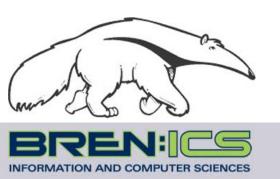
# Constraint Satisfaction Problems A: Definition, Search Strategies

#### CS171, Winter Quarter, 2019 Introduction to Artificial Intelligence Prof. Richard Lathrop



Read Beforehand: R&N 6.1-6.4, except 6.3.3



#### **Constraint Satisfaction Problems**

- What is a CSP?
  - Finite set of variables,  $X_1$ ,  $X_2$ , ...,  $X_n$
  - Nonempty domain of possible values for each:  $D_1$ , ...,  $D_n$
  - Finite set of constraints, C<sub>1</sub>, ..., C<sub>m</sub>
    - Each constraint C<sub>i</sub> limits the values that variables can take, e.g.,  $X_1 \neq X_2$
  - Each constraint  $C_i$  is a pair:  $C_i = (scope, relation)$ 
    - Scope = tuple of variables that participate in the constraint
    - Relation = list of allowed combinations of variables
       May be an explicit list of allowed combinations
       May be an abstract relation allowing membership testing & listing
- CSP benefits
  - Standard representation pattern
  - Generic goal and successor functions
  - Generic heuristics (no domain-specific expertise required)

### Example: Sudoku

Problem specification

```
Variables: {A1, A2, A3, ... I7, I8, I9}

Domains: D_i = \{ 1, 2, 3, ..., 9 \}

Constraints:

each row, column "all different"

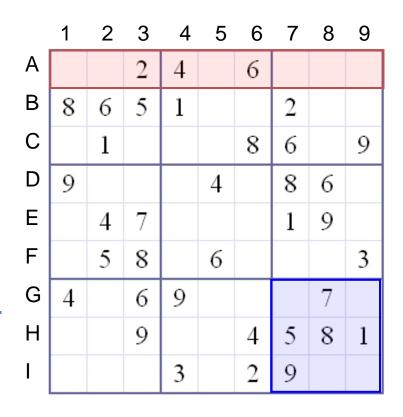
alldiff(A1,A2,A3...,A9), ...

each 3x3 block "all different"

alldiff(G7,G8,G9,H7,...I9), ...
```

**Task:** solve (complete a partial solution)

check "well-posed": exactly one solution?



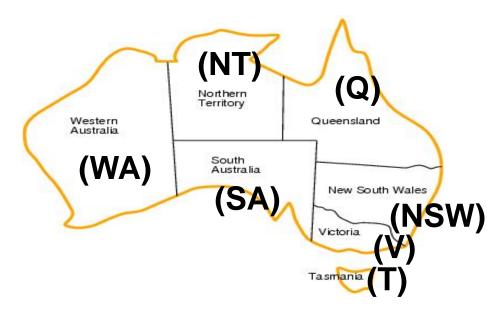
#### CSPs --- what is a solution?

- A *state* is an *assignment* of values to some variables.
  - Complete assignment
    - = every variable has a value.
  - <u>Partial</u> assignment
    - = some variables have no values.
  - <u>Consistent</u> assignment
    - = assignment does not violate any constraints
- A *solution* is a *complete* and *consistent* assignment.

## CSPs with objective functions

- A solution may have to maximize an objective function
  - Preferences, often called "soft" constraints
  - Example: linear objective function
    - => linear programming or integer linear programming
  - Example: "Weighted" CSPs where each variable has a cost
- Examples of CSP applications
  - Scheduling the time of observations on a space telescope
  - Airline flight scheduling
  - Cryptography
  - Job shop scheduling
  - Classroom scheduling
  - Computer vision, image interpretation

