

**3-Coloring** in time  $O(1.3446^n)$ :  
a no-MIS algorithm

Richard Beigel

and

David Eppstein

## Why try to solve graph coloring exactly?

- With **fast computers** we can do **exponential-time** computations of moderate and increasing size
- Algorithmic improvements are **even more important** than in polynomial-time arena
- Graph coloring is **useful** e.g. for **register allocation** and **parallel scheduling**
- Approximate coloring algorithms have **poor approximation ratios**
- **Interesting gap** between theory and practice

## Previous 3-coloring methods

- Color vertices one at a time,  
ordered by **fewest available choices**:

$$2^n \quad \text{[folklore?]}$$

- For each **maximal independent set**  
test if remaining graph is bipartite:

$$3^{n/3} \approx 1.4422^n \quad \text{[Lawler 1976]}$$

- Use **maximal independent sets** to increase  
vertex degree or split into subproblems:

$$1.415^n \quad \text{[Schiermeyer 1994]}$$

## Our method

- Replace by a more general problem:  
**symbol system satisfiability (3,2)-SSS**

Idea: more flexibility for local reductions to stay within the same problem class

- Solve (3,2)-SSS by finding unavoidable set of  
**reducible local configurations**

Idea: similar strategy to proof of 4-color theorem

Result:  $1.3803^n$

- **Improved reduction** 3-coloring  $\Rightarrow$  (3,2)-SSS

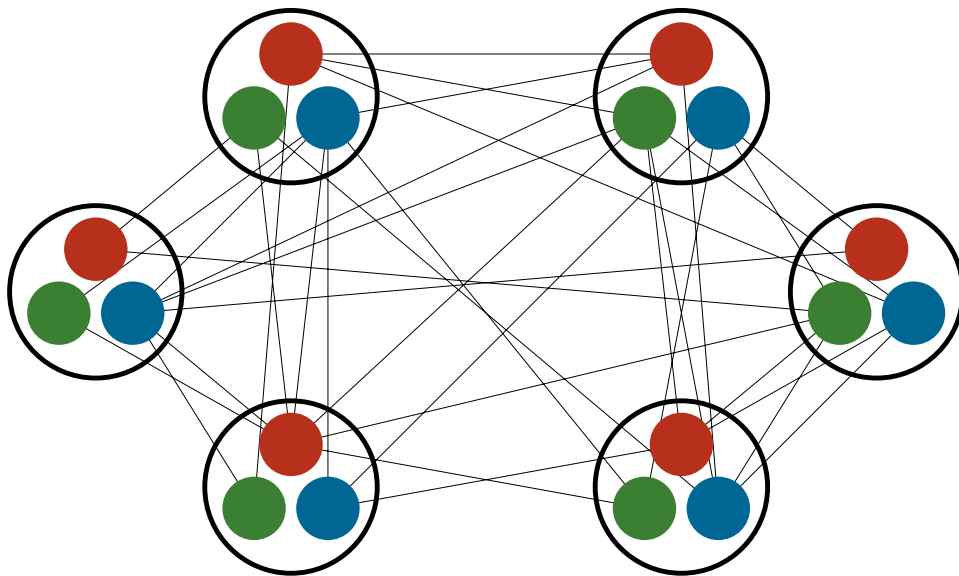
Idea: choose colors for a few high-degree vertices then solve remaining (3,2)-SSS problem

