

# Regular Expressions

Definitions

Equivalence to Finite Automata

# RE' s: Introduction

- ***Regular expressions*** are an algebraic way to describe languages.
- They describe exactly the regular languages.
- If  $E$  is a regular expression, then  $L(E)$  is the language it defines.
- We'll describe RE' s and their languages recursively.

# RE' s: Definition

- **Basis 1:** If  $a$  is any symbol, then  $a$  is a RE, and  $L(a) = \{a\}$ .
  - **Note:**  $\{a\}$  is the language containing one string, and that string is of length 1.
- **Basis 2:**  $\epsilon$  is a RE, and  $L(\epsilon) = \{\epsilon\}$ .
- **Basis 3:**  $\emptyset$  is a RE, and  $L(\emptyset) = \emptyset$ .

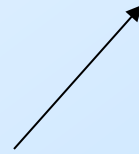
# RE' s: Definition – (2)

- **Induction 1:** If  $E_1$  and  $E_2$  are regular expressions, then  $E_1 + E_2$  is a regular expression, and  $L(E_1 + E_2) = L(E_1) \cup L(E_2)$ .
- **Induction 2:** If  $E_1$  and  $E_2$  are regular expressions, then  $E_1 E_2$  is a regular expression, and  $L(E_1 E_2) = L(E_1) L(E_2)$ .

*Concatenation* : the set of strings  $wx$  such that  $w$  is in  $L(E_1)$  and  $x$  is in  $L(E_2)$ .

# RE' s: Definition – (3)

- **Induction 3:** If  $E$  is a RE, then  $E^*$  is a RE, and  $L(E^*) = (L(E))^*$ .



*Closure*, or “Kleene closure” = set of strings  $w_1w_2\dots w_n$ , for some  $n \geq 0$ , where each  $w_i$  is in  $L(E)$ .

**Note:** when  $n=0$ , the string is  $\epsilon$ .

# Precedence of Operators

- Parentheses may be used wherever needed to influence the grouping of operators.
- Order of precedence is \* (highest), then concatenation, then + (lowest).

# Examples: RE' s

- $L(01) = \{01\}$ .
- $L(01+0) = \{01, 0\}$ .
- $L(0(1+0)) = \{01, 00\}$ .
  - Note order of precedence of operators.
- $L(0^*) = \{\epsilon, 0, 00, 000, \dots\}$ .
- $L((0+10)^*(\epsilon+1)) =$  all strings of 0' s and 1' s without two consecutive 1' s.

## More Examples: RE's

- $L((0+1)^*101(0+1)^*)$  = all strings of 0's and 1's having 101 as a substring.
- $L((0+1)^*1(0+1)^*0(0+1)^*1(0+1)^*)$  = all strings of 0's and 1's having 101 as a subsequence.
- $L(1^*(1^*01^*01^*01^*)^*1^*)$  = all strings of 0's and 1's having a number of 0's that is a multiple of 3.



