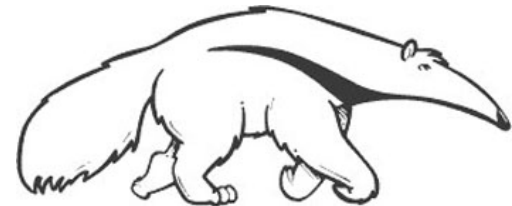


# Games & Adversarial Search B: Alpha-Beta Pruning and MCTS

Introduction to Artificial Intelligence

Prof. Richard Lathrop



Read Beforehand: R&N 5.3; **Optional: 5.5+**

# Alpha-Beta pruning

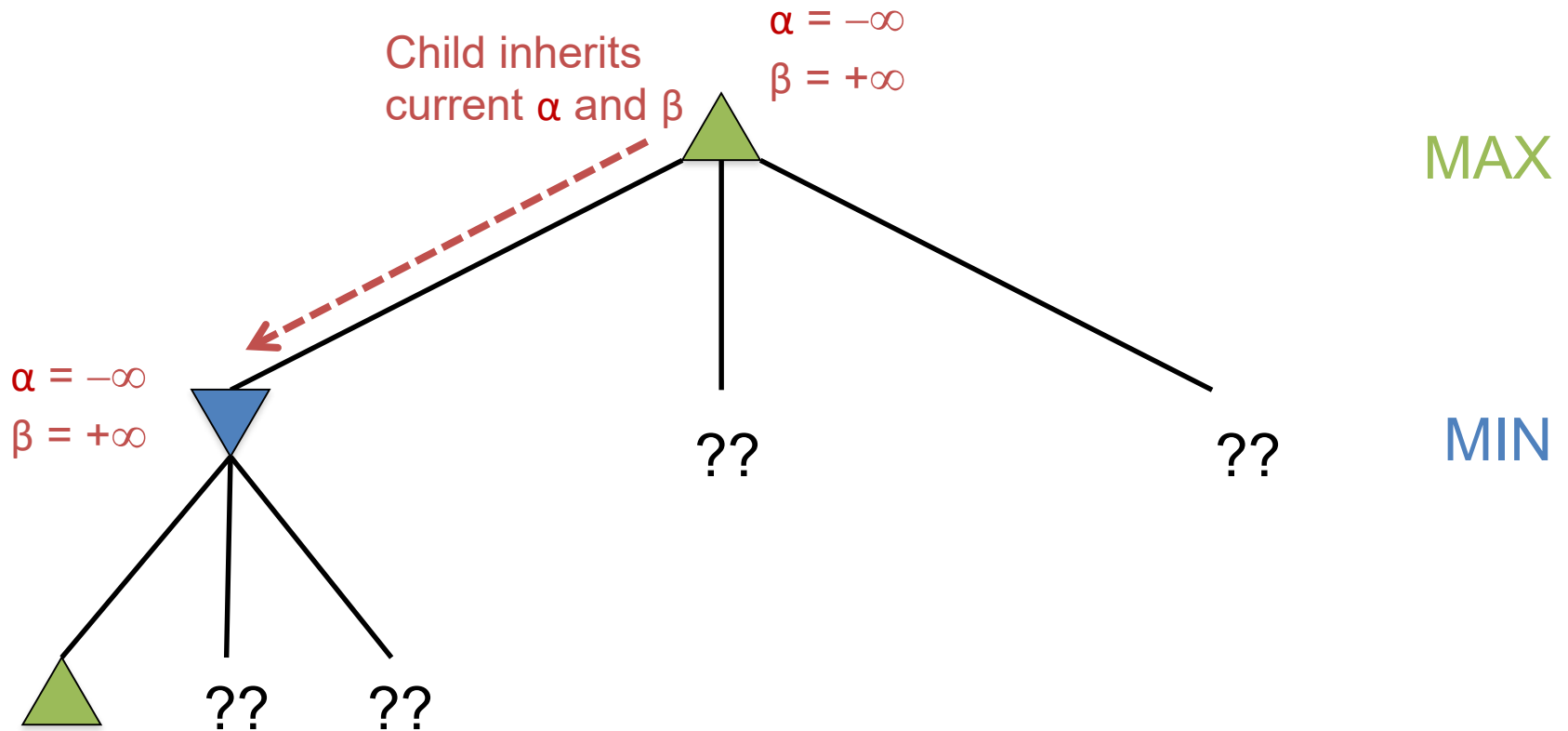
- Exploit the “fact” of an adversary
- Bad = not better than we already know we can get elsewhere
- If a position is provably bad
  - It’s NO USE expending search effort to find out just how bad it is
- If the adversary can force a bad position
  - It’s NO USE searching to find the good positions the adversary won’t let you achieve anyway
- Contrast normal search:
  - ANY node might be a winner, so ALL nodes must be considered.
  - A\* avoids this through heuristics that transmit your knowledge.
  - Alpha-Beta pruning avoids this through exploiting the adversary.