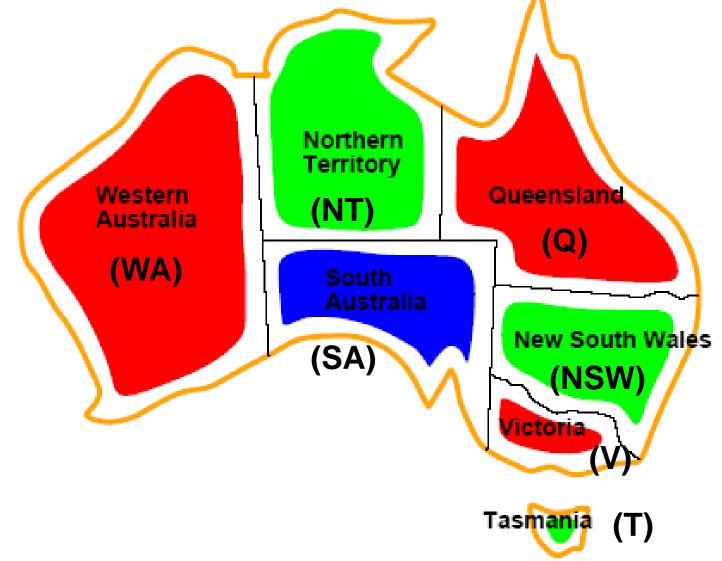
#### CSP example: map coloring

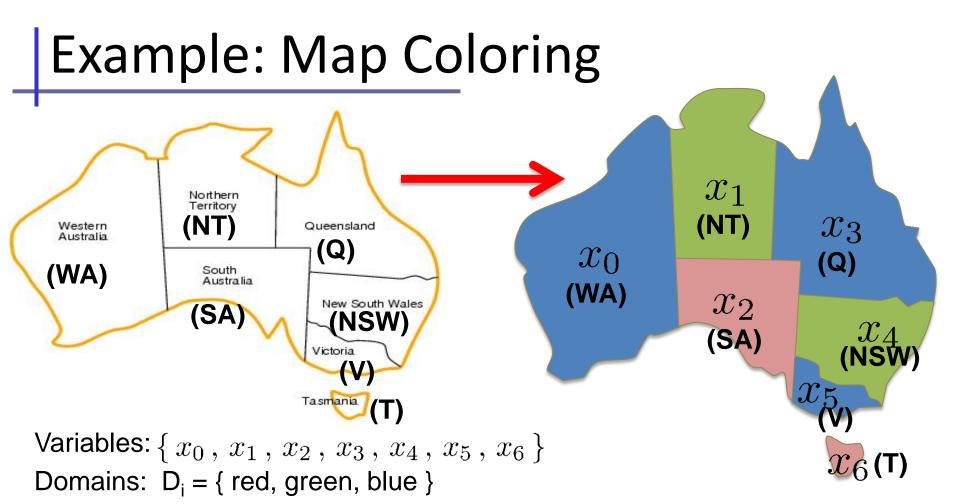


- Variables: WA, NT, Q, NSW, V, SA, T
- Domains: D<sub>i</sub>={red,green,blue}
- <u>Constraints</u>: Adjacent regions must have different colors, e.g., WA ≠ NT.

#### Example: Map coloring solution

All variables assigned, all constraints satisfied.





Constraints: bordering regions must have different colors:

 $x_0 \neq x_1, \ x_0 \neq x_2, \ x_1 \neq x_2, \dots$ 

A solution is any setting of the variables that satisfies all the constraints, e.g.,

 $x_0 = blue, \ x_1 = green, \ x_2 = red, \ x_3 = blue,$  $x_4 = green, \ x_5 = blue, \ x_6 = red$ 

## Example: Map Coloring

- Constraint graph
  - Vertices: variables
  - Edges: constraints
     (connect involved variables)

- Graphical model
  - Abstracts the problem to a canonical form
  - Can reason about problem through graph connectivity