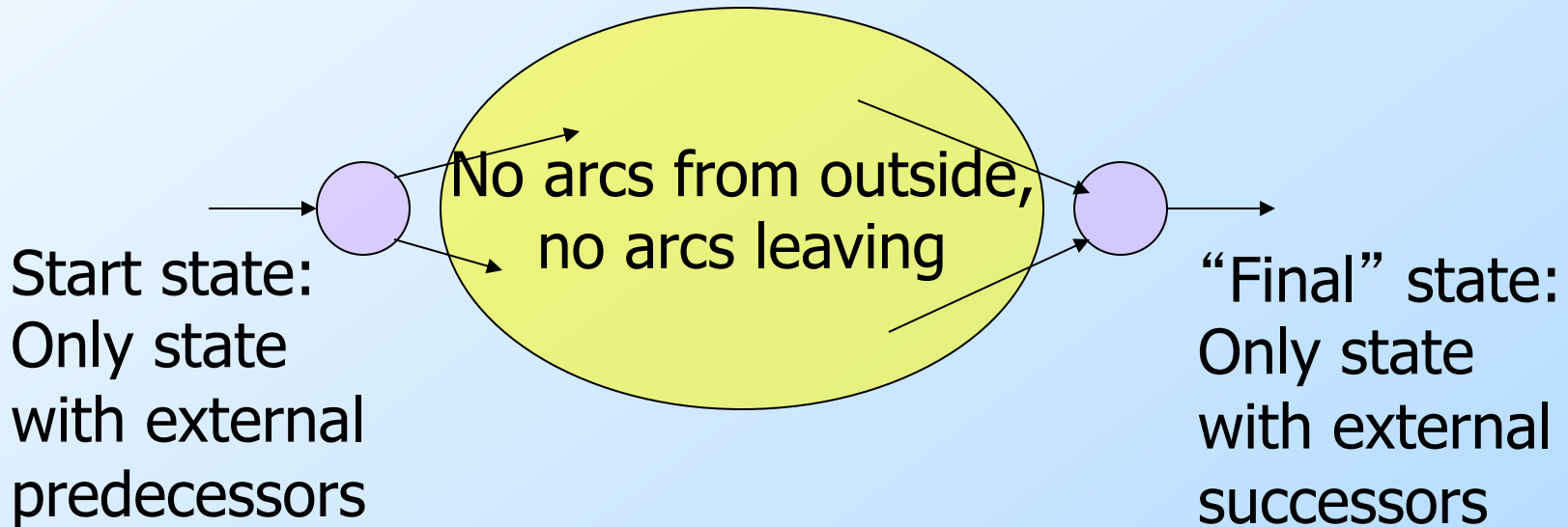
# Equivalence of RE' s and Automata

- We need to show that for every RE, there is an automaton that accepts the same language.
  - Pick the most powerful automaton type: the  $\epsilon$ -NFA.
- And we need to show that for every automaton, there is a RE defining its language.
  - Pick the most restrictive type: the DFA.

# Converting a RE to an $\epsilon$ -NFA

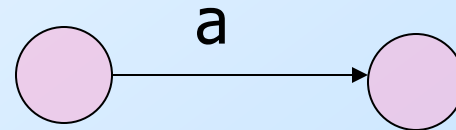
- Proof is an induction on the number of operators (+, concatenation, \*) in the RE.
- We always construct an automaton of a special form (next slide).

# Form of $\epsilon$ -NFA's Constructed

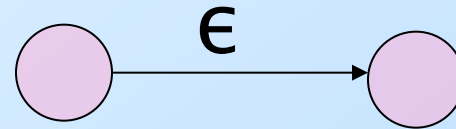


# RE to $\epsilon$ -NFA: Basis

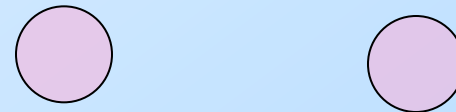
- Symbol **a**:



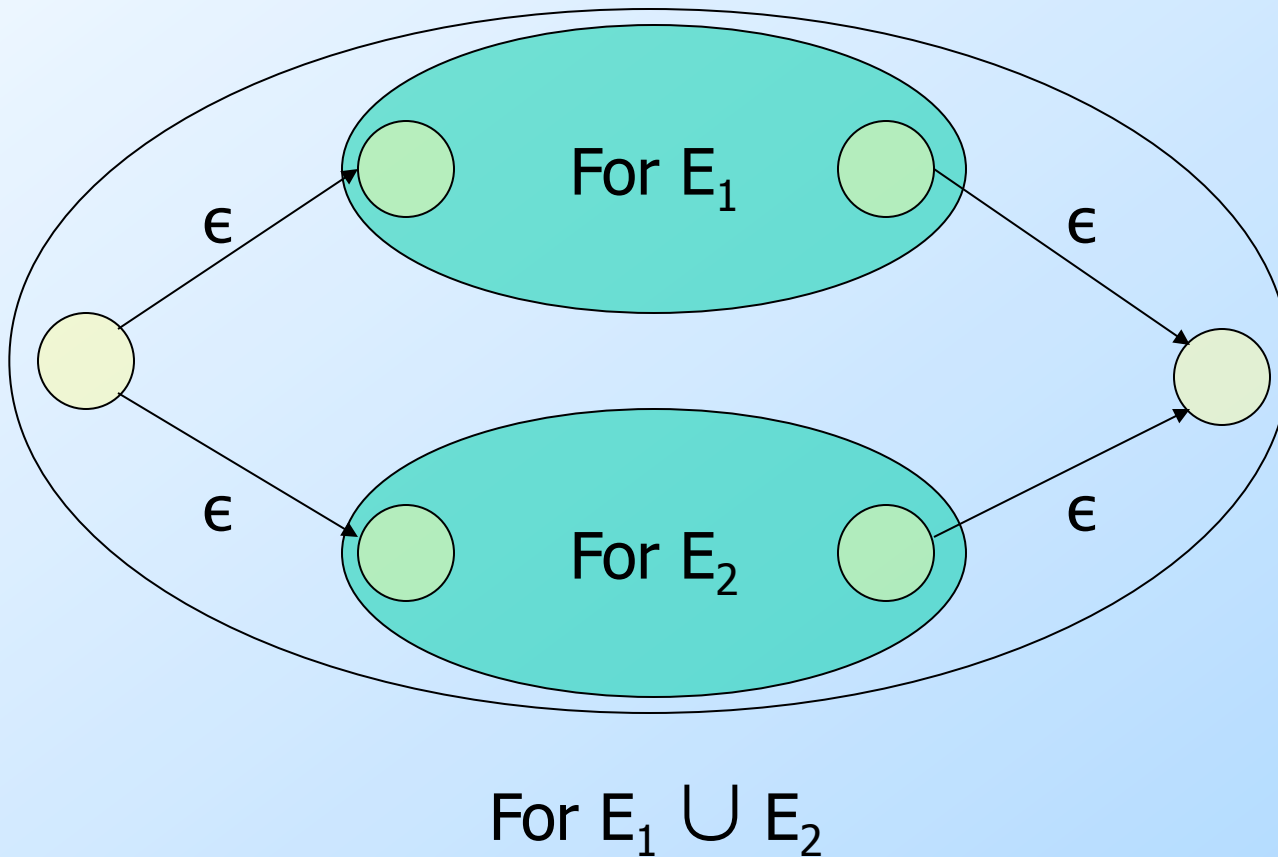
- $\epsilon$ :



