

Lower Envelopes

### Michael T. Goodrich

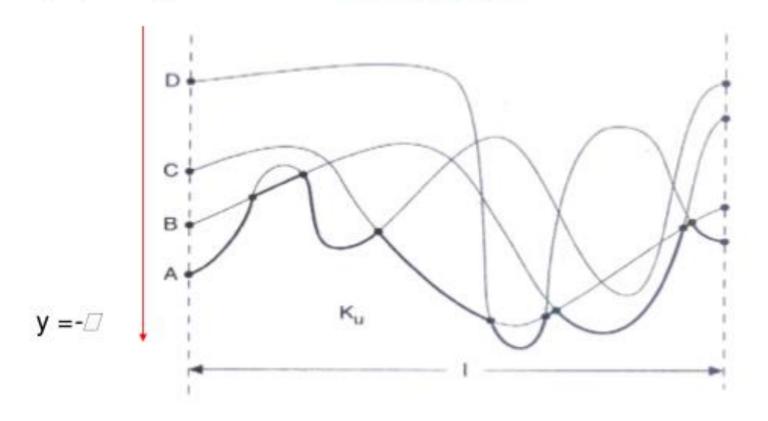
with some slides by Thomas Ottmann

### Definition

Definition of the Lower Envelope (untere Kontur) of a set of functions: Given *n* real-valued functions, all defined on a common interval I, then the minimum is :

 $f(x) = \min_{1 \le i \le n} f_i(x)$ 

The graph of f(x) is called the lower envelope of the fis.



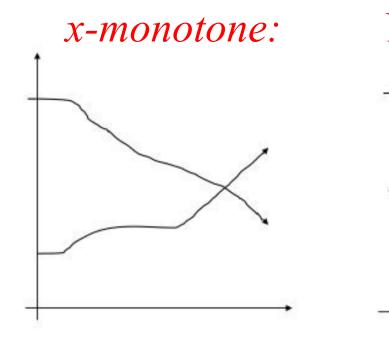
### x-Monotone Curves

#### Definition :

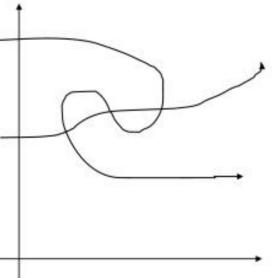
A curve c is x-monotone if any vertical line either does not intersect c, or it intersects c at a single point.

#### Assumptions

- All functions are x-monotone.
- Function evaluation and determination of intersection points take time O(1).
- The space complexity of the description of a function f<sub>i</sub> is also constant.



### Not *x-monotone*:



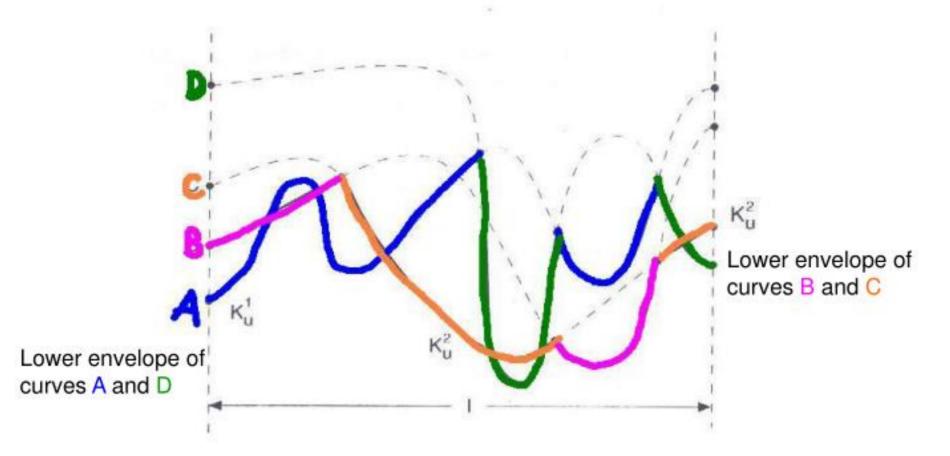
# **Representing a lower envelope** s = # times any pair of curves intersect S=3,n=4 Maximum k=18 (6 pairs) C В в

### **Divide-and-Conquer + Plane-sweep**

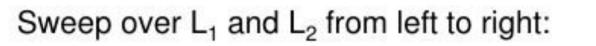
- Divide: the set S of n functions into two disjoint sets S<sub>1</sub> and S<sub>2</sub> of size n/2.
- Conquer: Compute the lower envelopes L<sub>1</sub> and L<sub>2</sub> for the two sets S<sub>1</sub> and S<sub>2</sub> of smaller size.
- Merge: Use a sweep-line algorithm for merging the lower envelopes L<sub>1</sub> and L<sub>2</sub> of S<sub>1</sub> and S<sub>2</sub> into the lower envelope L of the set S.

