advanced query” section of interface
  - Or embedded in free text query with special syntax
    - aka -term -“terma termb”



## Alternatives to tf-idf

- Sublinear tf scaling
  - 20 occurrences of “mole” does not indicate 20 times the relevance

- This motivated the WTF score.

$WTF(t, d)$

1    **if**  $tf_{t,d} = 0$

2        **then**  $return(0)$

3        **else**  $return(1 + \log(tf_{t,d}))$

- There are other variants for reducing the impact of repeated terms



### TF Normalization

- Normalize tf weights by maximum tf in that document

$$ntf_{t,d} = \alpha + (1 - \alpha) \frac{tf_{t,d}}{tf_{max}(d)}$$

- alpha is a smoothing term from (0 - 1.0 ) ~0.4 in practice
- This addresses a length bias.
- Take one document, repeat it, WTF goes up
  - this score reduces that impact



### TF Normalization

- Normalize tf weights by maximum tf in that document

$$ntf_{t,d} = \alpha + (1 - \alpha) \frac{tf_{t,d}}{tf_{max}(d)}$$

- a change in the **stop word list** can change weights drastically - hard to tune
- still based on bag of words model
  - one outlier word, repeated many times might throw off the algorithmic understanding of the content



## Laundry List

<i>Term Frequency</i>		<i>Document Frequency</i>		<i>Normalization</i>	
<i>(n)atural</i>	$tf_{t,d}$	<i>(n)o</i>	1	<i>(n)one</i>	1
<i>(l)ogarithm</i>	$1 + \log(tf_{t,d})$	<i>(t)idf</i>	$\log \frac{ corpus }{df_t}$	<i>(c)osine</i>	$\frac{1}{\sqrt{w_1^2 + w_2^2 + \dots + w_m^2}}$
<i>(a)ugmented</i>	$\alpha + (1 - \alpha) \frac{tf_{t,d}}{tf_{max}(d)}$	<i>(p)robidf</i>	$\max\{0, \log(\frac{ corpus  - df_t}{df_t})\}$	<i>(u)pivoted</i>	$1/u$
<i>(b)oolean</i>	$tf_{t,d} > 0 ? 1 : 0$			<i>(b)yte</i>	$1/CharLength^\alpha, \alpha < 1$
<i>(L)ogaverage</i>	$\frac{1 + \log(tf_{t,d})}{1 + \log(ave_{t \in d}(tf_{t,d}))}$				

- SMART system of describing your IR vector algorithm
  - ddd.qqq (ddd = document weighting) (qqq = query weighting)
  - first is term weighting, second is document, then normalization
  - Inc.ltc is what?



# Efficient Cosine Ranking

- Find the  $k$  docs in the corpus “nearest” to the query
  - the  $k$  largest query-doc cosines
- Efficient ranking means:
  - Computing a single cosine efficiently
  - Computing the  $k$  largest cosine values efficiently
    - Can we do this without computing all  $n$  cosines?
      - $n$  = number of documents in corpus



# Efficient Cosine Ranking

- Computing a single cosine
- Use inverted index
- At query time use an array of accumulators  $A_j$  to accumulate component-wise sum (incremental dot-product)
- Accumulate scores as postings lists are being processed (numerator of similarity score)

$$A_j = \sum_t (w_{q,t} w_{d,t})$$



# Efficient Cosine Ranking

- For the web
  - an array of accumulators in memory is infeasible
  - so only create accumulators for docs that occur in postings list
    - dynamically create accumulators
  - put the `tf_d` scores in the postings lists themselves
  - limit docs to non-zero cosines on rare words
    - or non-zero cosines on all words
  - reduces number of accumulators





## Efficient Cosine Ranking

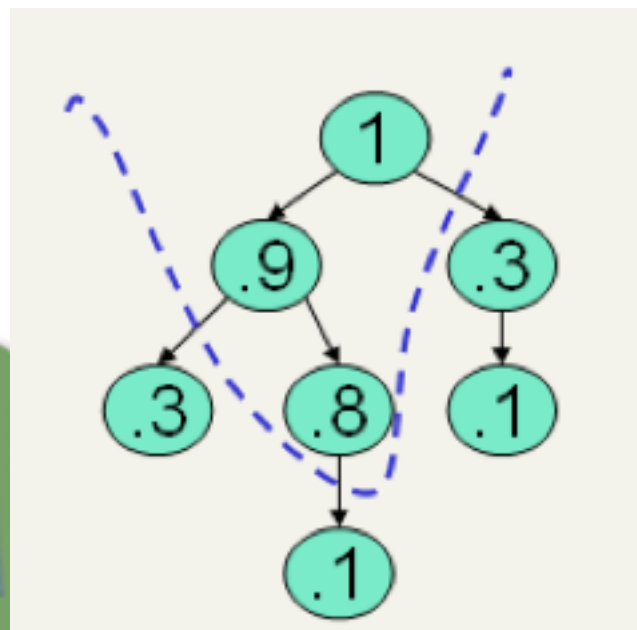
COSINESCORE( $q$ )

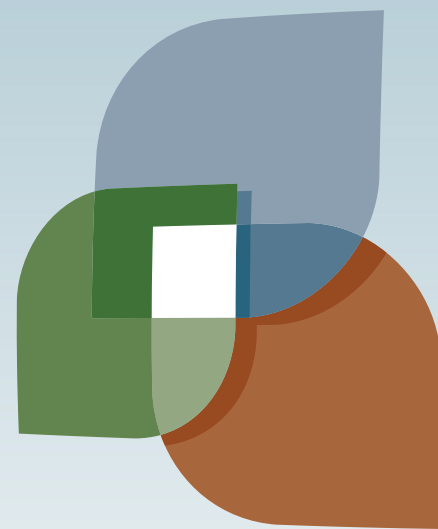
```
1  INITIALIZE( $Scores[d \in D]$ )
2  INITIALIZE( $Magnitude[d \in D]$ )
3  for each term ( $t \in q$ )
4      do  $p \leftarrow \text{FETCHPOSTINGSLIST}(t)$ 
5           $df_t \leftarrow \text{GETCORPUSWIDESTATS}(p)$ 
6           $\alpha_{t,q} \leftarrow \text{WEIGHTINQUERY}(t, q, df_t)$ 
7          for each  $\{d, tf_{t,d}\} \in p$ 
8              do  $Scores[d] += \alpha_{t,q} \cdot \text{WEIGHTINDOCUMENT}(t, q, df_t)$ 
9  for  $d \in Scores$ 
10     do  $\text{NORMALIZE}(Scores[d], Magnitude[d])$ 
11  return top  $K \in Scores$ 
```



# Use heap for selecting the top K Scores

- Binary tree in which each node's value  $>$  the values of children
- Takes  $2N$  operations to construct
  - then each of  $k$  "winners" read off in  $2\log n$  steps
  - For  $n = 1M$ ,  $k = 100$  this is about 10% of the cost of sorting
- Java "TreeMap" for example





L U C I