Result:  $1.3446^n$

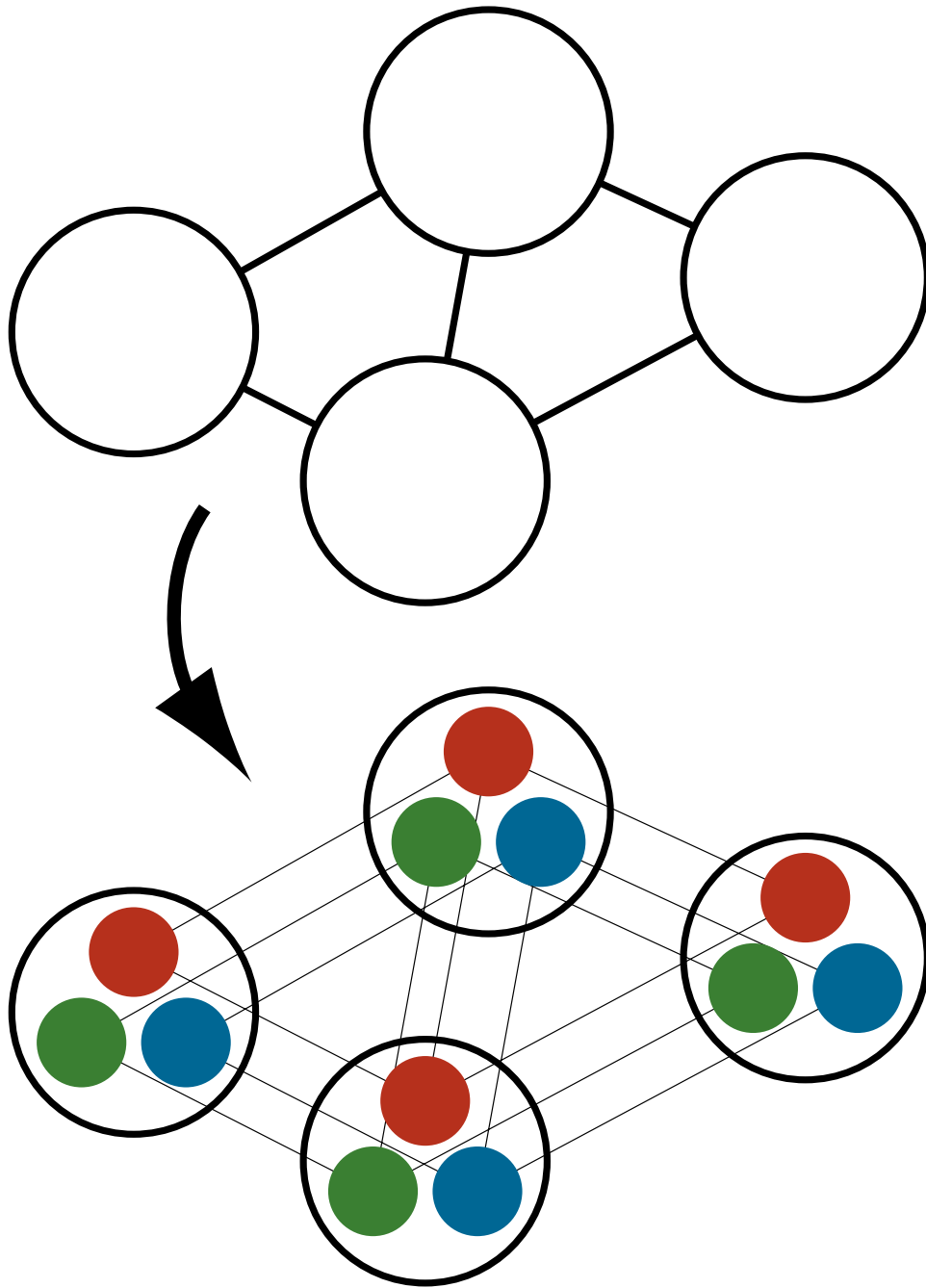
**What is (3,2)-SSS?**

## (3,2)-SSS

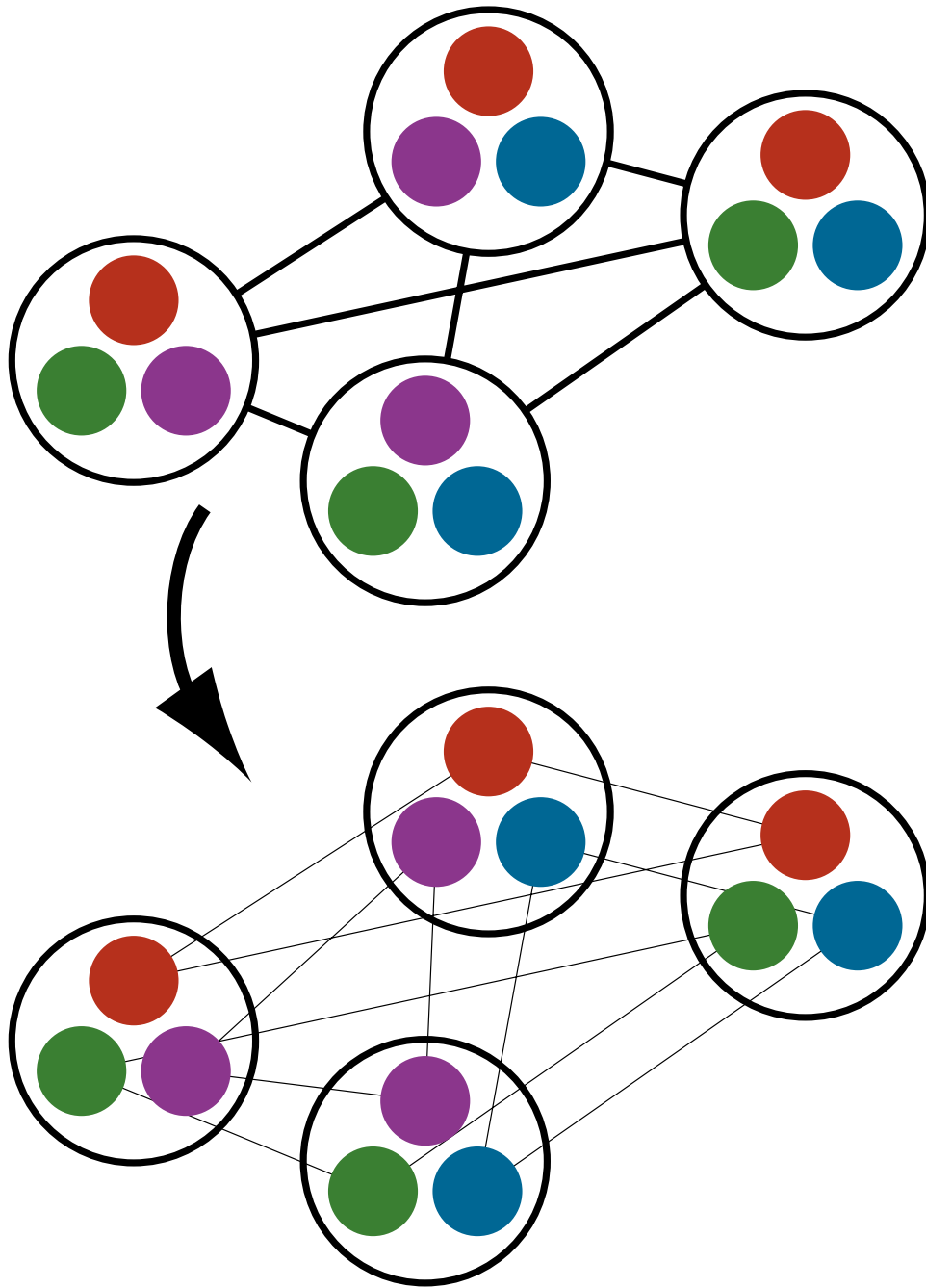
- Set of vertices (variables)
- **Three** colors (values) per vertex