### The Merge Step (plane-sweep)

The lower envelopes of curves A,D and B,C



# The Merge Step (plane-sweep)

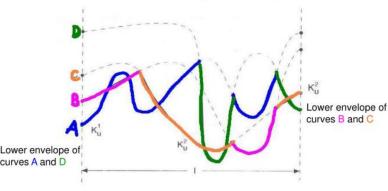


Event points: All vertices of L<sub>1</sub> and L<sub>2</sub>, all intersection points of L<sub>1</sub> and L<sub>2</sub>



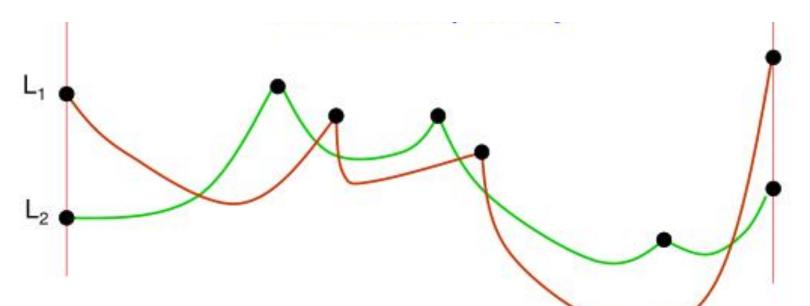
- 1 (the next) right endpoint of a segment of L<sub>1</sub>
- 1 (the next) right endpoint of a segment of L<sub>2</sub>
- The next intersection point of  $L_1$  and  $L_2$ , if it exists.

Sweep status structure: Contains two segments in y-order



The lower envelopes of curves A,D and B,C

### **Time Complexity**



The lower envelope can be computed in time proportional to the number of events (halting points of the sweep line).

At each event point, a constant amount of work is sufficient to update the SSS and to output the result.

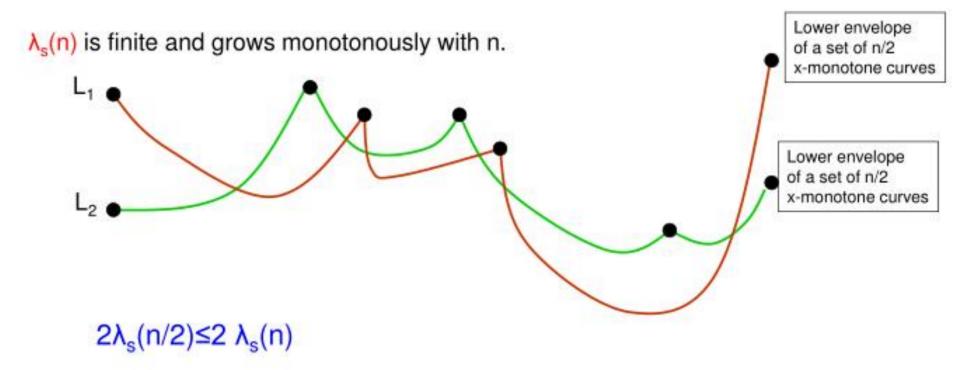
Total runtime of the merge step: O(#events).

# **A Complexity Measure**

#### Define $\lambda_s(n)$ :

the maximum number of segments of the lower envelope of an arrangement of

- n different x-monotone curves over a common interval
- such that every two curves have at most s intersection points



# **Analyzing Divide-and-Conquer**

If n =1, do nothing, otherwise:

- Divide: the set S of n functions into two disjoint sets S<sub>1</sub> and S<sub>2</sub> of size n/2.
- Conquer: Compute the lower envelopes L<sub>1</sub> and L<sub>2</sub> for the two sets S<sub>1</sub> and S<sub>2</sub> of smaller size.
- Merge: Use a sweep-line algorithm for merging the lower envelopes L<sub>1</sub> and L<sub>2</sub> of S<sub>1</sub> and S<sub>2</sub> into the lower envelope L of the set S.

Time complexity T(n) of the D&C/Sweep algorithm for a set of n x-monotone curves, s.t. each pair of curves intersects in at most s points:

T(1) = CT(n)  $\leq 2 T(n/2) + C \lambda_s(n)$ 

### **Time Complexity**

Using the Lemma : For all s,  $n \ge 1$ ,  $2\lambda_s(n) \le \lambda_s(2n)$ ,

and the recurrence relation T(1) = C,  $T(n) \le 2 T(n/2) + C \lambda_s(n)$  yields:

Theorem: To calculate the lower envelope of n different x-monotone curves on the same interval, with the property that any two curves intersect in at most s points can be computed in time  $O(\lambda_s(n) \log n)$ .

So we just need a good upper bound for  $\lambda_s(n)$ ...