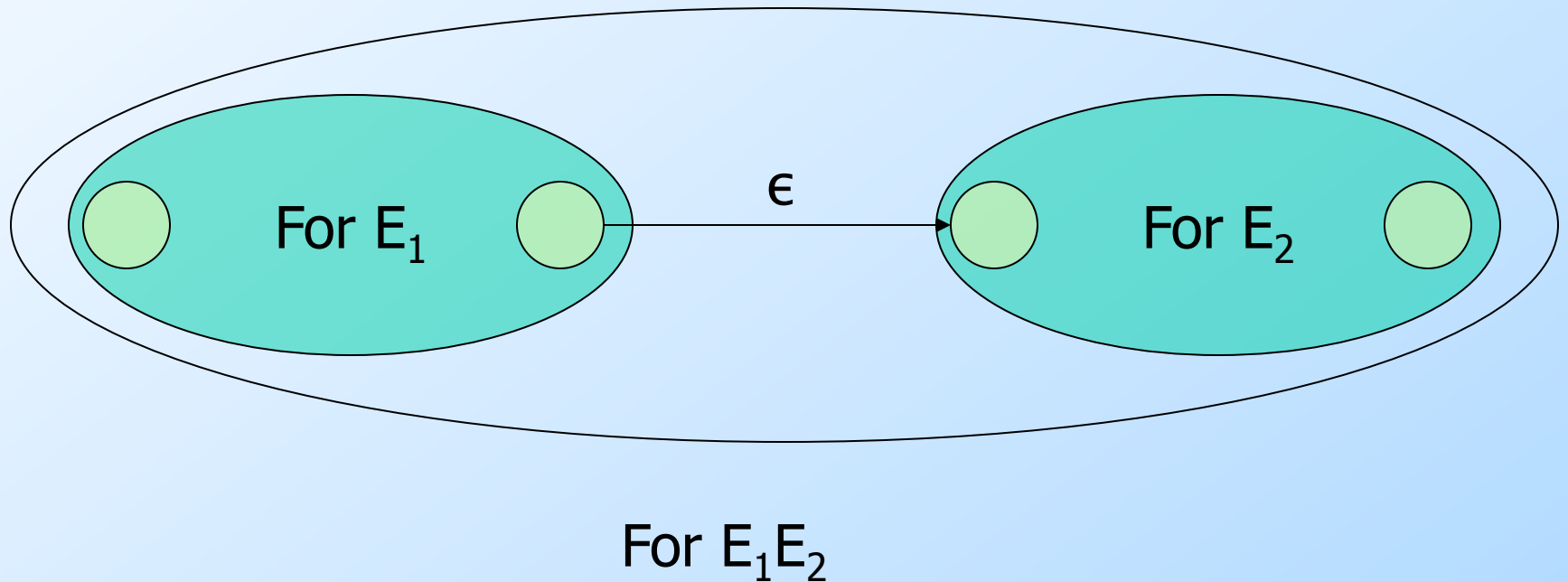
- $\emptyset$ :



