

# INF 111 / CSE 121: Software Tools and Methods



**Lecture Notes for Fall Quarter, 2007**

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**Set 25**



# Announcements

- **Quiz #4 - Is available**
- **Final Review on Friday**



# Previously in INF 111...

- **Effort Estimations**

- Algorithmic Cost Models
- COCOMO



# Today's Lecture

- **Effort Estimation**

- Algorithmic Cost Modeling
  - COCOMO

- **Personal Software Process (PSP)**



# COCOMO: Some Assumptions

COCOMO => “COnstructive COst Model”

- Primary cost driver → DSI
  - **Delivered Source Instructions** (DSI) developed by the project
  - Only code developed by staff
  - Excludes
    - Test drivers & other support code
    - Comments
    - Declarations
    - Code developed by application generators
  - SLOC => Single logical line of code → eg.  
`if, then; else`



# COCOMO: Three Models

- **3 Models reflect the complexity:**
  - the Basic Model
  - the Intermediate Model
  - and the Detailed Model



# The Development Modes: Project Characteristics

## ○ Organic Mode

- developed in a **familiar**, stable environment,
- **similar** to the previously developed projects
- relatively **small** and requires little innovation
- Eg. Payroll system

## ○ Semidetached Mode

- **intermediate** between Organic and Embedded
- Eg. Banking System

## ○ Embedded Mode

- tight, **inflexible** constraints and interface requirements
- The product requires **great innovation**
- Eg. Nuclear power plant system



# Intermediate COCOMO Model

Estimates effort by using **fifteen cost driver variables** besides the size variable used in Basic COCOMO

- When should you use it?
  - Can be applied **across the entire software product** for easy and rough cost estimation during the early stage
  - or it can be applied at the **software product component level** for more accurate cost estimation in more detailed stages





# Cost Drivers

## Four areas for drivers

- **Product Attributes**

- Reliability, Database Size, Complexity

- **Computer Attributes**

- Execution Time Constraint, Main Storage Constraint, Virtual Machine Volatility, Computer Turnaround Time

- **Personnel Attributes**

- Analyst Capability, Applications Experience, Programmer Capability, Virtual Machine Experience, Programming Language Experience

- **Project Attributes**

- Modern Programming Practices, Use of Software Tools, Required Development Schedule

**Subjective Assessments**



# Intermediate Model: Effort Multipliers

- Table of Effort Multipliers for each of the Cost Drivers is provided with **ranges** depending on the **ratings**

<i>Cost Driver</i>	<i>Very Low</i>	<i>Low</i>	<i>Nom</i>	<i>High</i>	<i>Very High</i>	<i>Extra High</i>
<b>Product Complexity</b>	<b>0.70</b>	<b>0.85</b>	<b>1.00</b>	<b>1.15</b>	<b>1.30</b>	<b>1.65</b>

# Intermediate Model: Equations

<i>Mode</i>	<i>Effort</i>	<i>Schedule</i>
Organic	$E = EAF * 3.2 * (KDSI)^{1.05}$	$TDEV = 2.5 * (E)^{0.38}$
Semi-detached	$E = EAF * 3.0 * (KDSI)^{1.12}$	$TDEV = 2.5 * (E)^{0.35}$
Embedded	$E = EAF * 2.8 * (KDSI)^{1.20}$	$TDEV = 2.5 * (E)^{0.32}$



# COCOMO Effort Equation

$$\text{Effort} = 3.0 * \text{EAF} * (\text{KSLOC})^E$$

- Result is in Man-months
- EAF → Effort Adjustment Factor
  - Derived from Cost Drivers
- E → Exponent
  - Derived from five scale drivers
    - Precedentedness
    - Development Flexibility
    - Architecture / Risk Resolution
    - Team Cohesion
    - Process Maturity



# Intermediate Model: Example

- Project A is to be a **32,000 DSI semi-detached software**. It is in a mission critical area, so the **reliability** is high (RELY=high=1.15).

