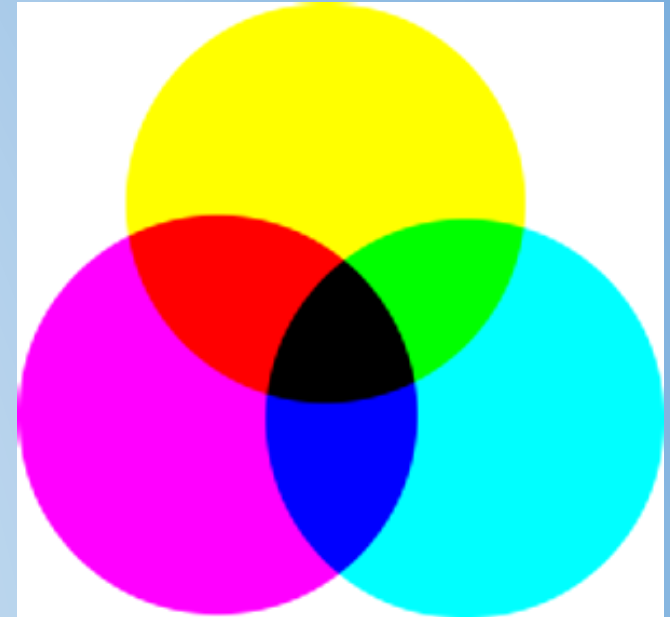


# COLOR APPEARANCE MODELS

Mehdi Rahimzadeh



# What is color ?

- An Attribute of visual sensation
- Cannot be defined without examples



# Rayleigh Scattering

- For small particles  $x \ll 1$

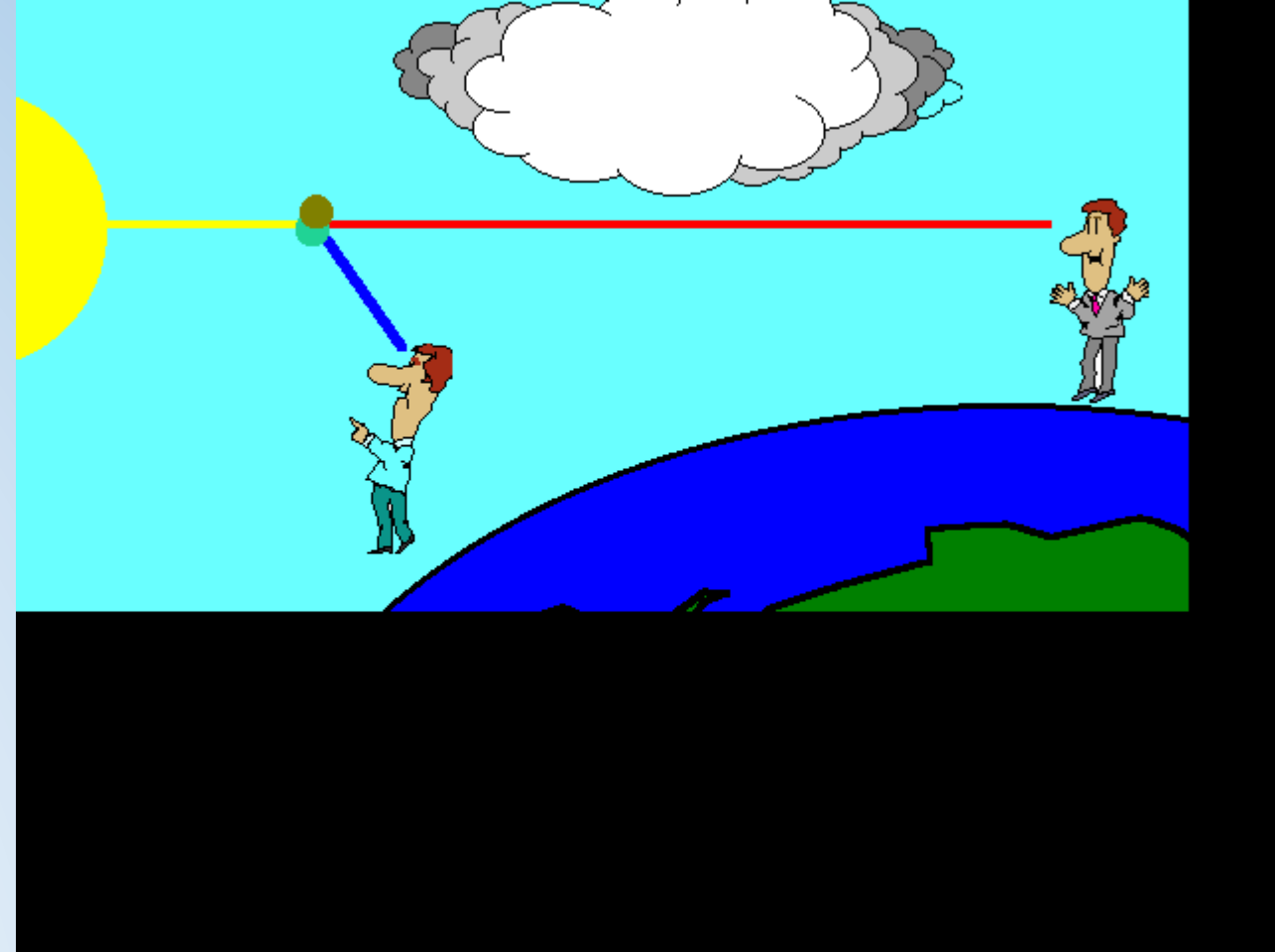
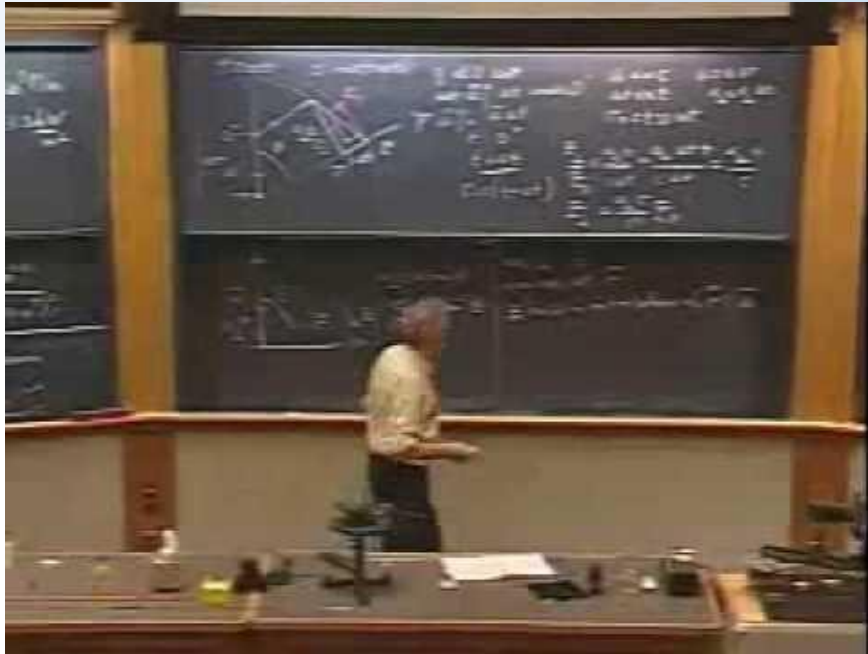
$$x = \frac{2\pi r}{\lambda}.$$