- Edges (constraints) between incompatible **pairs** of colors



- Color all vertices **without incompatibilities**



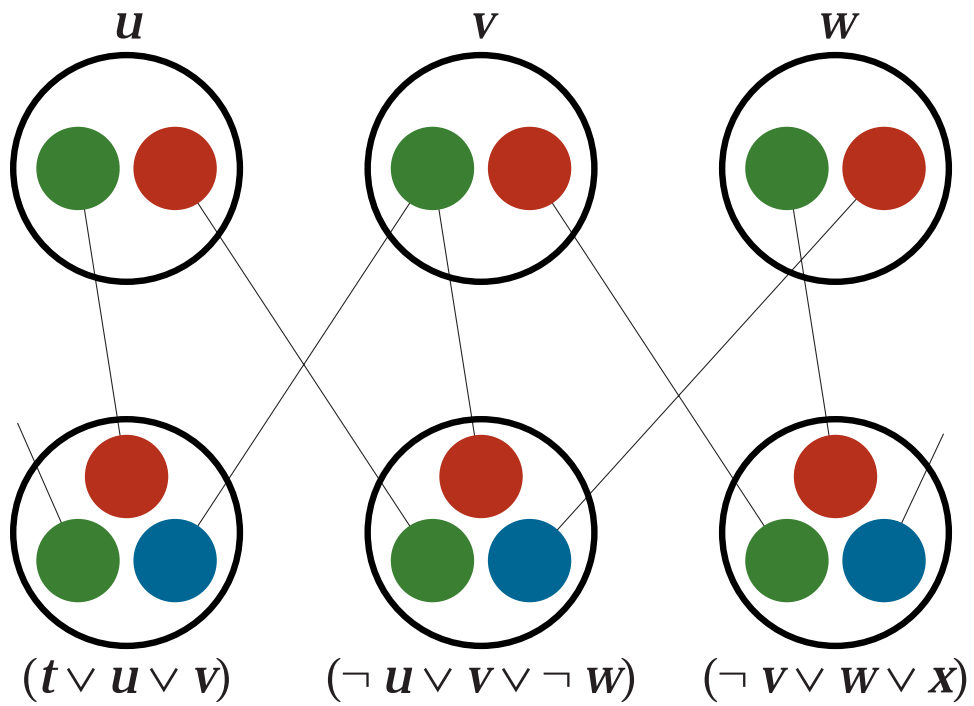
**3-coloring  $\Rightarrow$  (3,2)-SSS**