# Alpha-Beta Example

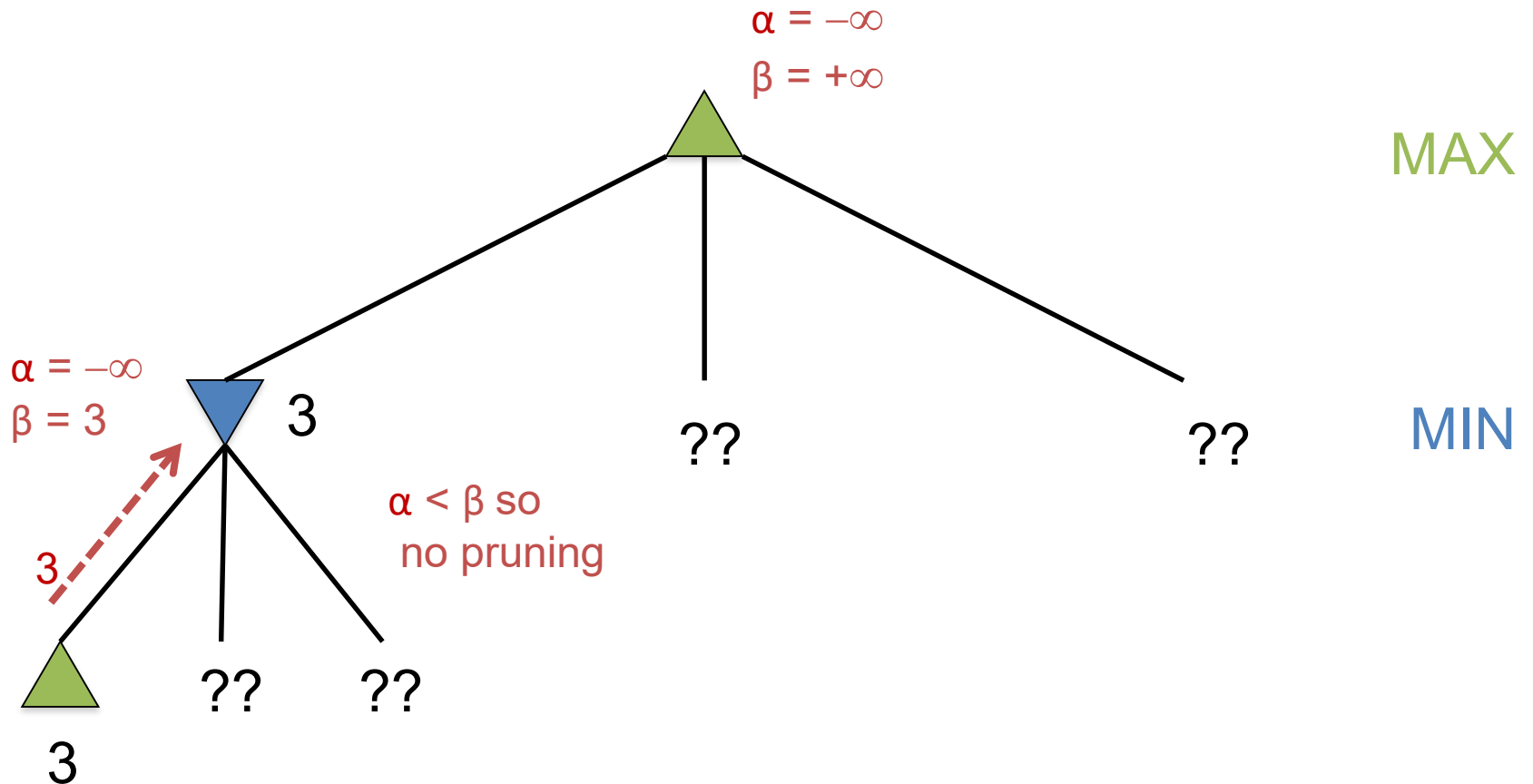
Initially, possibilities are unknown: range ( $\alpha = -\infty$ ,  $\beta = +\infty$ )

Do a depth-first search to the first leaf.



# Alpha-Beta Example

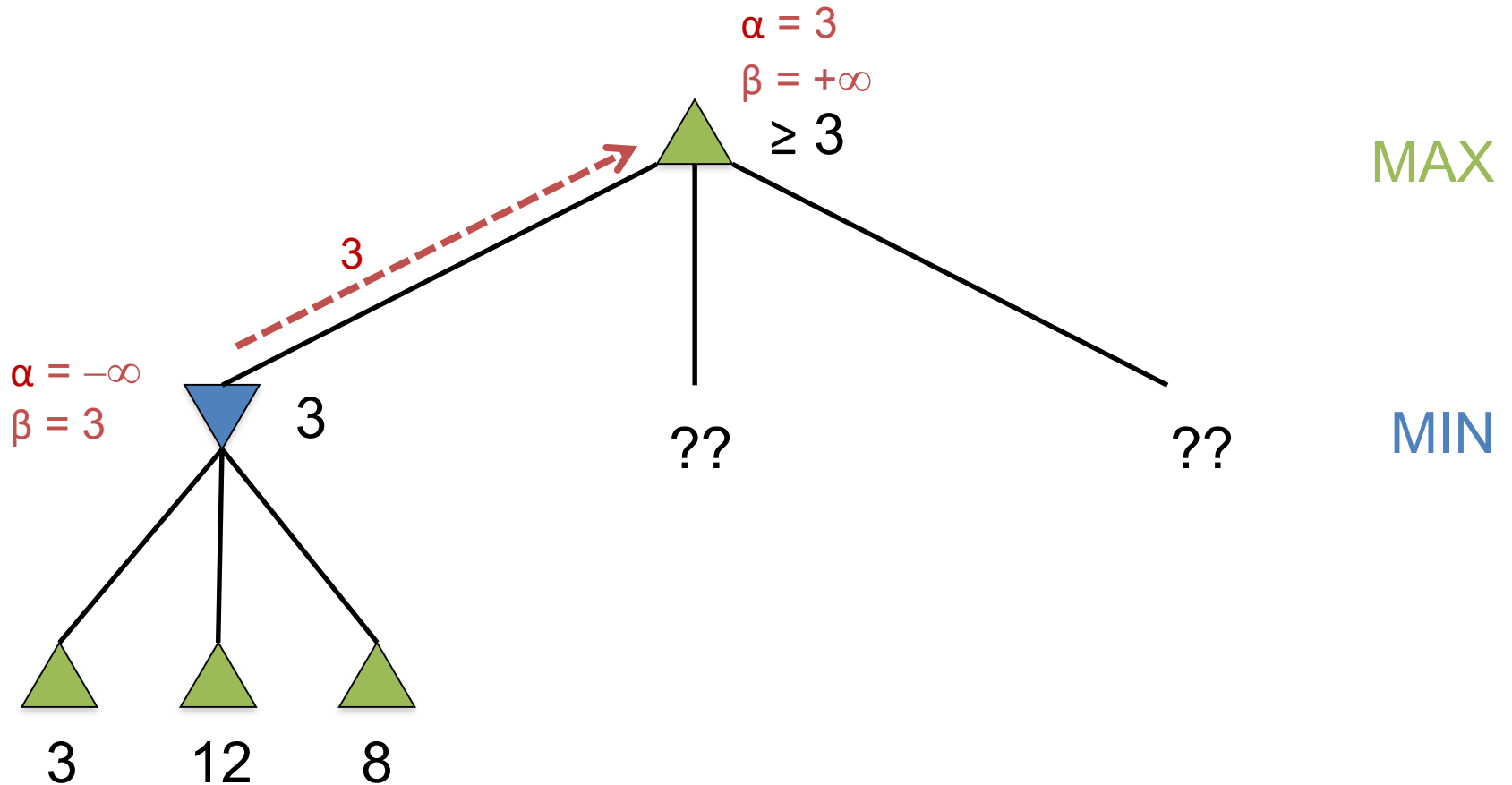
See the first leaf, after MIN's move: MIN updates  $\beta$



