

# 3-Coloring, 3-Edge-Coloring, and Constraint Satisfaction

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# These problems are NP-complete

Why do worst-case analysis of exact algorithms?

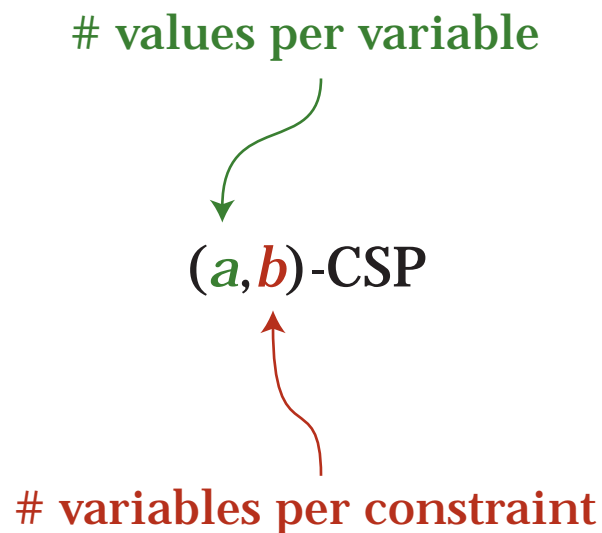
- With **fast computers** we can do **exponential-time** computations of moderate and increasing size
- Algorithmic improvements are **even more important** than in polynomial-time arena
- Graph coloring is **useful** e.g. for **register allocation** and **parallel scheduling**
- Approximate coloring algorithms have **poor approximation ratios**
- **Interesting gap** between theory and practice

# Constraint Satisfaction

Given  $n$  **variables**, each with a set of possible values

$m$  **constraints** forbid certain value combinations

find **assignment** of values to variables  
obeying all constraints



## Previous CSP Results

### Beigel & Eppstein 1995

#### Messy case analysis

$$(3, 2)\text{-CSP } \mathcal{O}(1.38028^n)$$

#### Randomized restriction

$$(k, 2)\text{-CSP } \mathcal{O}\left(\left(\frac{k}{2}\right)^n\right)$$

### Feder & Motwani 1998

#### Random permutation of variables

$$(k, 2)\text{-CSP } \mathcal{O}(k!^{n/k})$$

### Schöningh 1999

#### Random walk among assignments

$$(a, b)\text{-CSP } \mathcal{O}\left(\left(\frac{ab - a}{b}\right)^n\right)$$

## New CSP Results

$(3, 2)$ -CSP  $\mathcal{O}(1.36443^n)$

$(4, 2)$ -CSP  $\mathcal{O}(1.8072^n)$

$(k, 2)$ -CSP  $\mathcal{O}((0.4518k)^n)$

### Ideas:

Continued messy **case analysis**

Stop backtracking when solvable by **matching**

Define problem size =  $n_3 + (2 - \epsilon)n_4$ ,  
**choose optimal  $\epsilon$**  for analysis

Combine w/**random restriction** for  $(k, 2)$ -CSP

# 3-Vertex-Coloring

## Previous results

**Lawler 1976**

$$\mathcal{O}(3^{n/3})$$

**Schiermeyer 1994**

$$\mathcal{O}(1.415^n)$$

**Beigel & Eppstein 1995**

$$\mathcal{O}(1.3446^n)$$

## New result

$$\mathcal{O}(1.3289^n)$$

## 3-Coloring Main Idea

(Same as Beigel & Eppstein 1995)

Find **small** set  $S$  with **many neighbors**

**Choose colors** for vertices in  $S$

Solve remaining vertices as **(3, 2)-CSP**

Neighbors of colored vertices are **restricted**  
to two colors, **eliminated** from (3, 2)-CSP

Time:  $\mathcal{O}(3^{|S|} 1.3645^{|V(G) \setminus (S \cup N(S))|})$

# How to Find $S$ ?

Beigel & Eppstein:

Group all vertices into **height-two trees**  
**Local improvement** from **greedy** start  
(messy case analysis)

New method:

**Eliminate big clumps** of degree-3 vertices  
(else good reduction to smaller coloring instances)

Find **big forest** w/degree-4 internal nodes  
must cover **constant fraction** of graph

Remaining vertices  $\Rightarrow$  **height-two trees**  
few grandchildren per tree  
start with **fractional assignment** nodes-trees  
then use **integer flow** to make 0-1 assignment

$S =$  big forest **internal nodes**  
+ height-two **tree roots**



# 3-Edge-Coloring

Previous result

Beigel & Eppstein 1995

$\mathcal{O}(1.5039^n)$

(minor mods to vertex coloring alg)

New result

$\mathcal{O}(2^{n/2})$

## 3-Edge-Coloring Main Idea

Generalize problem:

Add **constraints** forcing pairs of edges to have different colors

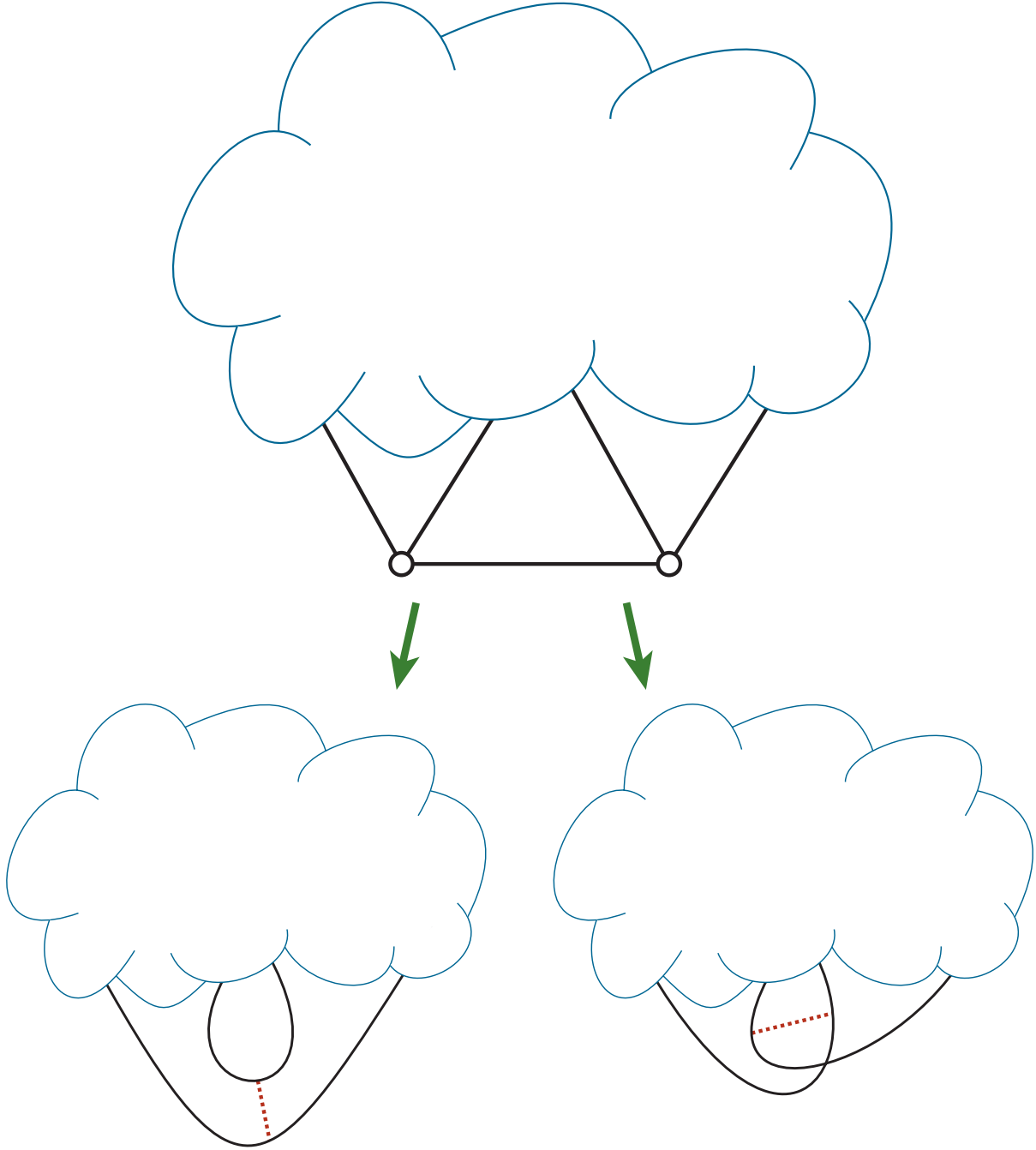
Eliminate edges with four neighbors

Reduce to **two subproblems** with **two fewer** vertices (but one more constraint)

Find **many independent reductions** by **matching**

Transform remaining problem to vertex coloring

Edge intersection graph + edge per **constraint**



## 3-Edge-Coloring Analysis

Let  $m_i = \#$  edges with  $i$  neighbors

Can find  $m_4/3$  indep. reductions  
(else not 3-colorable)

$2^{m_4/3}$  subproblems after reduction  
 $m_3$  edges per subproblem

Time =  $\mathcal{O}(1.3289^{m_3} 2^{m_4/3})$

Maximized when  $m_3 = 0$ ,  $m_4 = 3n/2$ :

$$\mathcal{O}(2^{n/2})$$

## Conclusions

More efficient algorithms for several important NP-complete problems

Many other problems for further work

Recent progress:

General graph chromatic number

$\mathcal{O}(2.4422^n)$  [Lawler 1976]  $\Rightarrow \mathcal{O}(2.4150^n)$