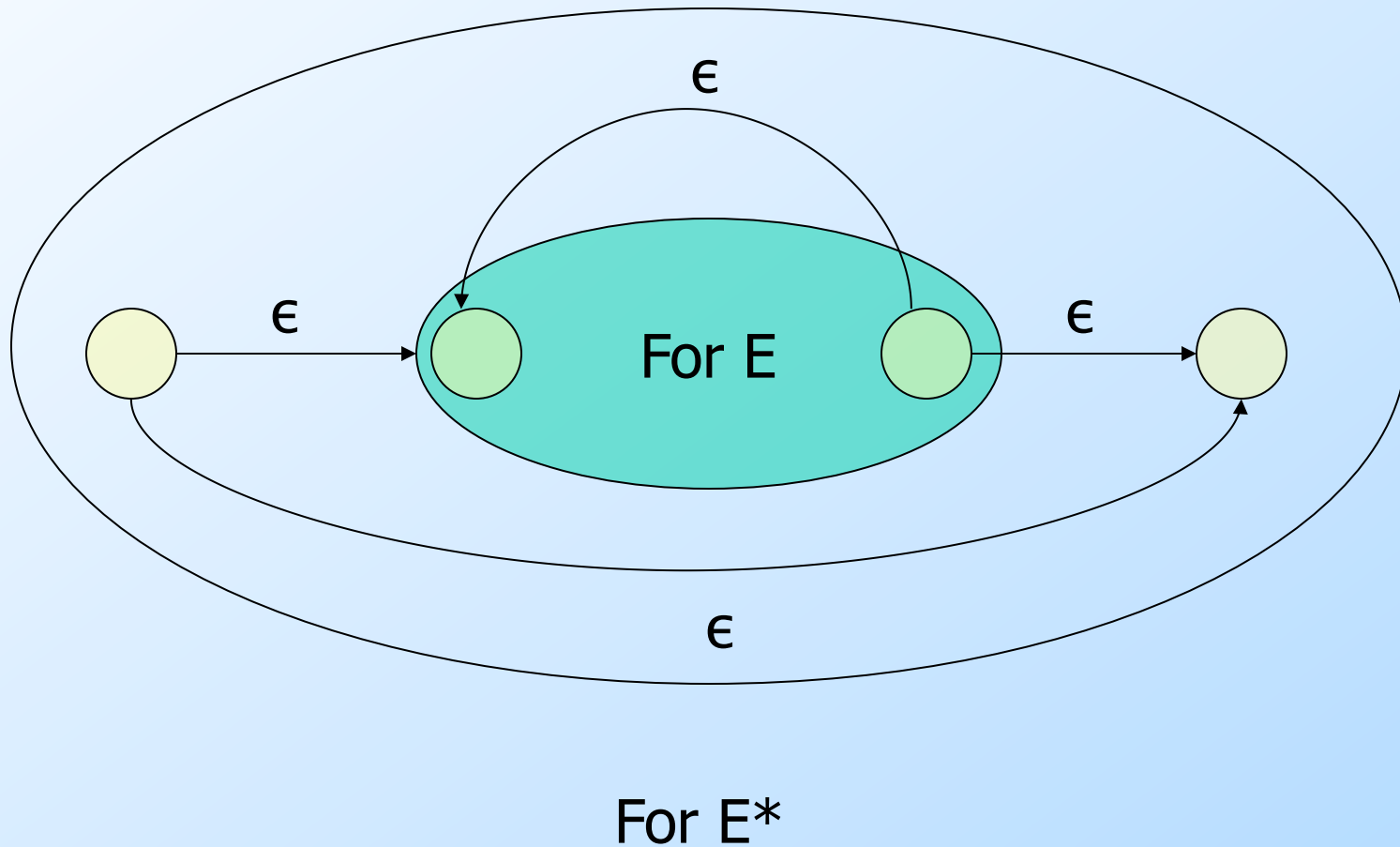
# RE to $\epsilon$ -NFA: Induction 1 – Union