 $\mathcal{X}$ 

 $x_2$ 

WA

 $x_0$ 

 $x_3$ 

 $x_5$ 

 $\mathcal{X}_{\mathbf{f}}$ 

NT

SA

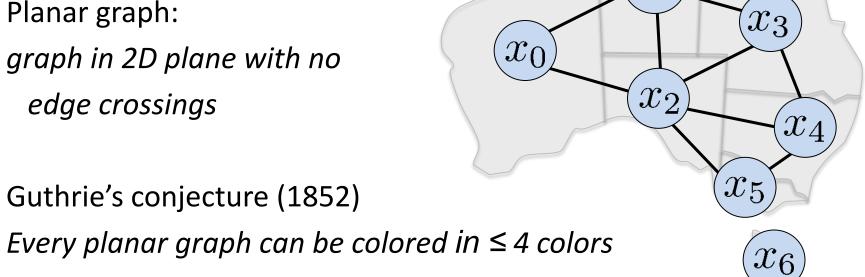
 $x_4$ 

NSW

- Ex: Tasmania can be solved independently (more later)
- Binary CSP
  - Constraints involve at most two variables
  - Sometimes called "pairwise"

#### Aside: Graph coloring

- More general problem than map coloring
- Planar graph: graph in 2D plane with no edge crossings



 $\mathcal{X}^{\cdot}$ 

- Every planar graph can be colored in  $\leq 4$  colors
- Proved (using a computer) in 1977 (Appel & Haken 1977)

#### Varieties of CSPs

- Discrete variables
  - Finite domains, size d => O(d<sup>n</sup>) complete assignments
    - Ex: Boolean CSPs: Boolean satisfiability (NP-complete)
  - Infinite domains (integers, strings, etc.)
    - Ex: Job scheduling, variables are start/end days for each job
    - Need a constraint language, e.g., StartJob\_1 + 5 < StartJob\_3
    - Infinitely many solutions
    - Linear constraints: solvable
    - Nonlinear: no general algorithm
- Continuous variables
  - Ex: Building an airline schedule or class schedule
  - Linear constraints: solvable in polynomial time by LP methods

#### Varieties of constraints

- Unary constraints involve a single variable,
  - e.g., SA ≠ green
- Binary constraints involve pairs of variables,
   e.g., SA ≠ WA
- Higher-order constraints involve 3 or more variables,
  - Ex: jobs A,B,C cannot all be run at the same time
  - Can always be expressed using multiple binary constraints
- Preference (soft constraints)
  - Ex: "red is better than green" can often be represented by a cost for each variable assignment
  - Combines optimization with CSPs

#### Simplify...

- We restrict attention to:
- Discrete & finite domains
  - Variables have a discrete, finite set of values
- No objective function
  - Any complete & consistent solution is OK
- Solution
  - Find a complete & consistent assignment
- Example: Sudoku puzzles

#### Binary CSPs

CSPs only need binary constraints!

Unary constraints

Just delete values from the variable's domain

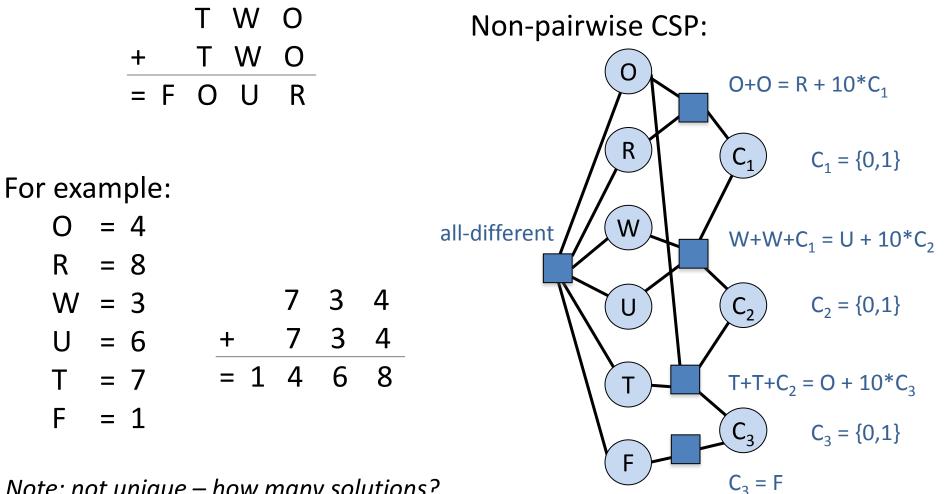
•Higher order (3 or more variables): reduce to binary