# Alpha-Beta Example

See remaining leaves; value is known

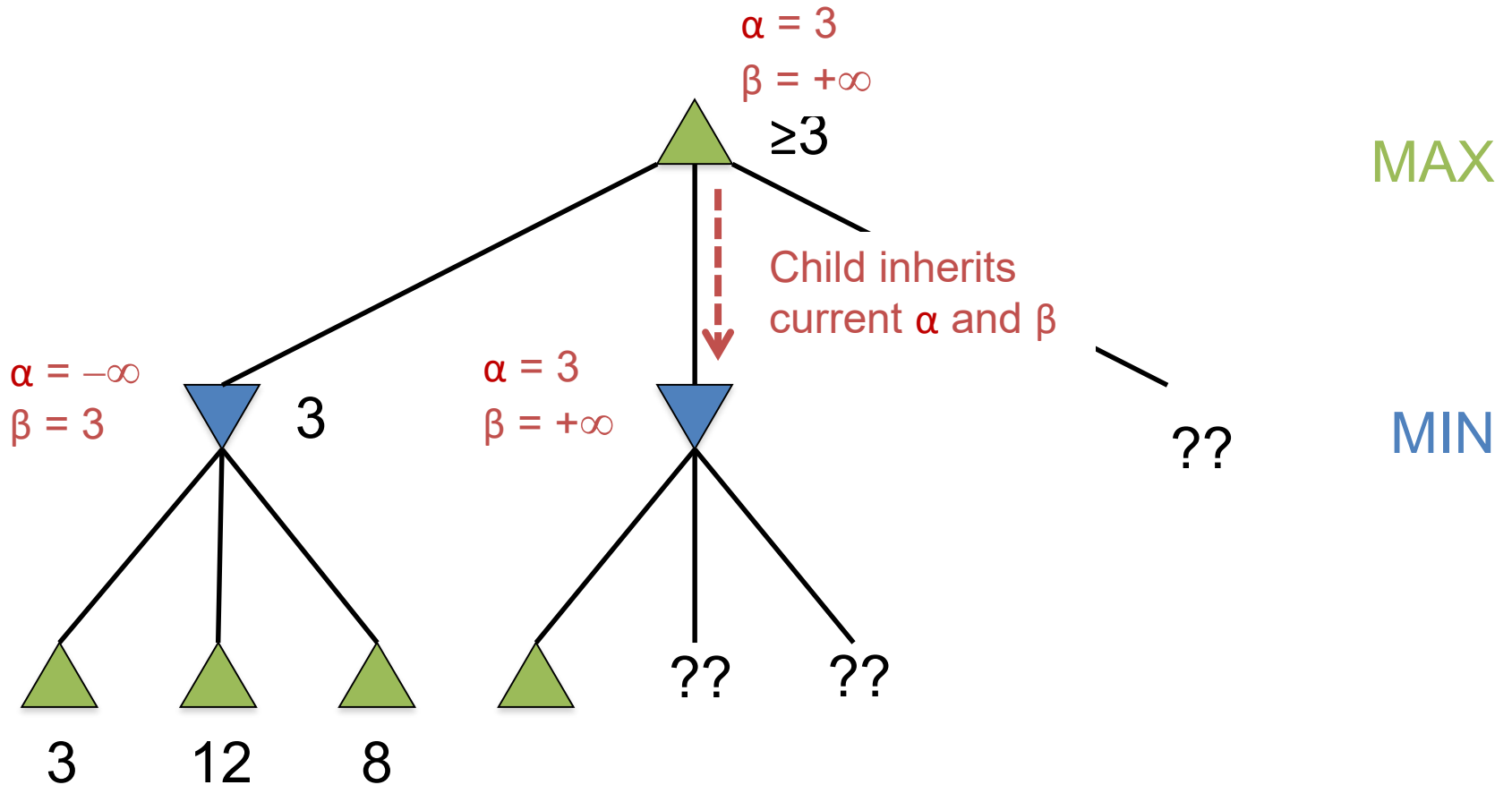
Pass outcome to caller; MAX updates  $\alpha$



# Alpha-Beta Example

Continue depth-first search to next leaf.