**3-list-coloring  $\Rightarrow$  (3,2)-SSS**



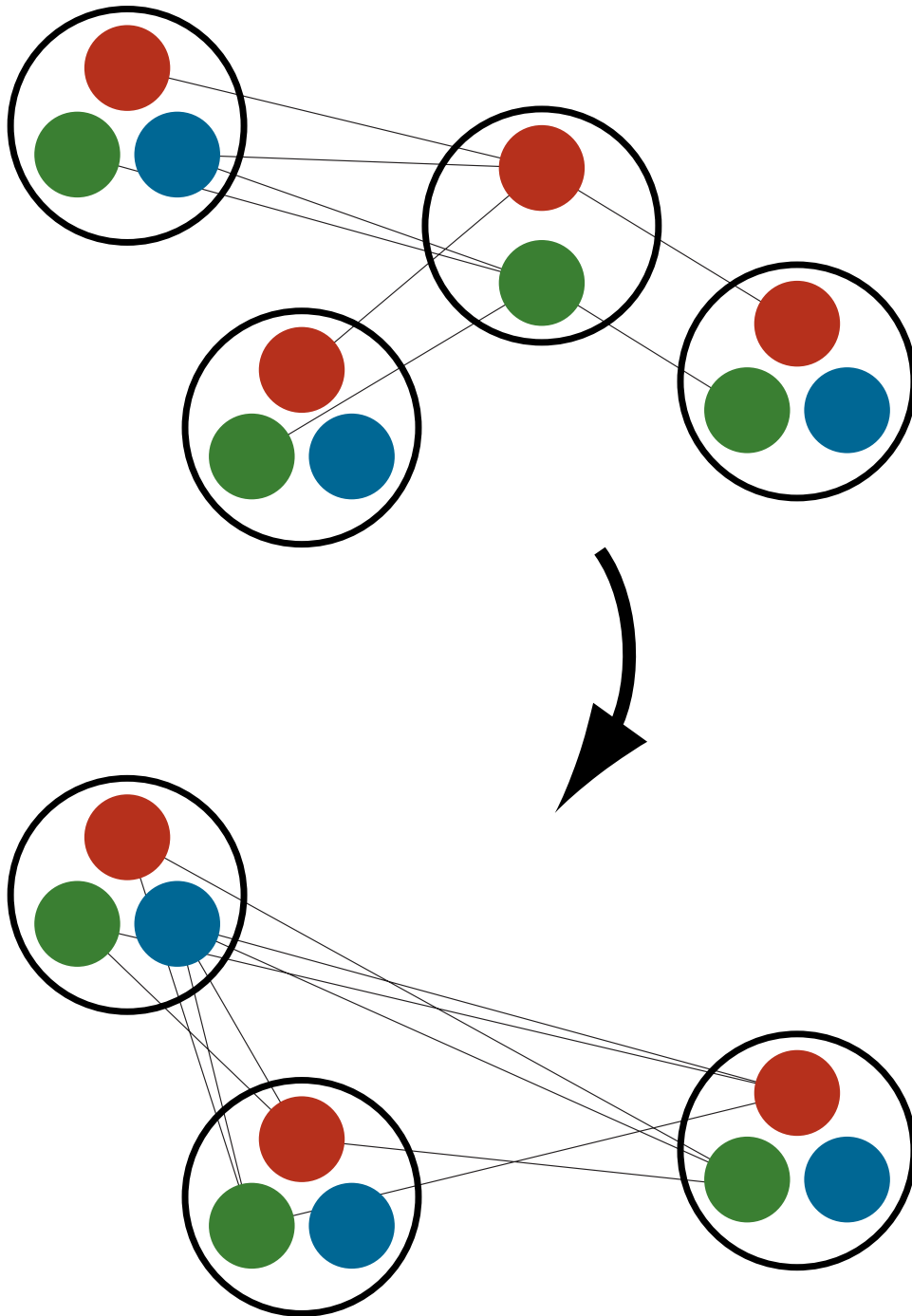
$(t \vee u \vee v) (\neg u \vee v \vee \neg w) (\neg v \vee w \vee x)$