- Simple example: 3 variables X,Y,Z
- Domains Dx={1,2,3}, Dy={1,2,3}, Dz={1,2,3}
- Constraint C[X,Y,Z] = {X+Y=Z} = {(1,1,2),(1,2,3),(2,1,3)}
   (Plus other variables & constraints elsewhere in the CSP)
- Create a new variable W, taking values as triples (3-tuples)
- Domain of W is  $Dw = \{(1,1,2), (1,2,3), (2,1,3)\}$ 
  - Dw is exactly the tuples that satisfy the higher-order constraint
- Create three new constraints:
  - C[X,W] = { [1,(1,1,2)], [1,(1,2,3)], [2,(2,1,3) }
  - C[Y,W] = { [1,(1,1,2)], [2,(1,2,3)], [1,(2,1,3) }
  - C[Z,W] = { [2,(1,1,2)], [3,(1,2,3)], [3,(2,1,3) }

Other constraints elsewhere involving X,Y,Z are unaffected

#### Example: Cryptarithmetic problems

Find numeric substitutions that make an equation hold:



*Note: not unique – how many solutions?* 

#### Example: Cryptarithmetic problems

• Try it yourself at home:

(a frequent request from college students to parents)

## Random binary CSPs

- A random binary CSP is defined by a four-tuple (n, d,  $p_1$ ,  $p_2$ )
  - n = the number of variables.
  - d = the domain size of each variable.
  - p<sub>1</sub> = probability a constraint exists between two variables.
  - p<sub>2</sub> = probability a pair of values in the domains of two variables connected by a constraint is incompatible.
    - Note that R&N lists compatible pairs of values instead.
    - Equivalent formulations; just take the set complement.
- (n, d, p<sub>1</sub>, p<sub>2</sub>) generate random binary constraints
- The so-called "model B" of Random CSP (n, d, n<sub>1</sub>, n<sub>2</sub>)
  - $n1 = p_1 n(n-1)/2$  pairs of variables are randomly and uniformly selected and binary constraints are posted between them.
  - For each constraint,  $n_2 = p_2 d^2$  randomly and uniformly selected pairs of values are picked as incompatible.
- The random CSP as an optimization problem (minCSP).
  - Goal is to minimize the total sum of values for all variables.

#### CSP as a standard search problem

- A CSP can easily be expressed as a standard search problem.
- Incremental formulation
  - Initial State: the empty assignment {}
  - Actions: Assign a value to an unassigned variable provided that it does not violate a constraint
  - Goal test: the current assignment is complete (by construction it is consistent)
  - Path cost: constant cost for every step (not really relevant)

**BUT:** solution is at depth n (# of variables) For BFS: branching factor at top level is *nd* next level: *(n-1)d* 

Total: *n*! *d*<sup>*n*</sup> leaves! But there are only *d*<sup>*n*</sup> complete assignments!

- Aside: can also use complete-state formulation
  - Local search techniques (Chapter 4) tend to work well

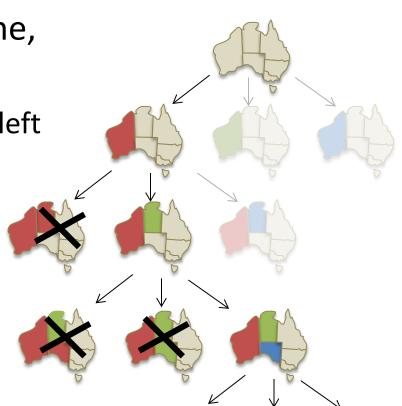
### Commutativity

- CSPs are commutative.
  - Order of any given set of actions has no effect on the outcome.
  - Example: choose colors for Australian territories, one at a time.
    - [WA=red then NT=green] same as [NT=green then WA=red]
- All CSP search algorithms can generate successors by considering assignments <u>for only a single variable</u> at each node in the search tree

 $\Rightarrow$  there are  $d^n$  irredundant leaves

• (Figure out later to which variable to assign which value.)