- Scattered rays

$$I = I_0 \frac{1 + \cos^2 \theta}{2R^2} \left( \frac{2\pi}{\lambda} \right)^4 \left( \frac{n^2 - 1}{n^2 + 2} \right)^2 \left( \frac{d}{2} \right)^6$$

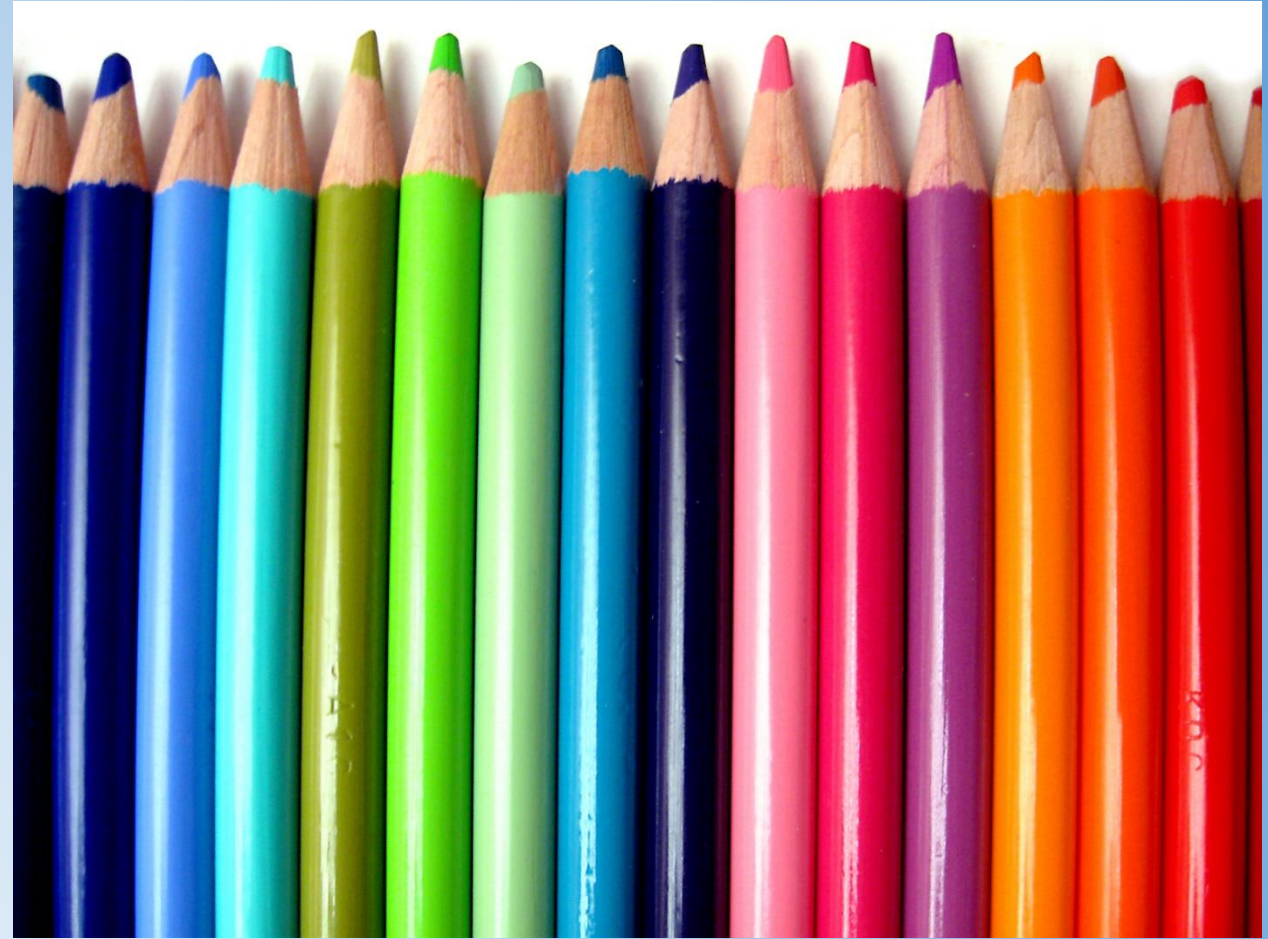
- Lower wavelength, higher scattering

# Rayleigh Scattering



# Color properties: Color

- Attribute of visual perception consisting of any combination of chromatic and achromatic content



# Color properties: Hue

- An area appears to be similar to one of the perceived colors:
- Red, Yellow, Green, Blue



# Color properties: Brightness and Lightness

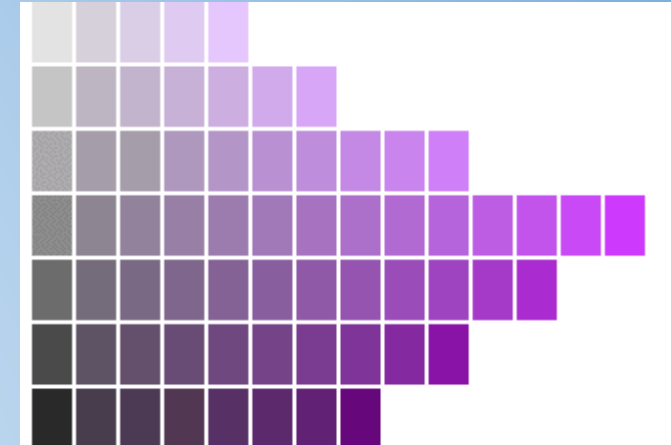
- Brightness: An area appears to emit more or less light
- Lightness: The brightness of an area judged relative to brightness of a similarly illuminated white area



$$\text{Lightness} = \frac{\text{Brightness}}{\text{Brightness (White)}}$$

# Color properties: Colorfulness and Chroma

- Colorfulness: An area appears to be more or less chromatic
- Chroma: The colorfulness of an area judged as a proportion of the brightness of similarly illuminated white