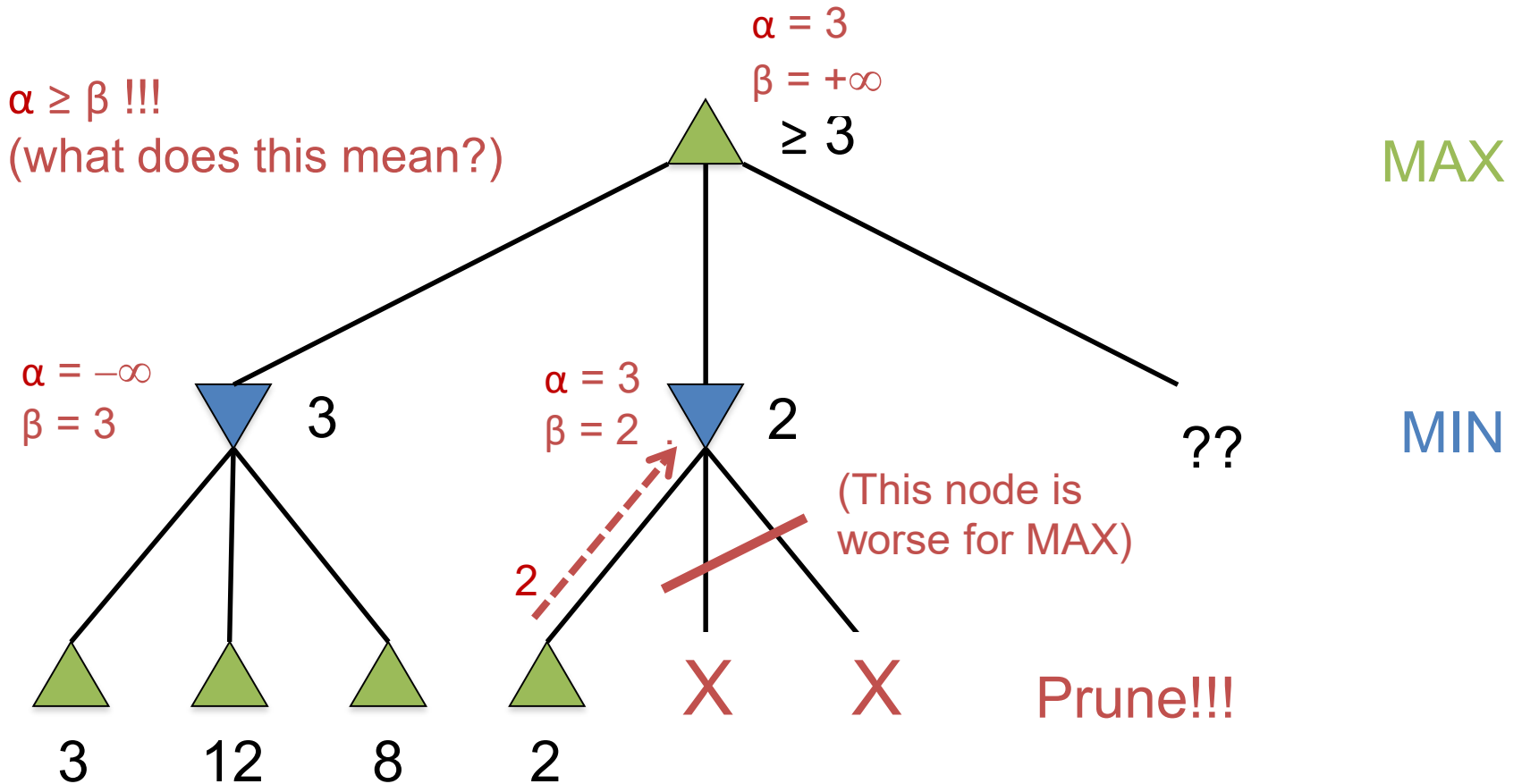
Pass  $\alpha$ ,  $\beta$  to descendants



# Alpha-Beta Example

Observe leaf value; MIN's level; MIN updates  $\beta$

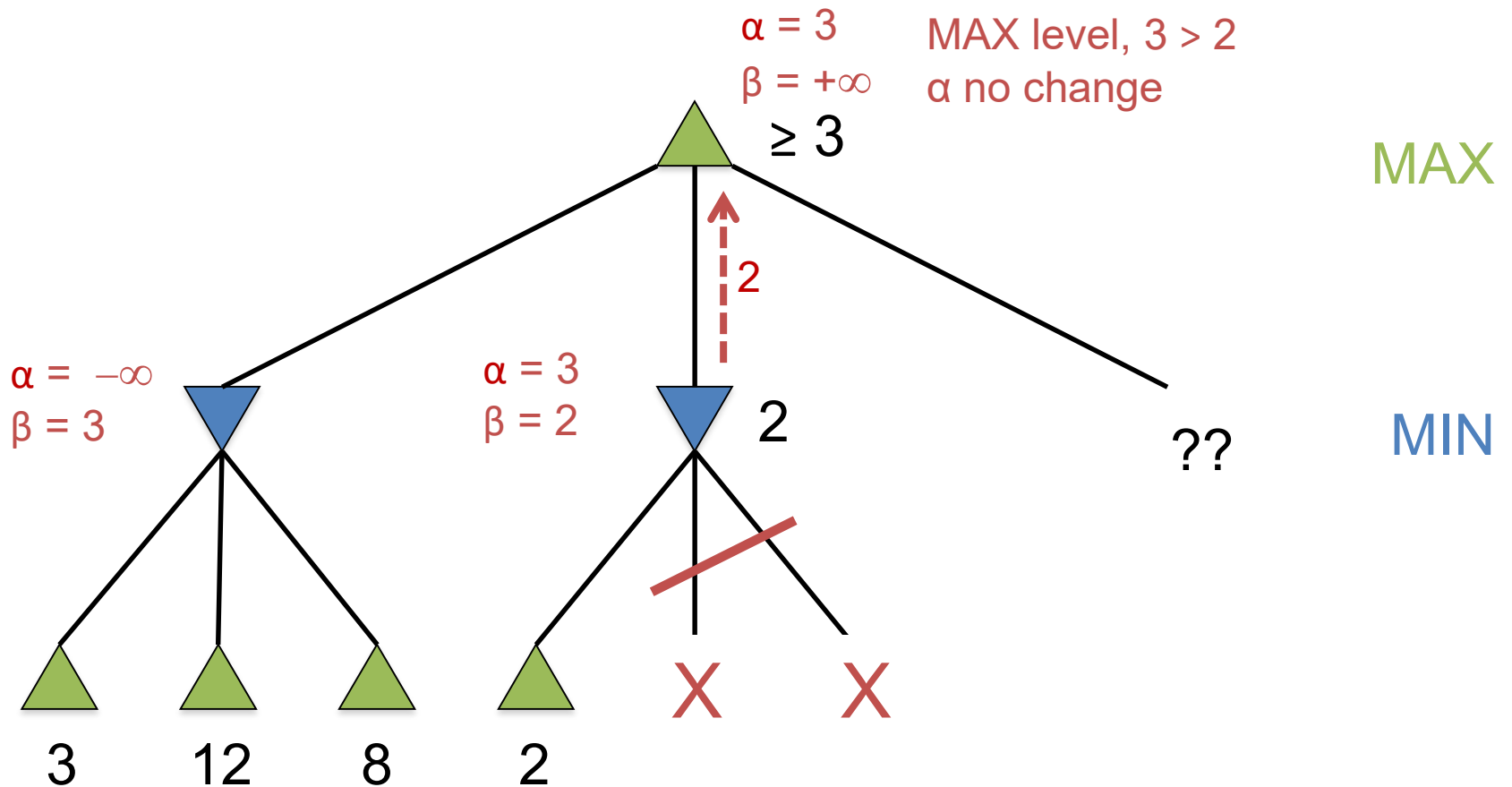
Prune – play will never reach the other nodes!