**3-SAT  $\Rightarrow$  (3,2)-SSS**

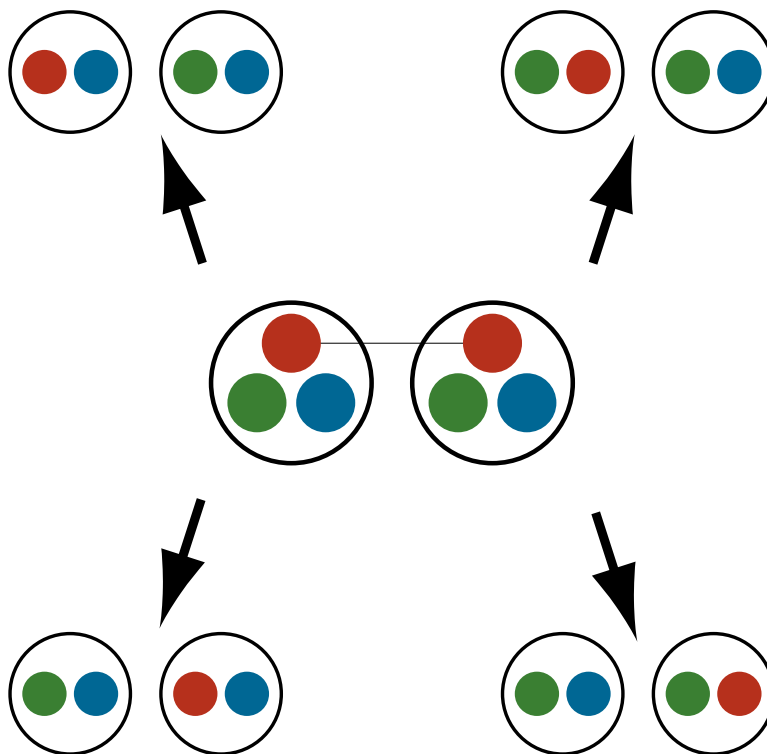
How do we solve (3,2)-SSS?

Vertices w/only two colors are free!



## Simple $2^{n/2}$ algorithm

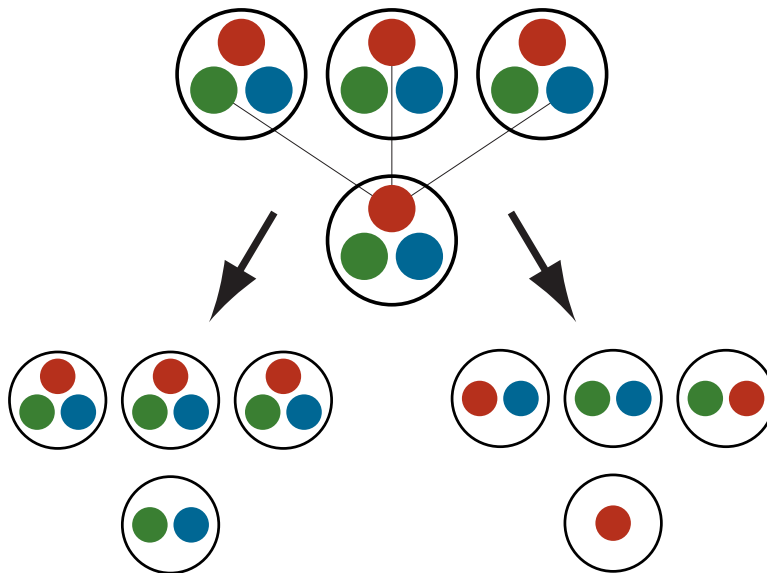
- **Randomly restrict** two adjacent vertices
- Four possible restrictions using **exactly one** of the two incompatible colors



- **50% chance** of preserving consistent coloring
- **Reduces problem size** by two vertices

## Deterministic $1.3803^n$ algorithm

- Messy case analysis
- Main case: some vertex has a color with at least **three neighbors**



- Restricting to remaining colors removes **one** vertex
- Using that color removes **four** vertices
- $T(n) = T(n - 1) + T(n - 4) = 1.3803^n$

## Remaining Cases

- Colors with **multiple neighbors** in the **same** neighboring vertex
- Colors with only a **single neighbor**
- **Long chains** of degree-two colors
- **Short cycles** of colors
- If all other cases exhausted, only **triangles** of colors remain—**solvable by Hall's Theorem!**