$$\text{Chroma} = \frac{\text{Colorfulness}}{\text{Brightness (White)}}$$

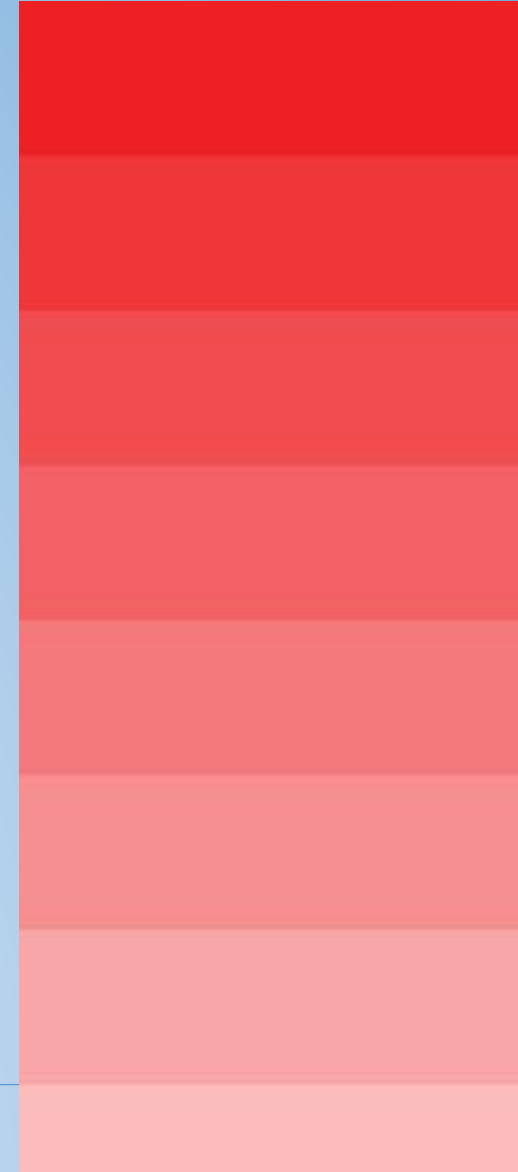
# Color properties: Saturation

- Colorfulness of an area judged to its brightness

$$\text{Saturation} = \frac{\text{Chroma}}{\text{Lightness}}$$

$$\text{Saturation} = \frac{\text{Colorfulness}}{\text{Brightness (White)}} \times \frac{\text{Brightness (White)}}{\text{Brightness}}$$

$$\text{Saturation} = \frac{\text{Colofulness}}{\text{Brightness}}$$

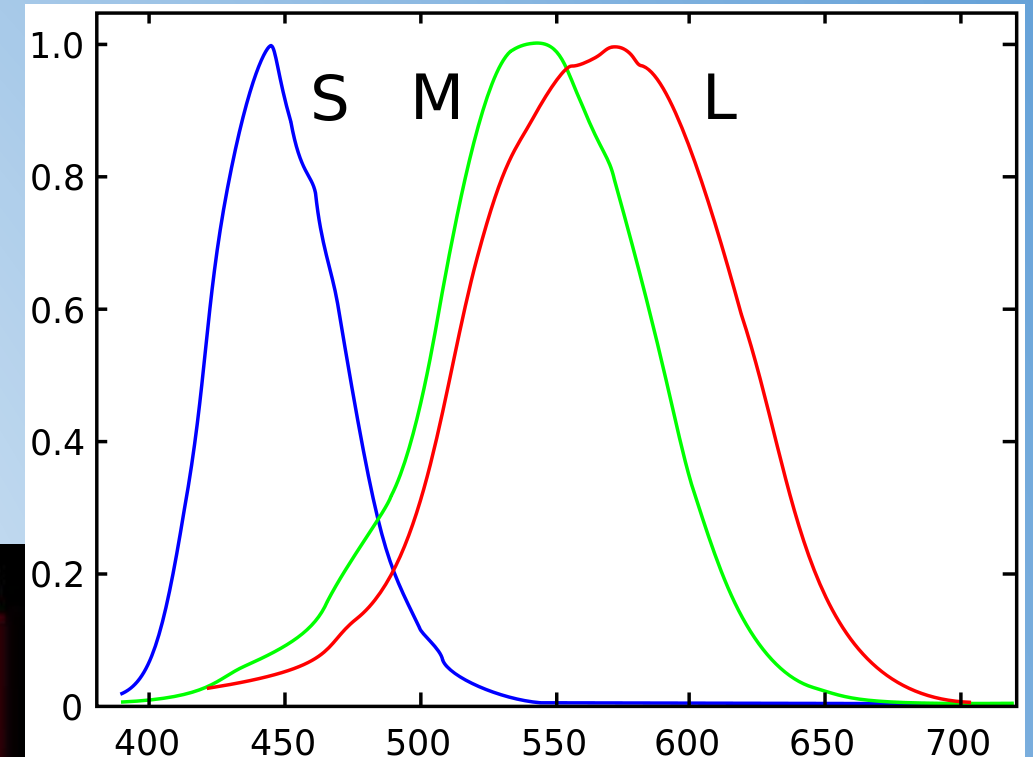
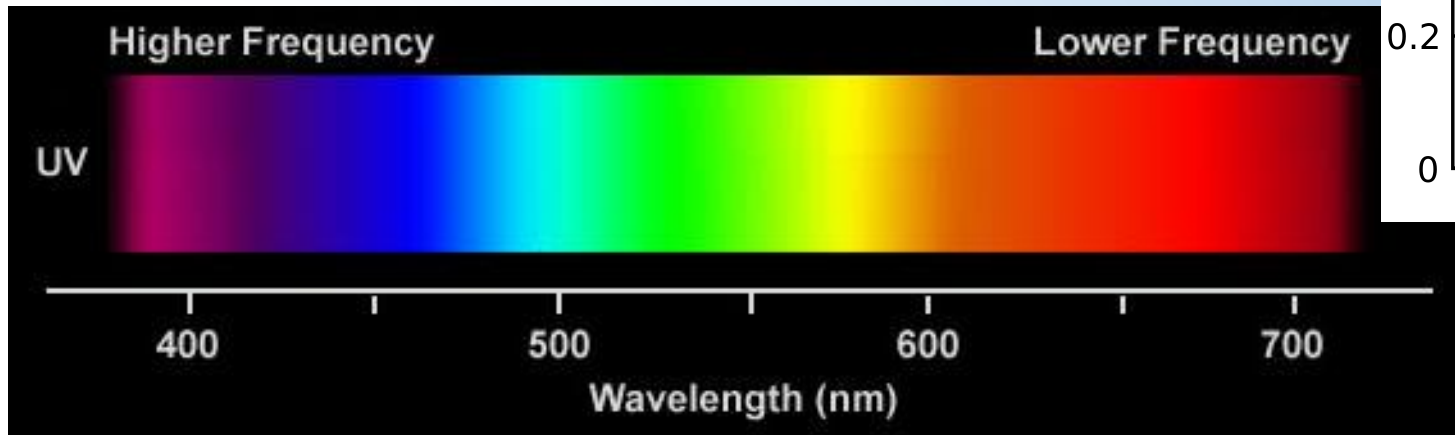


# Color properties:

- Brightness
- Lightness
- Colorfulness
- Chroma
- Hue

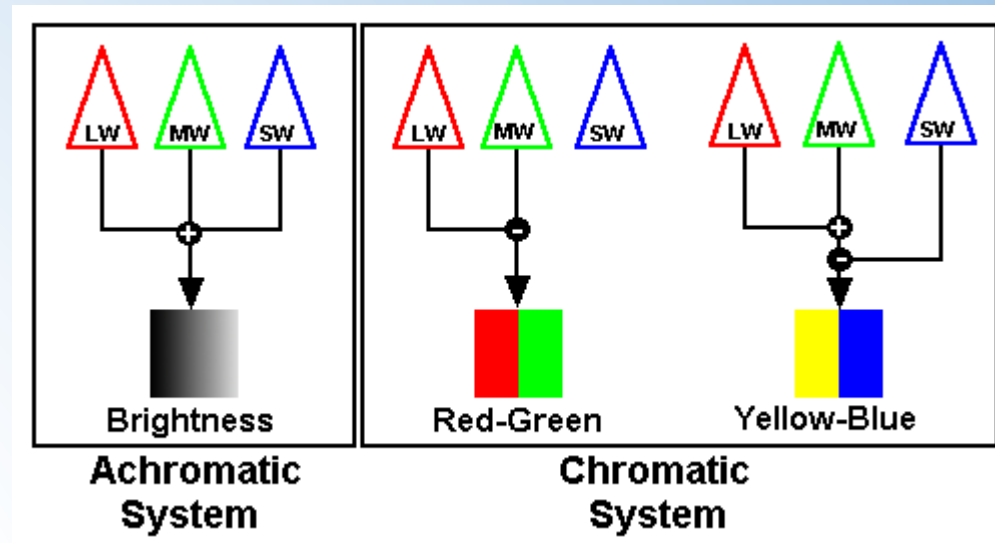
# Human Color Perception:

- Color spectrum
- Cone responses (L, M, S)

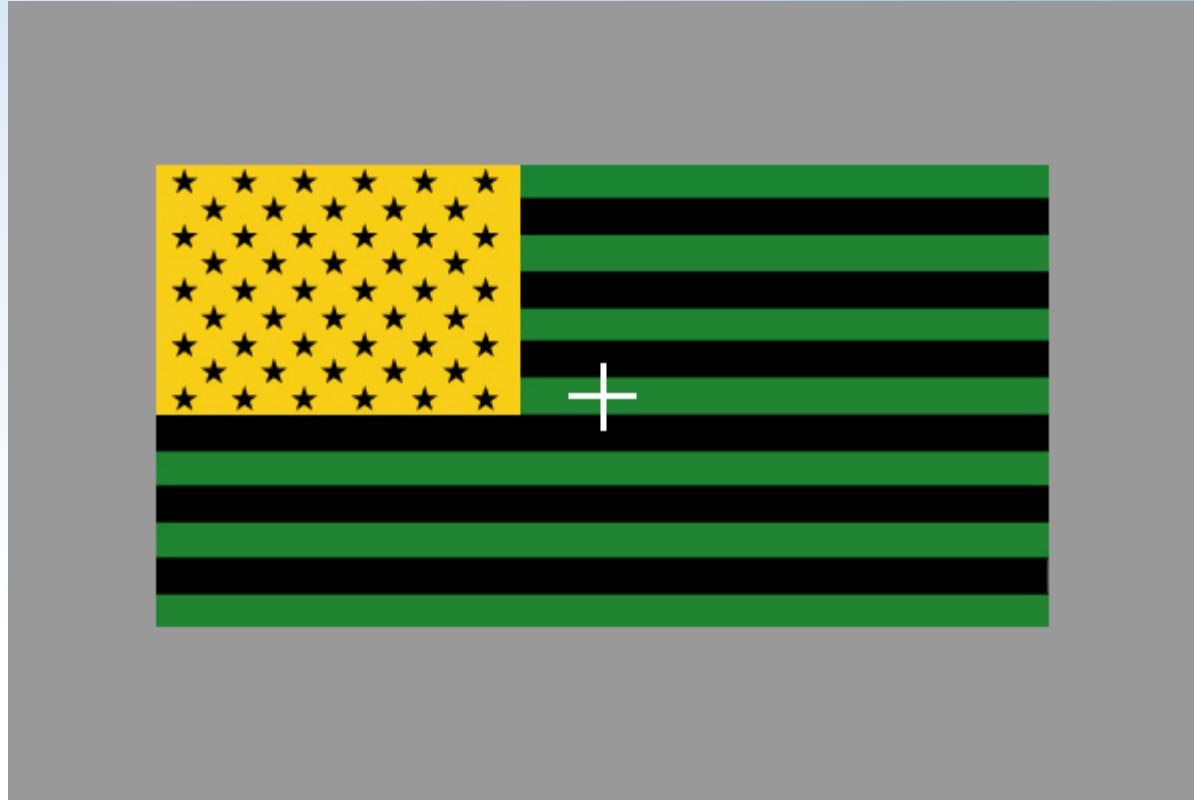


# Opponent Color Theory:

- Primary colors are encoded before sending to brain



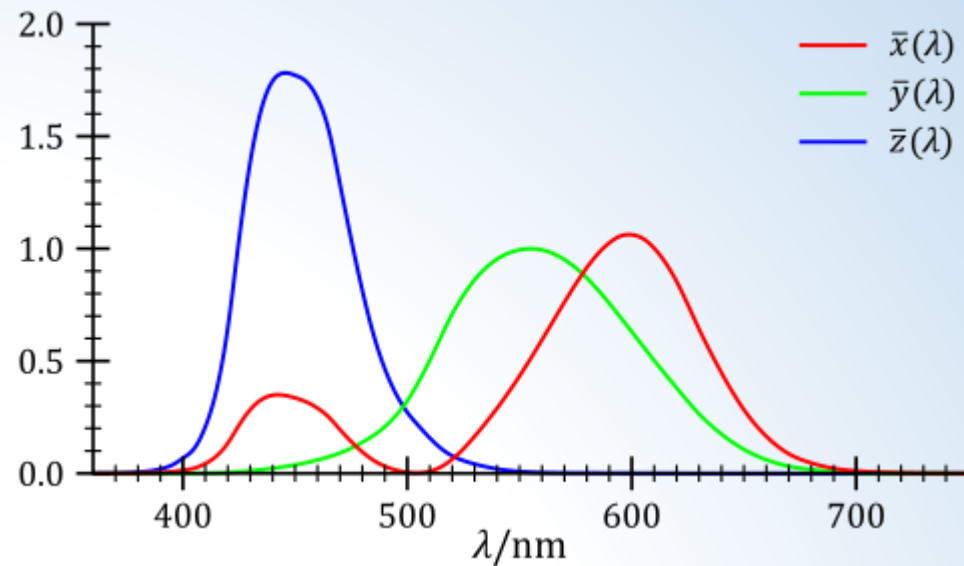
# US Flag afterimage:



# US Flag afterimage:



# CIE 1937 XYZ matching functions:



$$X = \int_{380}^{780} I(\lambda) \bar{x}(\lambda) d\lambda$$
$$Y = \int_{380}^{780} I(\lambda) \bar{y}(\lambda) d\lambda$$
$$Z = \int_{380}^{780} I(\lambda) \bar{z}(\lambda) d\lambda$$