# Alpha-Beta Example

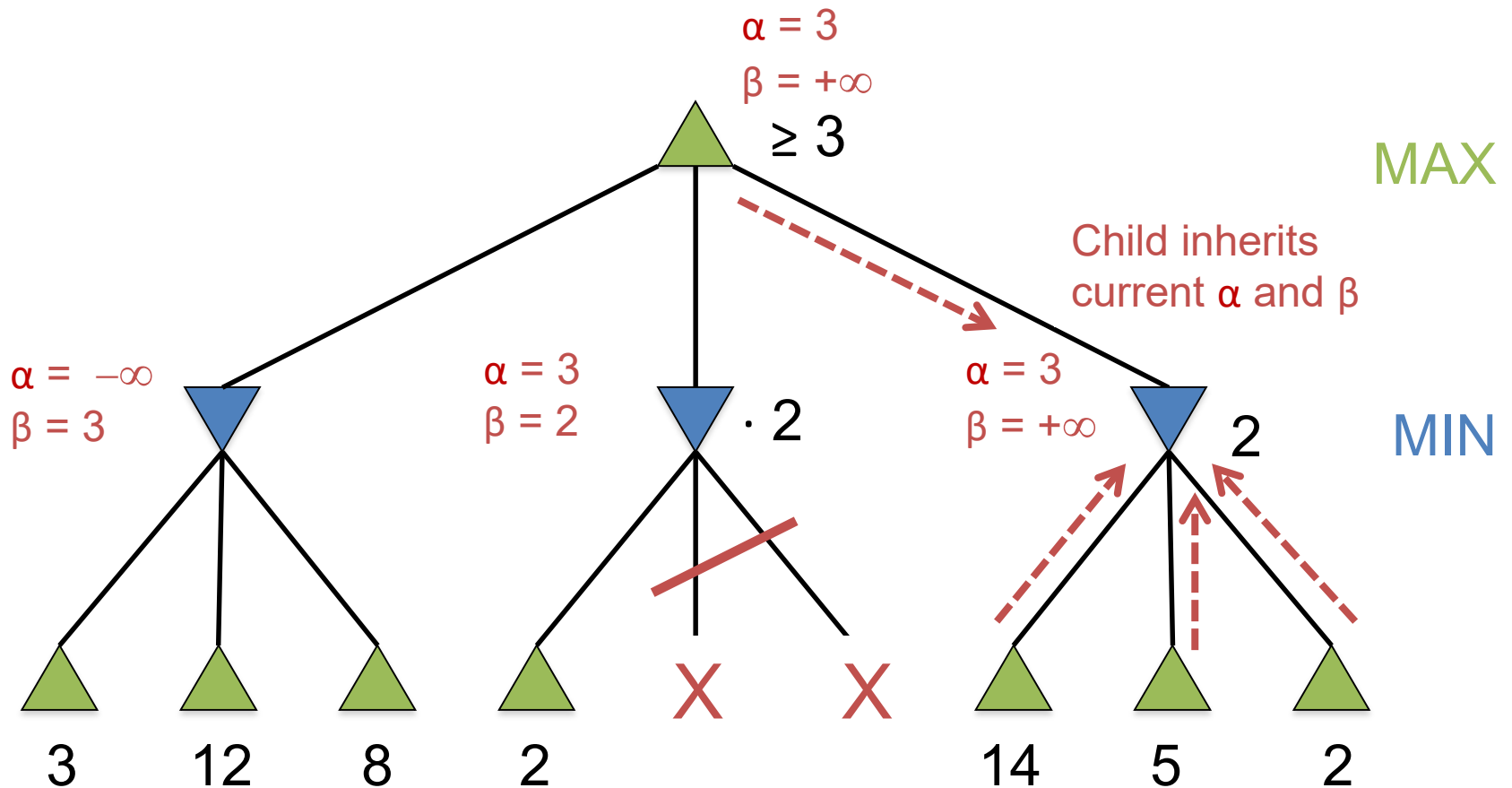
Pass outcome to caller & update caller:



# Alpha-Beta Example

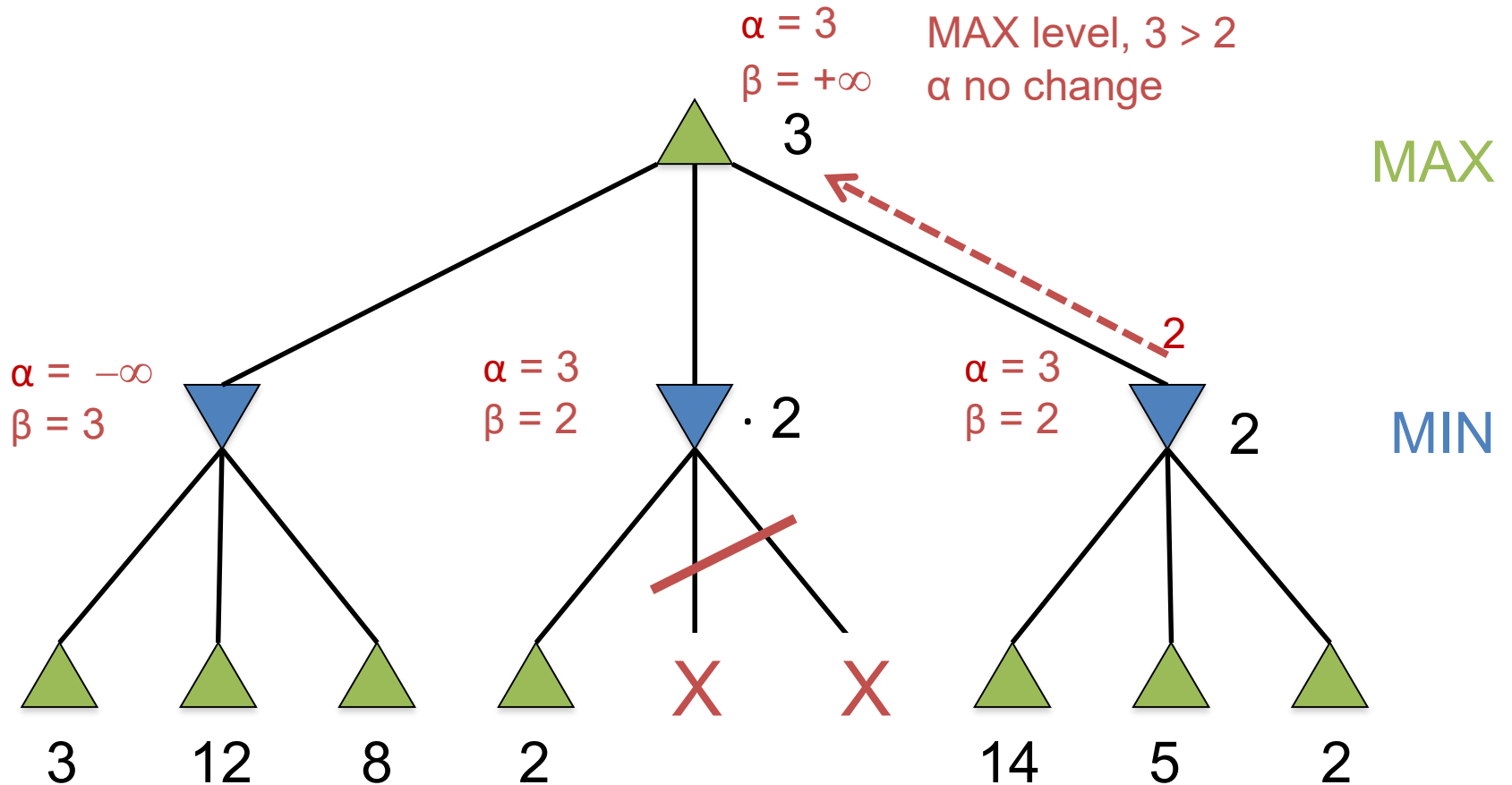
Continue depth-first exploration...

No pruning here; value is not resolved until final leaf.



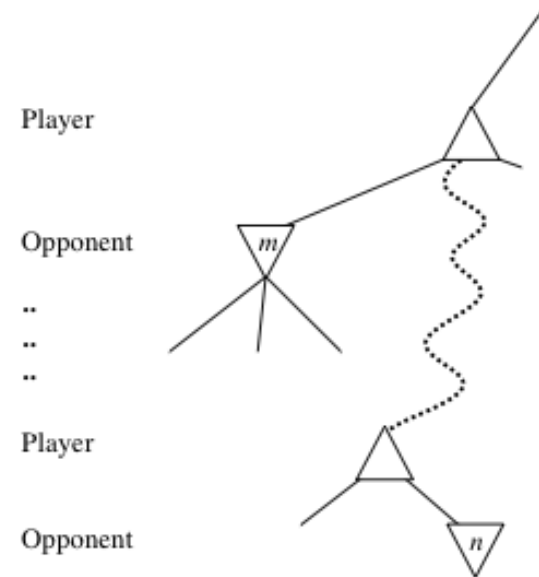
# Alpha-Beta Example

Pass outcome to caller & update caller.  
Value at the root is resolved.



# General alpha-beta pruning

- Consider a node  $n$  in the tree:
- If player has a better choice at
  - Parent node of  $n$
  - Or, any choice further up!
- Then  $n$  is never reached in play



- So:
  - When that much is known about  $n$ , it can be pruned

# Recursive $\alpha$ - $\beta$ pruning: R&N Fig. 5.7

```
function ALPHA-BETA-SEARCH(state) returns an action  
   $v \leftarrow \text{MAX-VALUE}(\textit{state}, -\infty, +\infty)$   
  return the action in ACTIONS(state) with value  $v$ 
```

---

```
function MAX-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
if CUTOFF-TEST(state) then return EVAL(state)  
   $v \leftarrow -\infty$   
  for each a in ACTIONS(state) do  
     $v \leftarrow \text{MAX}(v, \text{MIN-VALUE}(\text{RESULT}(\textit{state}, a), \alpha, \beta))$   
    if  $v \geq \beta$  then return  $v$   
     $\alpha \leftarrow \text{MAX}(\alpha, v)$   
  return  $v$ 
```

---

```
function MIN-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
if CUTOFF-TEST(state) then return EVAL(state)  
   $v \leftarrow +\infty$   
  for each a in ACTIONS(state) do  
     $v \leftarrow \text{MIN}(v, \text{MAX-VALUE}(\text{RESULT}(\textit{state}, a), \alpha, \beta))$   
    if  $v \leq \alpha$  then return  $v$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
  return  $v$ 
```

Simple stub to call recursion functions  
Initialize alpha, beta; get best value  
Score each action; return best action

If Cutoff reached, return Eval heuristic  
Otherwise, find our best child:  
If our options become too good, our min  
ancestor will never let us come this way,  
so prune now & return best value so far  
Finally, return the best value we found

If Cutoff reached, return Eval heuristic  
Otherwise, find our worst child:  
If our options become too bad, our max  
ancestor will never let us come this way,  
so prune now & return worst value so far  
Finally, return the worst value we found

**Figure 5.7** The alpha-beta search algorithm. Notice that these routines are the same as the MINIMAX functions in Figure 5.3, except for the two lines in each of MIN-VALUE and MAX-VALUE that maintain  $\alpha$  and  $\beta$  (and the bookkeeping to pass these parameters along).