# Bushy Forests

or,

reducing 3-coloring to (3,2)-SSS

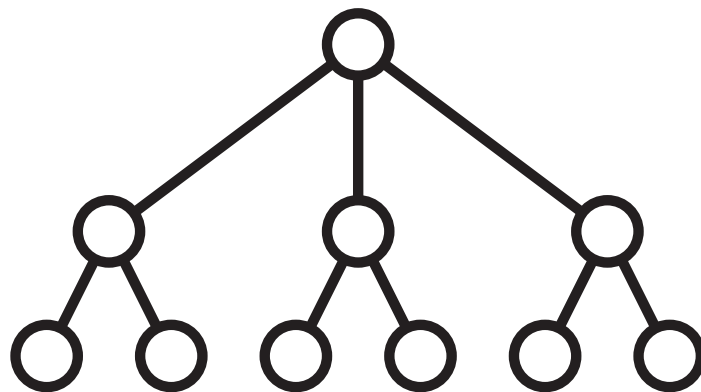
## Idea:

- Find set  $S$  of **high degree** vertices
- **Choose a color** for each member of  $S$
- Treat **remaining** vertices as **(3,2)-SSS** problem
- Each neighbor of  $S$  is restricted to two colors and **eliminated**
- If  $S$  small but  $N(S)$  large, **cost** of coloring  $S$  more than made up by **savings** of eliminating  $N(S)$



## Basic Reduction Technique

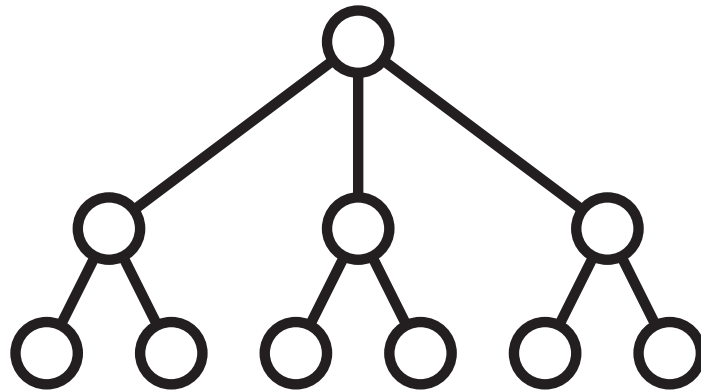
- Find **maximal set** of vertices with **no shared neighbors**
- **Forest of shortest paths** to set has height two
- Color each tree **root** and **degree- $\geq 3$  child**
- **Worst case**: three children, six grandchildren



- Choosing root color **eliminates four vertices**
- Remaining six **grandchildren**  $\Rightarrow$  **(3,2)-SSS**
- Cost per vertex:  $(3 \cdot 1.3803^6)^{1/10} \approx 1.3542$

## Improved Reduction Technique for three children, two w/degree $\geq 2$

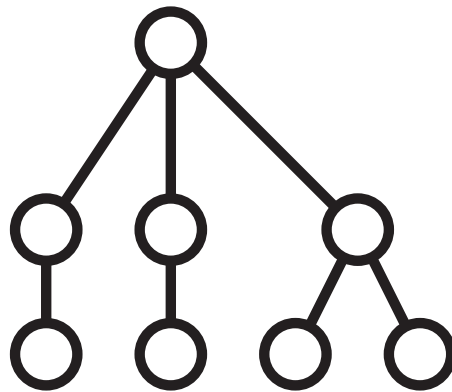
- Color two children in each of nine ways
- If children have different colors  
color of tree root is forced  
and third child is eliminated
- If same color, third child  $\Rightarrow$  (3,2)-SSS
- Same worst case:



- Cost per vertex:  
 $(6 \cdot 1.3803^2 + 3 \cdot 1.3803^3)^{1/10} \approx 1.3446$

## Improved Reduction Technique for **other trees**

- Color **root** and **bushy children** as before
- **Worst case**: tree with four grandchildren



- Cost per vertex:  $(3 \cdot 1.3803^4)^{1/8} \approx 1.3478$
- **Eliminate these bad trees**  
(local improvement, messy case analysis,  
complicated potential function)
- **Worst remaining tree**: three grandchildren  
cost =  $(3 \cdot 1.3803^3)^{1/7} \approx 1.3432$

## Conclusions

- New **faster algorithm** for 3-coloring
- Some **improvement possible** by more complicated case analyses
- Is  $c^n$  the **right form** of time bound?
- How can we find the **right value** for  $c$ ?