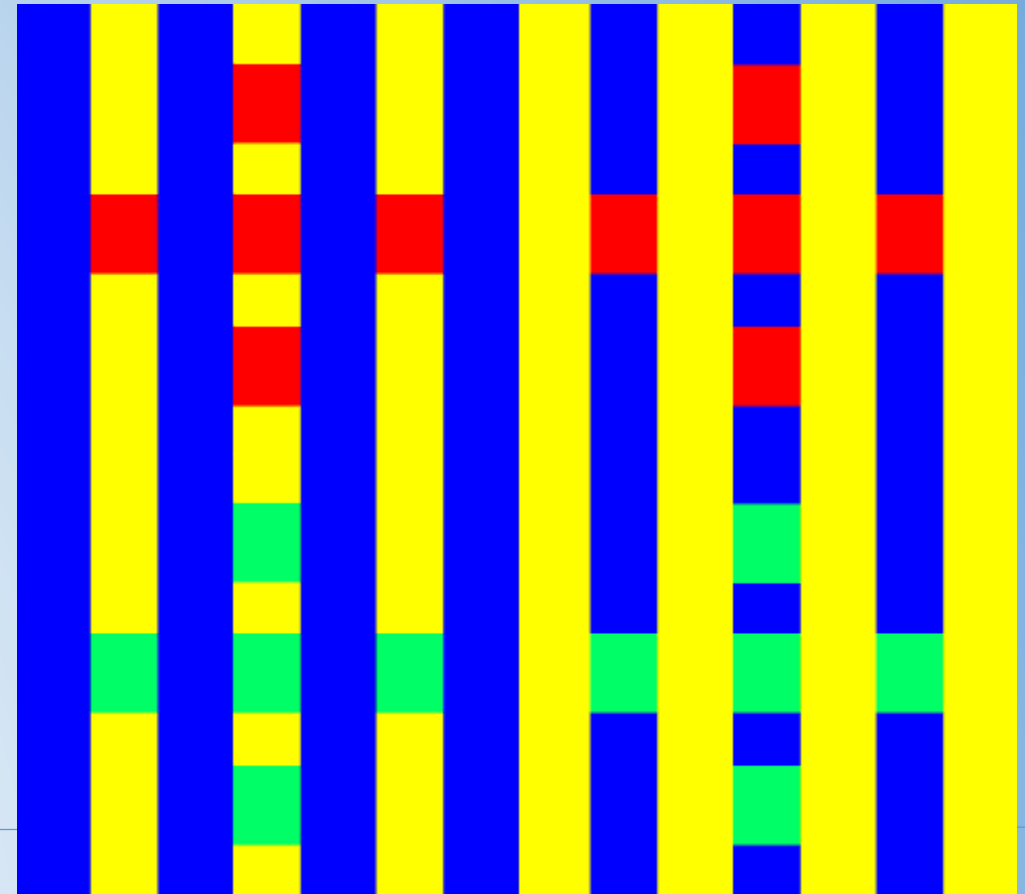
# Color Appearance Phenomena: Simultaneous Contrast

- Darker background cause the gray ribbon appear lighter



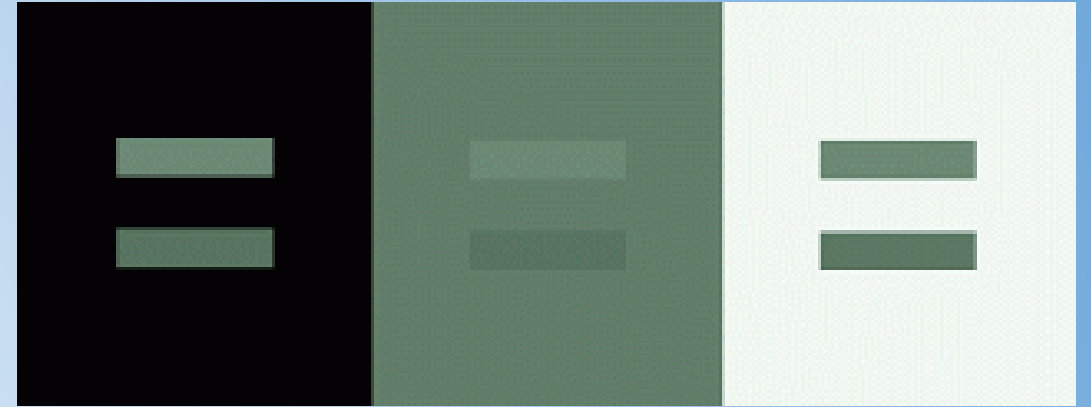
# Color Appearance Phenomena: Simultaneous Contrast

- Robertson (1996)
- Darker background cause the gray ribbon appear lighter



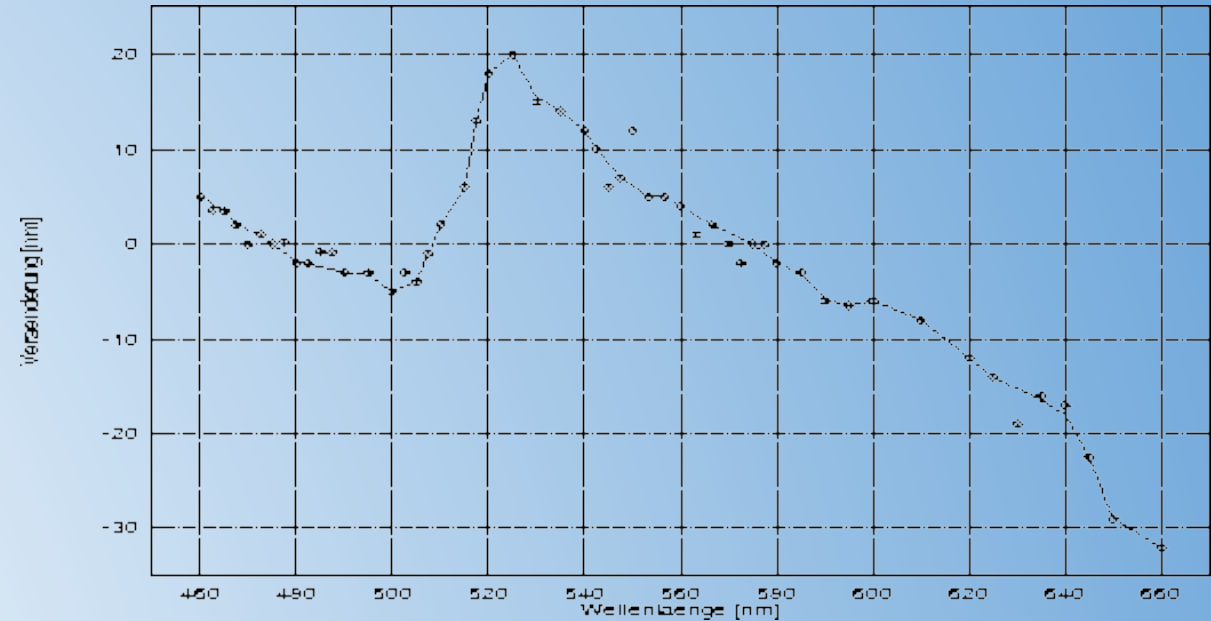
# Color Appearance Phenomena: Crispening

- Increase in perceived magnitude of color differences when the background is similar in color



# Color Appearance Phenomena: Bezold-Brucke Hue Shift

- Hue changes with luminance
- Wavelength shift required to maintain constant hue across a 10X reduction in luminance



# Color Appearance Phenomena:

- Hunt Effect: Colorfulness increases with luminance
- Stevens Effect: Contrast increases with luminance

# Color Appearance Phenomena: Helson-Judd effect

- Under strongly monochromatic illumination
- Samples lighter than the background exhibited Chroma of the same hue as the source
- Samples darker than background exhibited Chroma of the hue of the source's complement

# Color Appearance Phenomena: Discounting-the-Illuminant

- Cognitive ability of observers to interpret the colors of objects based on illuminated environment in which they are viewed
- White objects appear white under tungsten light, fluorescent light, and daylight.