# Recursive $\alpha$ - $\beta$ pruning variant: Prune when $\alpha \geq \beta$

```
function ALPHA-BETA-SEARCH(state) returns an action  
   $v \leftarrow \text{MAX-VALUE}(\textit{state}, -\infty, +\infty)$   
  return the action in ACTIONS(state) with value  $v$ 
```

---

```
function MAX-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
  if CUTOFF-TEST(state) then return EVAL(state)  
   $v \leftarrow -\infty$   
  for each  $a$  in ACTIONS(state) do  
     $v \leftarrow \text{MAX}(v, \text{MIN-VALUE}(\text{RESULT}(\textit{state}, a), \alpha, \beta))$   
     $\alpha \leftarrow \text{MAX}(\alpha, v)$   
    if  $\alpha \geq \beta$  then return  $v$   
  return  $v$ 
```

---

```
function MIN-VALUE(state,  $\alpha$ ,  $\beta$ ) returns a utility value  
  if CUTOFF-TEST(state) then return EVAL(state)  
   $v \leftarrow +\infty$   
  for each  $a$  in ACTIONS(state) do  
     $v \leftarrow \text{MIN}(v, \text{MAX-VALUE}(\text{RESULT}(\textit{state}, a), \alpha, \beta))$   
     $\beta \leftarrow \text{MIN}(\beta, v)$   
    if  $\alpha \geq \beta$  then return  $v$   
  return  $v$ 
```

This variant has a conceptually simpler pruning rule ( $\alpha \geq \beta$ ), but when pruning occurs it makes one extra call to MAX(). Both variants yield the same pruning behavior, and **both are considered correct on tests.**

# Effectiveness of $\alpha$ - $\beta$ Search

- Worst-Case
  - Branches are ordered so that no pruning takes place. In this case alpha-beta gives no improvement over exhaustive search
- Best-Case
  - Each player's best move is the left-most alternative (i.e., evaluated first)
  - In practice, performance is closer to best rather than worst-case
- In practice often get  $O(b^{(d/2)})$  rather than  $O(b^d)$ 
  - This is the same as having a branching factor of  $\sqrt{b}$ ,
    - since  $(\sqrt{b})^d = b^{(d/2)}$  (i.e., we have effectively gone from  $b$  to square root of  $b$ )
  - In chess go from  $b \sim 35$  to  $b \sim 6$ 
    - permitting much deeper search in the same amount of time

# Iterative deepening

- In real games, there is usually a time limit  $T$  to make a move
- How do we take this into account?
- Minimax cannot use “partial” results with any confidence, unless the full tree has been searched
  - Conservative: set small depth limit to guarantee finding a move in time  $< T$
  - But, we may finish early – could do more search!
- **Added benefit with Alpha-Beta Pruning:**
  - Remember node values found at the previous depth limit
  - Sort current nodes so that each player’s best move is left-most child
  - Likely to yield good Alpha-Beta Pruning => better, faster search
  - Only a heuristic: node values will change with the deeper search
  - Usually works well in practice



# Comments on alpha-beta pruning

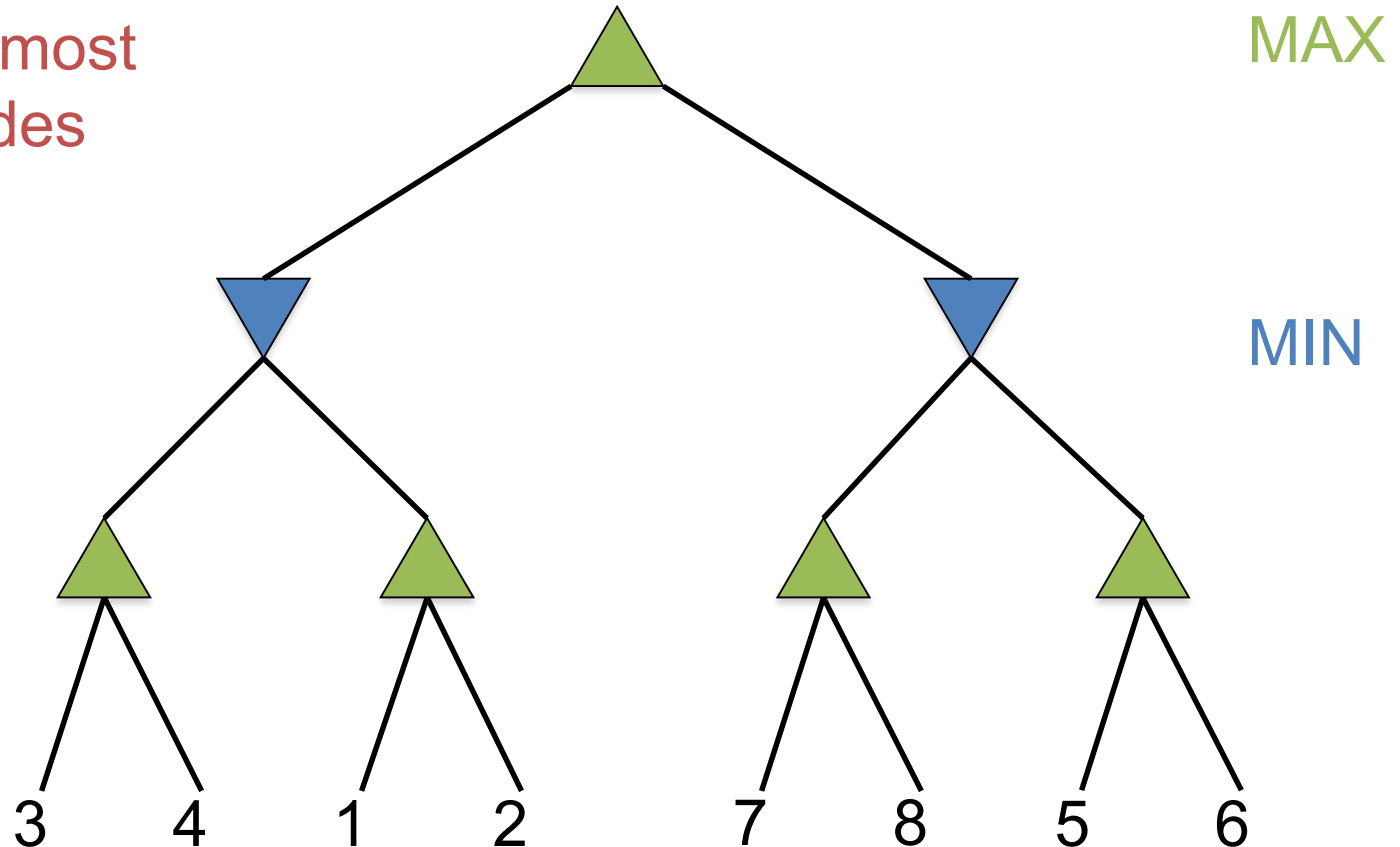
- Pruning does not affect final results
- Entire subtrees can be pruned
- Good move ordering improves pruning
  - Order nodes so player's best moves are checked first
- Repeated states are still possible
  - Store them in memory = transposition table