- Similar to depth-first search
  - At each level, pick a single variable to expand
  - Iterate over the domain values of that variable
- Generate children one at a time,
  - One child per value
  - Backtrack when no legal values left
- Uninformed algorithm
  - Poor general performance



#### (R&N Fig. 6.5)

#### Backtracking search

function BACKTRACKING-SEARCH(csp) return a solution or failure
return RECURSIVE-BACKTRACKING({}, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) return a solution or failure if assignment is complete then return assignment  $var \leftarrow SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)$ for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do if value is consistent with assignment according to CONSTRAINTS[csp] then add {var=value} to assignment result  $\leftarrow$  RECURSIVE-BACTRACKING(assignment, csp) if result  $\neq$  failure then return result remove {var=value} from assignment return failure

- Expand *deepest* unexpanded node
- Generate only one child at a time.
- Goal-Test when inserted.
  - For CSP, Goal-test at bottom

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#### Improving Backtracking O(exp(n))

- Make our search more "informed" (e.g. heuristics)
  - General purpose methods can give large speed gains
  - CSPs are a generic formulation; hence heuristics are more "generic" as well
- Before search:
  - Reduce the search space
  - Arc-consistency, path-consistency, i-consistency
  - Variable ordering (fixed)
- During search:
  - Look-ahead schemes:
    - Detecting failure early; reduce the search space if possible
    - Which variable should be assigned next?
    - Which value should we explore first?
  - Look-back schemes:
    - Backjumping
    - Constraint recording
    - Dependency-directed backtracking

#### Look-ahead: Variable and value orderings

- Intuition:
  - Apply propagation at each node in the search tree (reduce future branching)
  - Choose a variable that will detect failures early

(low branching factor)

- Choose value least likely to yield a dead-end
- (find solution early if possible)

- Forward-checking
  - (check each unassigned variable separately)
- Maintaining arc-consistency (MAC)
  - (apply full arc-consistency)

# Backtracking search (Figure 6.5)

function BACKTRACKING-SEARCH(csp) return a solution or failure
 return RECURSIVE-BACKTRACKING({}, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) return a solution or failure

if assignment is complete then return assignment

*var* ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[*csp*], *assignment*, *csp*)

**for each** *value* **in** ORDER-DOMAIN-VALUES(*var, assignment, csp*) **do** 

if value is consistent with assignment according to CONSTRAINTS[csp] then

add {var=value} to assignment

*result* ← RRECURSIVE-BACTRACKING(*assignment, csp*)

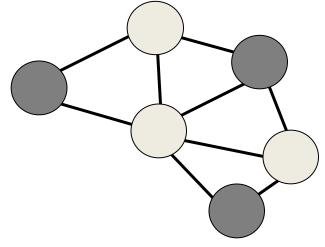
**if** *result* ≠ *failure* **then return** *result* 

remove {var=value} from assignment

return failure

#### Dependence on variable ordering

- Example: coloring
  - Dark nodes assigned, light nodes unassigned



- (1) Assign WA, Q, V first:
- 27 = 3<sup>3</sup> ways to color assigned nodes consistently
- none inconsistent (yet)
- only 3 lead to solutions...

(2) Assign WA, SA, NT first:

 6 = 3! ways to color assigned nodes consistently

WA

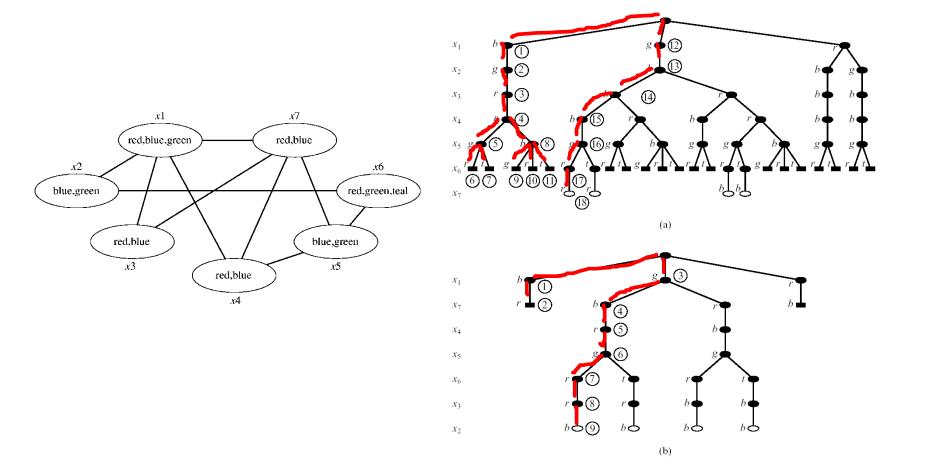
SA

NSW

- all lead to solutions
- no backtracking

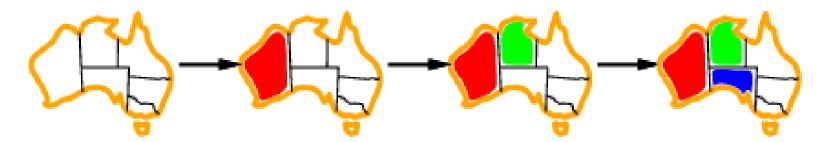
#### Dependence on variable ordering

• Another graph coloring example:



# Minimum remaining values (MRV)

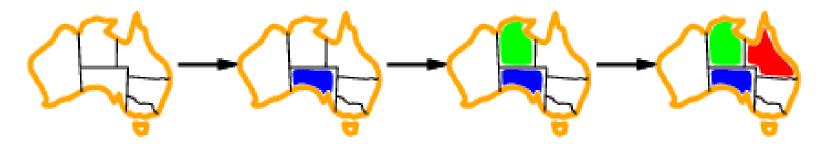
- A heuristic for selecting the next variable
  - a.k.a. most constrained variable (MCV) heuristic



- choose the variable with the fewest legal values
- will immediately detect failure if X has no legal values
- (Related to forward checking, later)

#### Degree heuristic

- Another heuristic for selecting the next variable
  - a.k.a. most constraining variable heuristic



Select variable involved in the most constraints on other unassigned variables

#### <u>Useful as a tie-breaker among most constrained variables</u>

What about the order to try values?

# Backtracking search (Figure 6.5)

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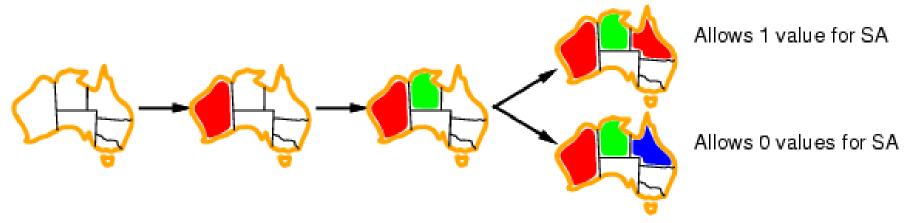
**if** *result* ≠ *failure* **then return** *result* 

remove {var=value} from assignment

return failure

#### Least Constraining Value

- Heuristic for selecting what value to try next
- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remaining variables



- Makes it more likely to find a solution early

#### Variable and value orderings

- Minimum remaining values for variable ordering
- Least constraining value for value ordering
  - Why do we want these? <u>Is there a contradiction?</u>
- Intuition:
  - Choose a variable that will detect failures early
  - Choose value least likely to yield a dead-end

(low branching factor) (find solution early if possible)

- MRV for variable selection reduces current branching factor
  - Low branching factor throughout tree = fast search
  - Hopefully, when we get to variables with currently many values, forward checking or arc consistency will have reduced their domains & they'll have low branching too
- LCV for value selection increases the chance of success
  - If we're going to fail at this node, we'll have to examine every value anyway
  - If we're going to succeed, the earlier we do, the sooner we can stop searching

# Summary

- CSPs
  - special kind of problem: states defined by values of a fixed set of variables, goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Heuristics
  - Variable ordering and value selection heuristics help significantly
- Variable ordering (selection) heuristics
  - Choose variable with Minimum Remaining Values (MRV)
  - Degree Heuristic break ties after applying MRV
- Value ordering (selection) heuristic
  - Choose Least Constraining Value