# Color Appearance Model:

- Any model that includes predictors of at least the relative color-appearance attributes of lightness, chroma, and hue
- It must include at least some form of a chromatic-adaptation transform

# Color Appearance Model:

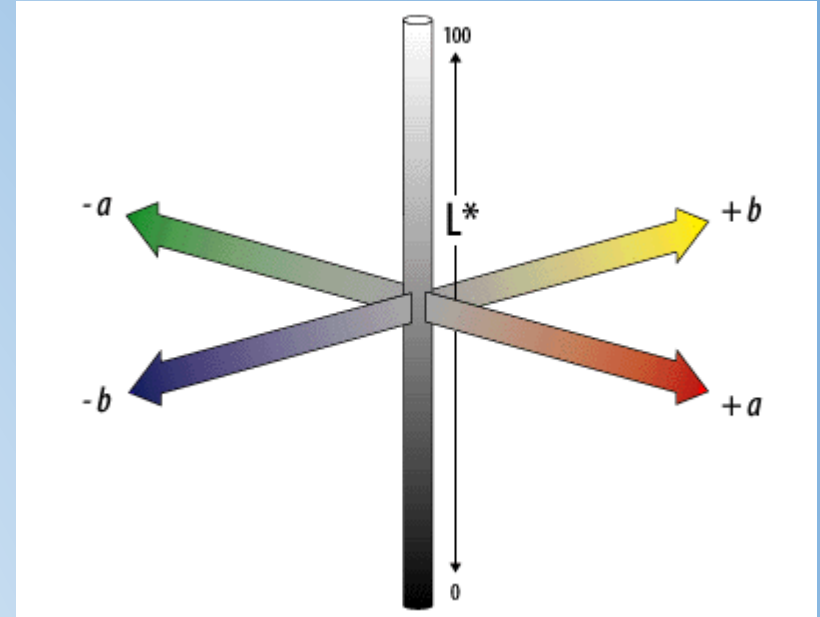
- Transform of XYZ tri-stimulus values to cone responses
- Viewing environment (tri-stimulus of adaptation stimulus)

# CIELAB:

- Stimulus  $XYZ$
- Reference White  $X_n, Y_n, Z_n$
- Results in L (luminance), a, and b (chrominance) parameters

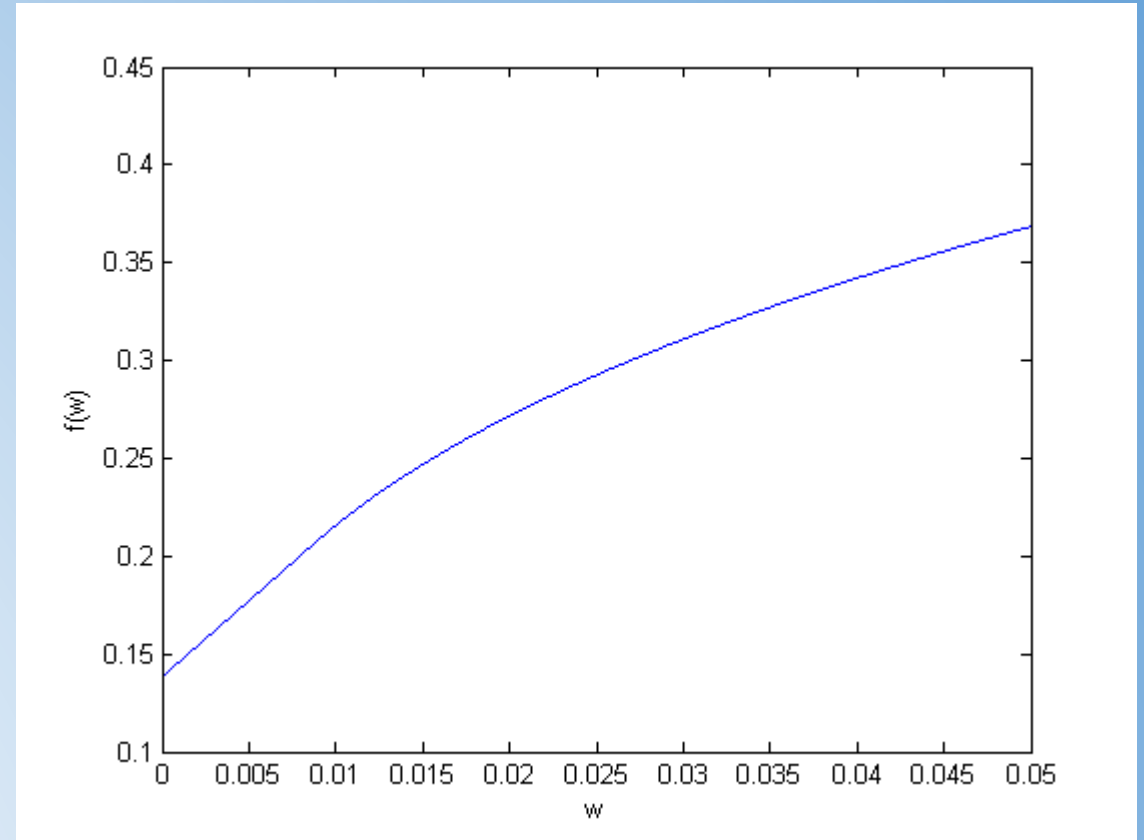
# CIELAB:

- $a$ : red-green
- $b$ : yellow-blue
- $L = 116(Y/Y_n) - 16$
- $a = 500[f(X/X_n) - f(Y/Y_n)]$
- $b = 200[f(Y/Y_n) - f(Z/Z_n)]$



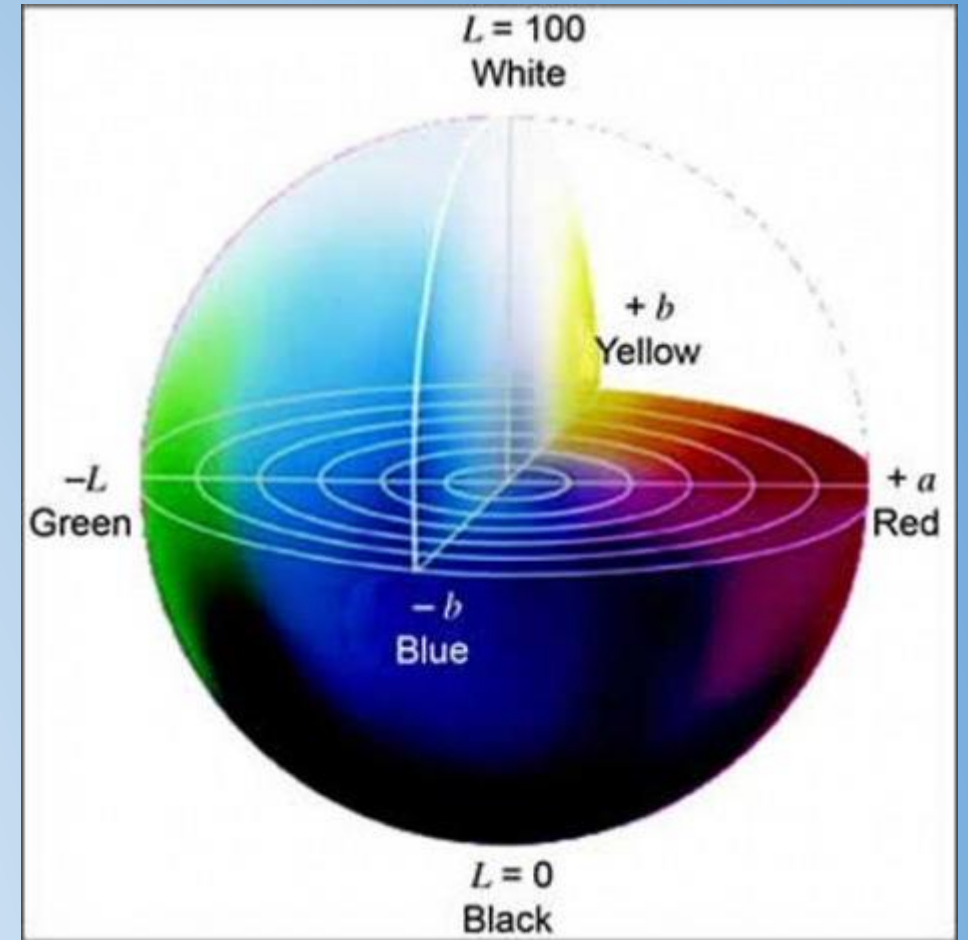
# CIELAB:

- $$f(\omega) = \begin{cases} \omega^{1/3} & \omega > 0.008856 \\ 7.787\omega + 16/116 & \omega \leq 0.008856 \end{cases}$$



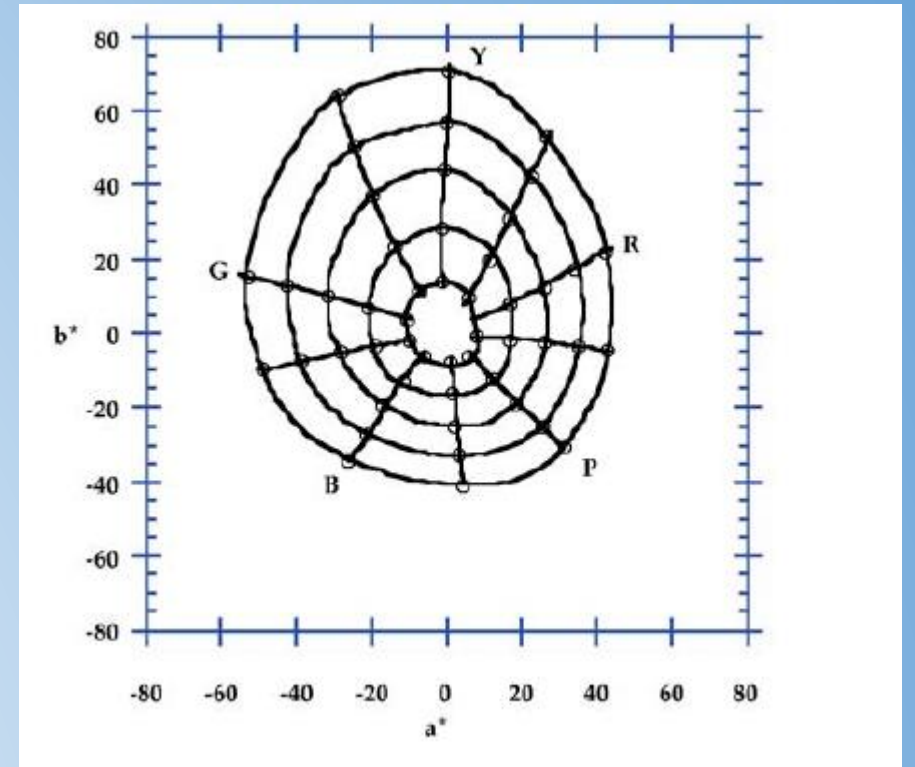
# CIELAB:

- Chroma:  $C_{ab} = \sqrt{a^2 + b^2}$
- Hue:  $h_{ab} = \tan^{-1}(b/a)$



# CIELAB:

- Contours of constant Chroma and Hue
- Lines: constant Hue
- Circles: constant Chroma



## CIELAB: Pros and Cons

- Not accurate von Kries adaptation transformation
- Incapable of predicting luminance-dependent effects (Hunt and Stevens effect)
- Incorporate no background or surround dependency
- No modeling for discounting-the-illuminant

Nayatani et al:

- Luminance factor of achromatic background ( $Y_0$ )
- Color of the illumination ( $x_0, y_0$ )
- Test stimulus in ( $x, y, Y$ )
- Absolute luminance of the stimulus ( $E_0$ )
- Normalizing illuminance ( $E_{or}$ )
- Noise term ( $n$ )

## Nayatani et al:

- Converting XYZ to intermediate values
- $\xi = (0.48105x_0 + 0.78841y_0 - 0.08081)/y_0$
- $\eta = (0.27200x_0 + 1.11962y_0 - 0.04570)/y_0$
- $\zeta = 0.91822(1 - x_0 - y_0)/y_0$

# Nayatani et al: adaptation model

$$\beta_1(R_o) = \frac{6.469 + 6.362R_o^{0.4495}}{6.469 + R_o^{0.4495}}$$

$$\beta_1(G_o) = \frac{6.469 + 6.362G_o^{0.4495}}{6.469 + G_o^{0.4495}}$$

$$\beta_2(B_o) = \frac{8.414 + 8.091B_o^{0.5128}}{8.414 + B_o^{0.5128}} \times 0.7844$$

$$\beta_1(L_{or}) = \frac{6.469 + 6.362L_{or}^{0.4495}}{6.469 + L_{or}^{0.4495}}$$

$$\begin{vmatrix} R \\ G \\ B \end{vmatrix} = \begin{vmatrix} 0.40024 & 0.70760 & -0.08081 \\ -0.22630 & 1.16532 & 0.04570 \\ 0.0 & 0.0 & 0.91822 \end{vmatrix} \begin{vmatrix} X \\ Y \\ Z \end{vmatrix}$$

$$e(R) = \begin{cases} 1.758 & R \geq 20\xi \\ 1.0 & R < 20\xi \end{cases}$$

$$e(G) = \begin{cases} 1.758 & G \geq 20\eta \\ 1.0 & G < 20\eta \end{cases}$$

# Nayatani et al: Opponent color dimension

Achromatic response

$$Q = \frac{41.69}{\beta_1(L_{or})} \left[ \frac{2}{3} \beta_1(R_o) e(R) \log \frac{R+n}{20\xi+n} + \frac{1}{3} \beta_1(G_o) e(G) \log \frac{G+n}{20\eta+n} \right]$$

Chromatic response

$$t = \beta_1(R_o) \log \frac{R+n}{20\xi+n} - \frac{12}{11} \beta_1(G_o) \log \frac{G+n}{20\eta+n} + \frac{1}{11} \beta_2(B_o) \log \frac{B+n}{20\zeta+n}$$
$$p = \frac{1}{9} \beta_1(R_o) \log \frac{R+n}{20\xi+n} + \frac{1}{9} \beta_1(G_o) \log \frac{G+n}{20\eta+n} - \frac{2}{9} \beta_2(B_o) \log \frac{B+n}{20\zeta+n}$$