### **Davenport-Schinzel Sequences (DSS)**

Consider words (strings) over an alphabet {A, B, C,...} of n letters.

A DSS of order s is a word such that

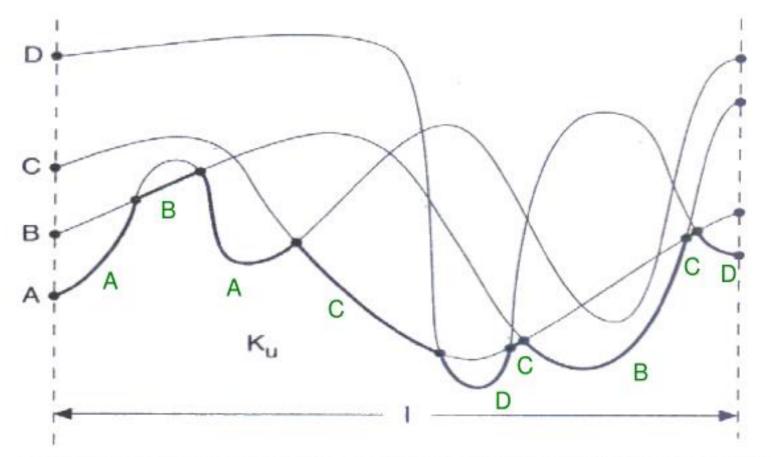
- no letter occurs more than once on any two consecutive positions
- the order in which any two letters occur in the word changes at most s times.

Examples: ABBA is no DSS, ABDCAEBAC is DSS of order 4, (it contains A..B..A.B..A)

#### Theorem:

The maximal length of a DSS of order *s* over an alphabet of *n* letters is  $\lambda_s(n)$ .

### **Relationship to Lower Envelopes**



Lower envelope contains the segments ABACDCBCD in this order.

Because s = 3, no pair of letters alternates more than 3 times. Thus, this is a DSS of order 3.

### "Almost" Linear Size

### Properties of $\lambda_s(n)$

- 1.  $\lambda_1(n) = n$
- 2.  $\lambda_2(n) = 2n 1$
- 3.  $\lambda_s(n) \leq s (n-1) n/2 + 1$
- 4.  $\lambda_s(n) \in O(n \log^* n)$ , where  $\log^* n$  is the smallest integer *m*, s.t. the *m*-th iteration of the logarithm of n

```
log<sub>2</sub>(log<sub>2</sub>(...(log<sub>2</sub>(n))...))
```

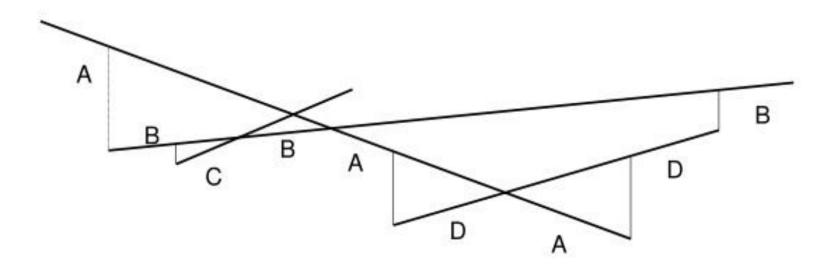
yields a value  $\leq 1$ :

Note: For realistic values of n, the value log \*n can be considered as constant!

```
Example: For all n \le 10^{20000}, \log n \le 5
```

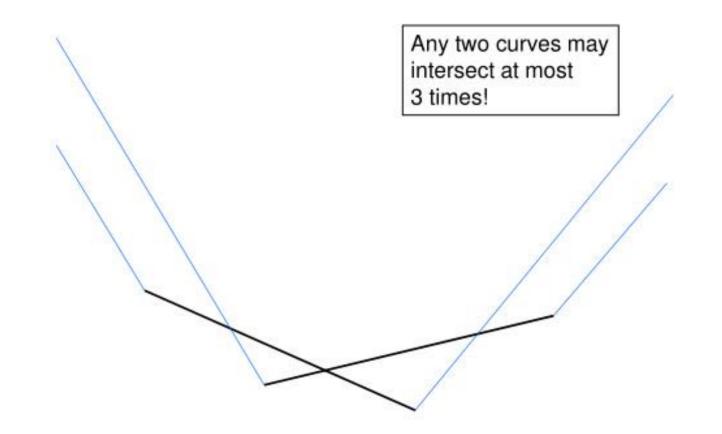
### **Line Segments**

Lower envelope of an arrangement of line segments in general position



**Theorem:** The lower envelope of n linesegments in general position has  $O(\lambda_3(n))$  many segments. It can be computed in time  $O(\lambda_3(n) \log n)$ .

### **Line Segments**



The lower envelope of line segments has size O(n log\* n), so it is almost linear, but not quite linear.