Then we can estimate:

- **Effort** =  $1.15 * 3.0 * (32)^{1.12}$  = **167** man-months
- **Schedule** =  $2.5 * (167)^{0.35}$  = **15** months
- **Productivity** = (DSI / MM) = 32,000 DSI/167 MM  
= **192** DSI/MM
- **Average Staffing** =  
**MM/Schedule Months** = 167 MM/15 months  
= **11** FSP



# Intermediate Model: Limitations

- Estimates are within **20%** of the actuals  
**68%** of the time
- Its effort multipliers are phase-insensitive
- It can be very **tedious** to use on a product with many components



# Detailed COCOMO Model: How is it Different?

- **Phase-sensitive Effort Multipliers**  
Effort multipliers for the cost drivers are different depending on the software development phases
- **Module-Subsystem-System Hierarchy**
  - The software product is estimated in the three level hierarchical decomposition.
  - The fifteen cost drivers are related to module or subsystem level



# Detailed COCOMO Model: When Should You Use It?

- The Detailed Model can estimate
  - **the staffing, cost, and duration of each of the development phases, subsystems, modules**
- It allows you to experiment with different development strategies, to find the plan that best suits your needs and resources





# Detailed Model: Equations

- Same equations for estimations as the Intermediate Model
- Uses a very complex procedure to calculate estimation.
  - **The procedure uses the DSIs for subsystems and modules, and module level and subsystem level effort multipliers as inputs**



# Detailed Model: Limitations

- Requires substantially **more time and effort** to calculate estimates than previous models
- Estimates are within **20%** of the actuals **70%** of the time



# COCOMO II

- **Modified for more current development**
- **3 increasingly detailed cost estimation models**
  - ▣ **Application composition**
    - Prototyping efforts (UI Issues)
    - Used in a powerful CASE environment
  - ▣ **Early Design**
    - Focused on Architectural design phase
  - ▣ **Post-Architecture model**
    - Used during implementation phase
- **COCOMO estimates assume good mgmt**
- **by both the developer and the customer**
- Assumes the requirements specification is **not substantially** changed after the requirements & design phase

• <http://sunset.usc.edu/research/COCOMOII/index.html>  
Topic 25



# Data Collection

- Regardless of the method or model used, data is needed for calibration
- Programmers need to know their own “constant adjustment factors”
  - **Goal of Personal Software Process to establish such a database**



# Overview of PSP

## The Personal Software Process (PSP)

- **PSP sets out the principal practices for defining, measuring and analysing an individual's own processes**
- **The main idea:**
  - understand how you work
  - analyze your performance
  - Improve your process
  - Develop an ability to define, measure and analyze your process



# PSP

- **PSP applies a CMM-like assessment for individual work**
  - Measurement & analysis framework to help you characterize your process
    - Self-assessment and self-monitoring
  - Prescribes a personal process for developing software
    - defined steps
    - Forms
    - Standards
  - Assumes individual scale & complexity
    - Well-defined individual tasks of short duration



# PSP - Steps

- 1. Understand the current status of your development process or processes.**
- 2. Develop a vision of the desired process.**
- 3. Establish a list of required process improvement actions, in order of priority.**
- 4. Produce a plan to accomplish the required actions.**
- 5. Commit the resources to execute the plan.**
- 6. Start over at step 1.**



# PSP Overview

- **The PSP is introduced in 7 upward compatible steps (4 levels)**
- **Write 1 or 2 *small* programs at each step**
  - Assume that you know the programming language
- **Gather and analyze data on your work**
  - Many standard forms & spreadsheet templates
- **Use these analyses to improve your work**
  - Note patterns in your work