## Nayatani et al: Pros and Cons

- Accounts for change in color appearance due to chromatic adaptation and luminance level (Stevens and Hunt effect)
- Predicts Helms-Judd effect
- No chromatic or cognitive adaptation (von Kries and discounting-the-illuminant)
- Not incorporate rod vision

# Hunt Model:

- Heavily depends on surround relative luminance
- Transparencies projected in a dark room
- Television displays in a dim surround

# Hunt Model:

- Chromaticity coordinates of the illuminant and the adapting field
- Chromaticity and luminance factors of the background, reference white and test sample

# Hunt Model: adaptation model

$$\begin{bmatrix} \rho \\ \gamma \\ \beta \end{bmatrix} = \begin{bmatrix} 0.38971 & 0.68898 & -0.07868 \\ -0.22981 & 1.18340 & 0.04641 \\ 0.0 & 0.0 & 1.0 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}$$

$$\rho_a = B_\rho [f_n(F_L F_\rho \rho / \rho_w) + \rho_D] + 1$$

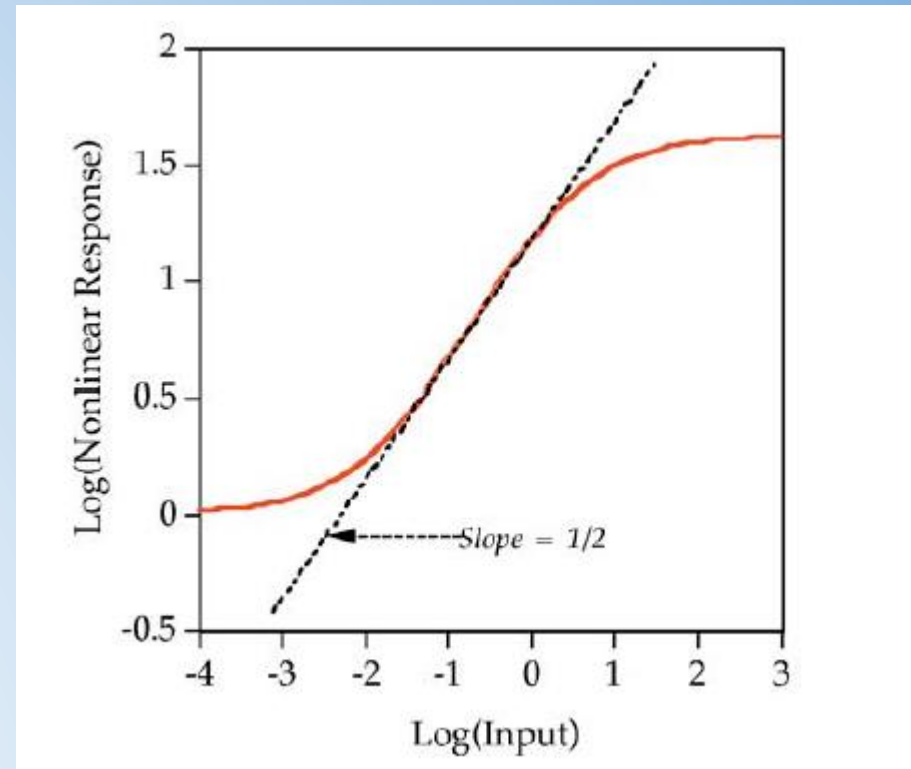
$$\gamma_a = B_\gamma [f_n(F_L F_\gamma \gamma / \gamma_w) + \gamma_D] + 1$$

$$\beta_a = B_\beta [f_n(F_L F_\beta \beta / \beta_w) + \beta_D] + 1$$

- $F_L$ : Luminance-level adaptation factor
- $F_\rho$ ,  $F_\gamma$ , and  $F_\beta$  are chromatic adaptation factors
- $\rho_D$ ,  $\gamma_D$ , and  $\beta_D$  are Helson-Judd effect factors
- $B_\rho$ ,  $B_\gamma$ , and  $B_\beta$  are cone bleach factors

# Hunt Model: adaptation model

$$f_n[I] = 40[I^{0.73}/(I^{0.73} + 2)]$$



# Hunt Model: Opponent color dimension

$$A_a = 2\rho_a + \gamma_a + (1/20)\beta_a - 3.05 + 1$$

$$C_1 = \rho_a - \gamma_a$$

$$C_2 = \gamma_a - \beta_a$$

$$C_3 = \beta_a - \rho_a$$

A: Achromatic post-adaptation signal

# Hunt Model: Pros and Cons

- Designed to predict the appearance of stimuli in a variety background and surround
- Predicts Hunt effect, Hue shift, Helson-Judd effect, Simultaneous contrast, Stevens effect
- Predicts chromatic adaptation due to discounting-the-illuminant

# Hunt Model: Pros and Cons

- It is very complex model
- Extreme poor result on incomplete input data
- Cannot be easily converted
- Expensive and difficult to implement

## RLAB:

- XYZ of the test object
- $X_n, Y_n, Z_n$  of the white point
- Absolute luminance of the white object in  $cd/m^2$
- Relative luminance of the surround
- Is discounting-the-illuminant taking place?

# RLAB:

$$\begin{bmatrix} X_{\text{ref}} \\ Y_{\text{ref}} \\ Z_{\text{ref}} \end{bmatrix} = \mathbf{RAM} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}$$

- M: Cone Response Transformation
- A: von Kries adaptation
- R:  $A^{-1}M^{-1}$  for reference viewing conditions

$$\mathbf{M} = \begin{bmatrix} 0.3897 & 0.6890 & -0.0787 \\ -0.2298 & 1.1834 & 0.0464 \\ 0.0 & 0.0 & 1.0000 \end{bmatrix}$$

$$\mathbf{A} = \begin{bmatrix} a_L & 0.0 & 0.0 \\ 0.0 & a_M & 0.0 \\ 0.0 & 0.0 & a_S \end{bmatrix}$$

$$\mathbf{R} = \begin{bmatrix} 1.9569 & -1.1882 & 0.2313 \\ 0.3612 & 0.6388 & 0.0 \\ 0.0 & 0.0 & 1.0000 \end{bmatrix}$$

# RLAB: Opponent color dimension

- Analogous to CIELAB

$$L^R = 100(Y_{\text{ref}})^{\sigma}$$

$$a^R = 430[(X_{\text{ref}})^{\sigma} - (Y_{\text{ref}})^{\sigma}]$$

$$b^R = 170[(Y_{\text{ref}})^{\sigma} - (Z_{\text{ref}})^{\sigma}]$$

- Average surround  $\sigma = 1/2.3$
- Dim surround  $\sigma = 1/2.7$
- Dark surround  $\sigma = 1/3.5$

# RLAB:

- Adaptation to dark surround



Print (Original)



Slide (Y Adjusted)



Slide (XYZ Adjusted)

## RLAB: Pros and Cons

- Predicts discounting-the-illuminant
- Includes variable exponent for better contrast in different surroundings
- Not exhaustive in predicting color-appearance phenomena (Hunt, Helson-Judd, Stevens)
- Not over a wide range of luminance