# RE to $\epsilon$ -NFA: Induction 2 – Concatenation



# RE to $\epsilon$ -NFA: Induction 3 – Closure



# DFA-to-RE

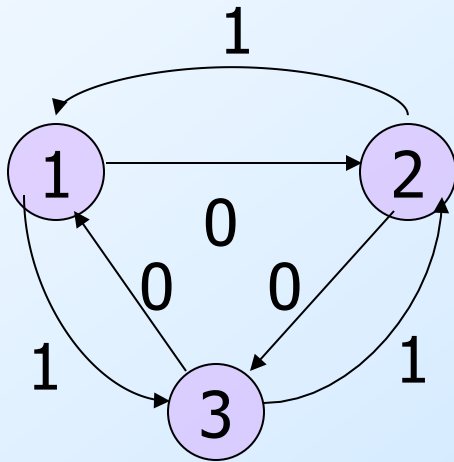
- A strange sort of induction.
- States of the DFA are assumed to be  $1, 2, \dots, n$ .
- We construct RE' s for the labels of restricted sets of paths.
  - **Basis**: single arcs or no arc at all.
  - **Induction**: paths that are allowed to traverse next state in order.



# k-Paths

- A k-path is a path through the graph of the DFA that goes **through** no state numbered higher than k.
- Endpoints are not restricted; they can be any state.

# Example: k-Paths



0-paths from 2 to 3:  
RE for labels = 0.

1-paths from 2 to 3:  
RE for labels =  $0+11$ .

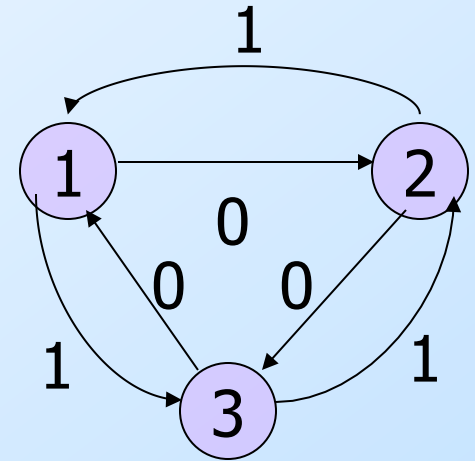
2-paths from 2 to 3:  
RE for labels =  
 $(10)*0+1(01)*1$

3-paths from 2 to 3:  
RE for labels = ??

# k-Path Induction

- Let  $R_{ij}^k$  be the regular expression for the set of labels of k-paths from state  $i$  to state  $j$ .
- **Basis:**  $k=0$ .  $R_{ij}^0 =$  sum of labels of arc from  $i$  to  $j$ .
  - $\emptyset$  if no such arc.
  - But add  $\epsilon$  if  $i=j$ .

# Example: Basis



- $R_{12}^0 = 0.$
- $R_{11}^0 = \emptyset + \epsilon = \epsilon.$

# k-Path Inductive Case

- A k-path from i to j either:
  1. Never goes through state k, or
  2. Goes through k one or more times.