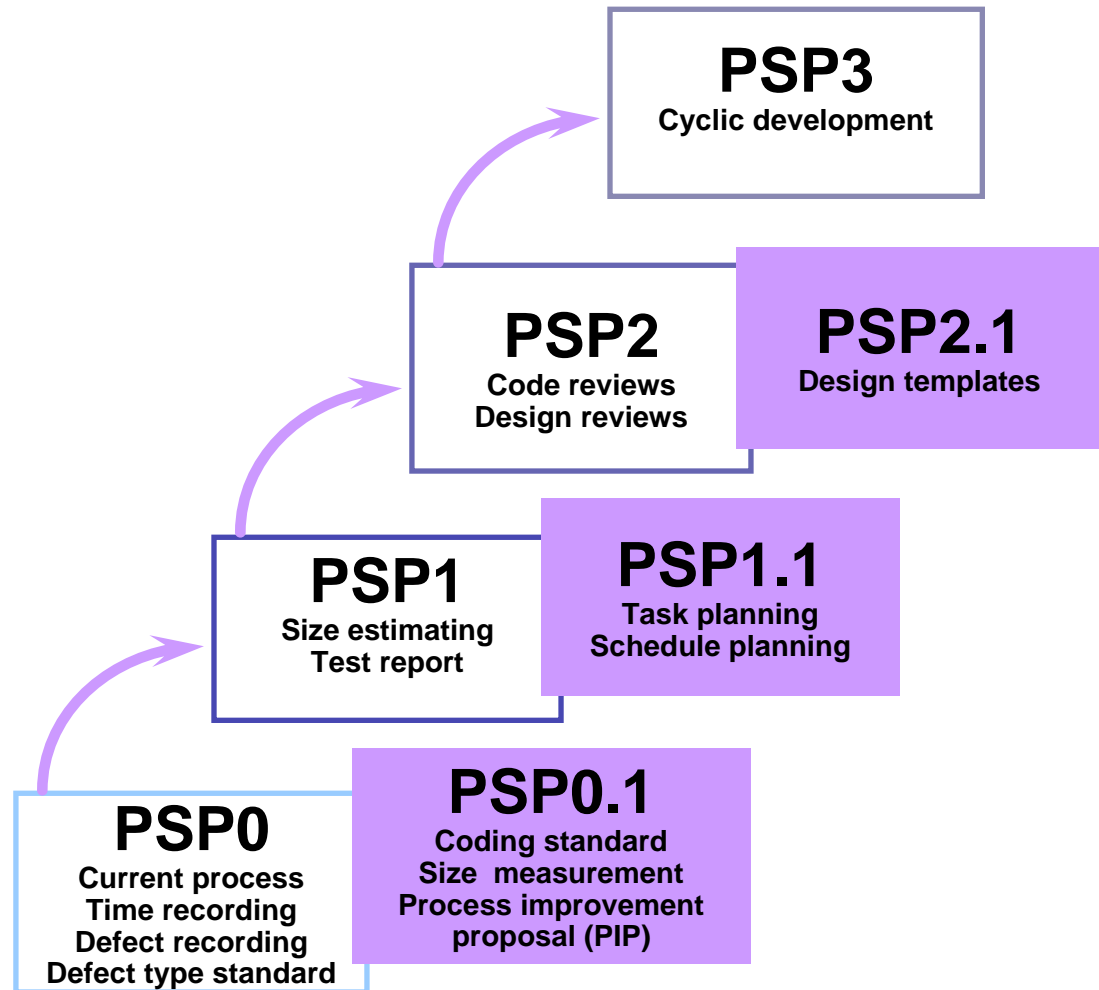
# PSP Evolution

*Cyclic Personal  
Process*

*Personal Quality  
Management*

*Personal Planning  
Process*

*Baseline Personal  
Process*





# Why use PSP?

- **demonstrates personal process principles**
- **assists engineers in making accurate plans**
- **determines the steps engineers can take to improve product quality**
- **establishes benchmarks to measure personal process improvement, and**
- **determines the impact of process changes on an engineer's performance."**



# PSP Evaluation

- **Humphrey has used in SE courses**
  - Improvements in time-to-compile, quality and productivity
- **Patchy, but promising use in industry**
  - E.g. Nortel (Atlanta)
- **Still immature**
- **Requires large overhead for data gathering**
  - Not clear that you should use permanently or continually

# PSP/TSP/CMM

**CMM® Builds  
organizational  
capability**

**TSP™ Builds  
quality products on  
cost and schedule**

**PSP® Builds  
individual skill  
and discipline**