$$R_{ij}^k = R_{ij}^{k-1} + R_{ik}^{k-1} (R_{kk}^{k-1})^* R_{kj}^{k-1}.$$

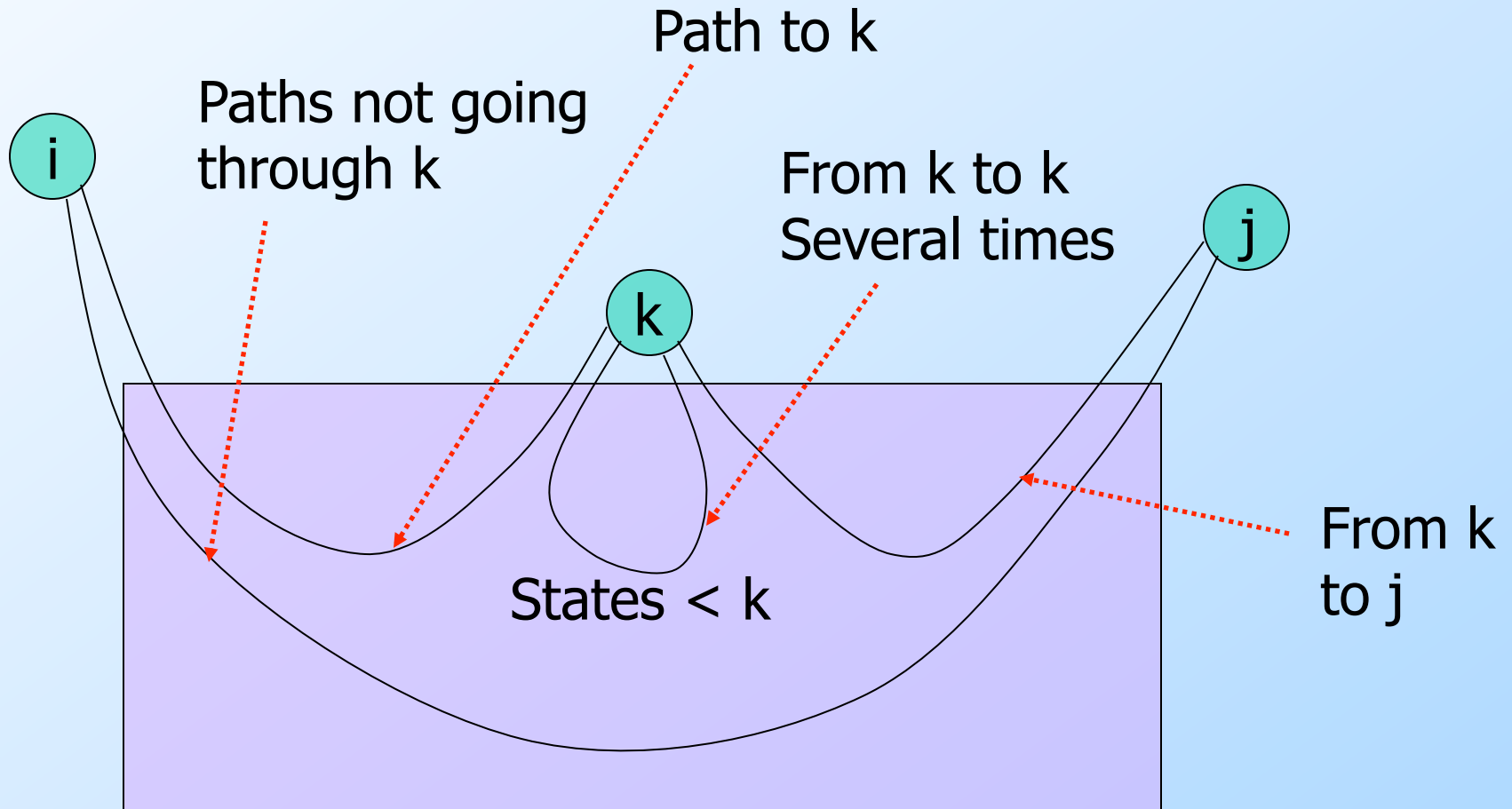
Doesn't go through k

Goes from i to k the first time

Zero or more times from k to k

Then, from k to j

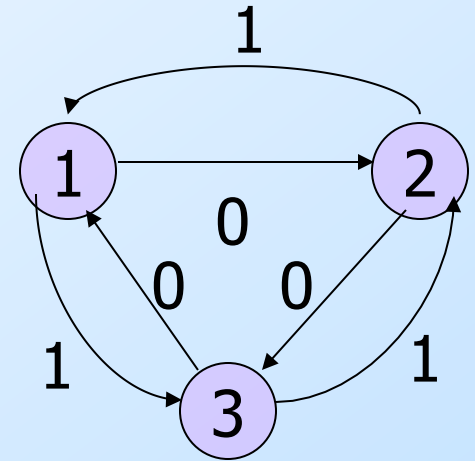
# Illustration of Induction



# Final Step

- The RE with the same language as the DFA is the sum (union) of  $R_{ij}^n$ , where:
  1.  $n$  is the number of states; i.e., paths are unconstrained.
  2.  $i$  is the start state.
  3.  $j$  is one of the final states.

# Example



- $R_{23}^3 = R_{23}^2 + R_{23}^2(R_{33}^2)*R_{33}^2 = R_{23}^2(R_{33}^2)*$
- $R_{23}^2 = (10)*0+1(01)*1$
- $R_{33}^2 = 0(01)*(1+00) + 1(10)*(0+11)$
- $R_{23}^3 = [(10)*0+1(01)*1] [(0(01)*(1+00) + 1(10)*(0+11))]*$



# Summary

- Each of the three types of automata (DFA, NFA,  $\epsilon$ -NFA) we discussed, and regular expressions as well, define exactly the same set of languages: the **regular** languages.