# Iterative deepening reordering

Which leaves can be pruned?

**None!**

because the most favorable nodes are explored last...

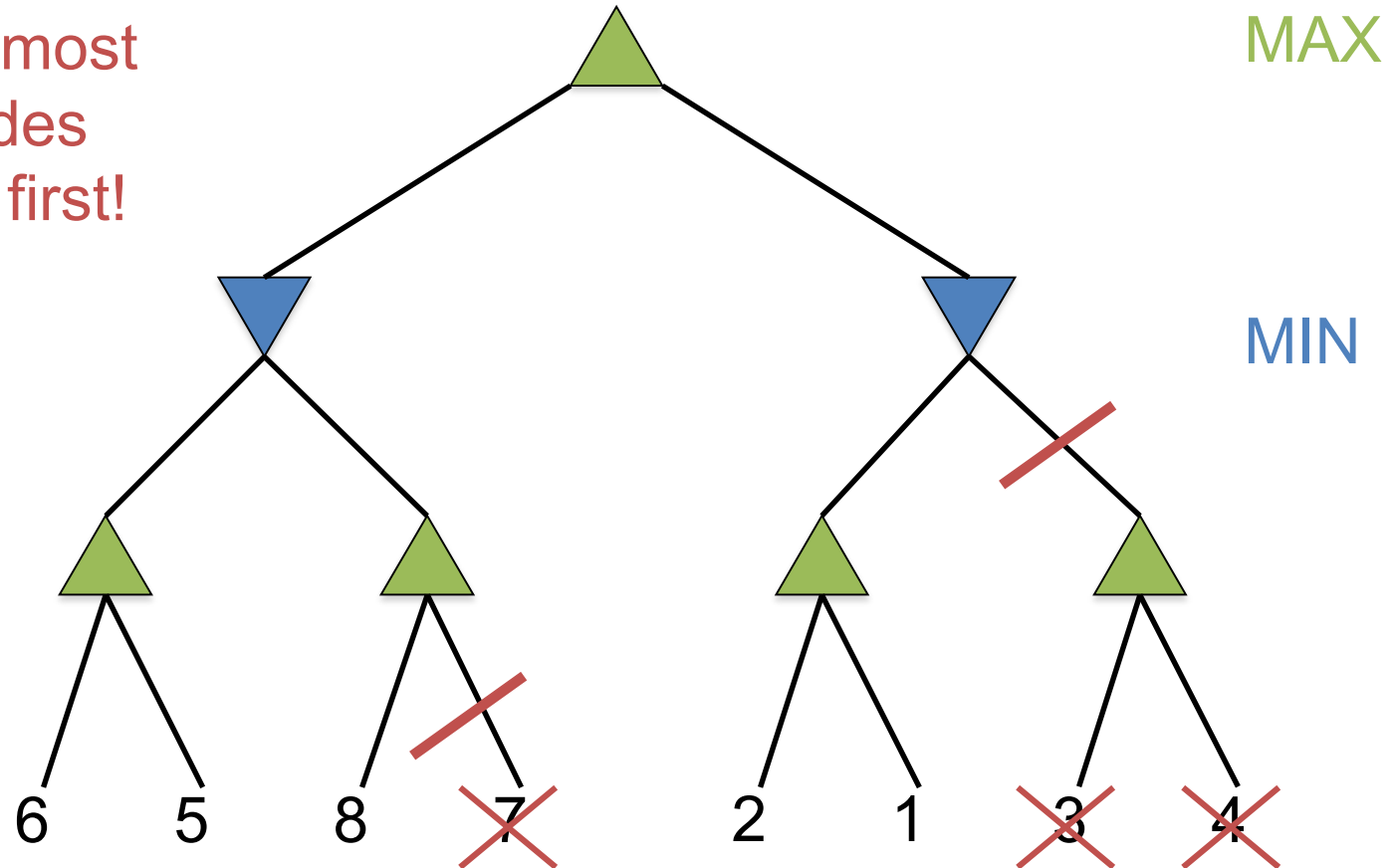


# Iterative deepening reordering

Different exploration order: now which leaves can be pruned?

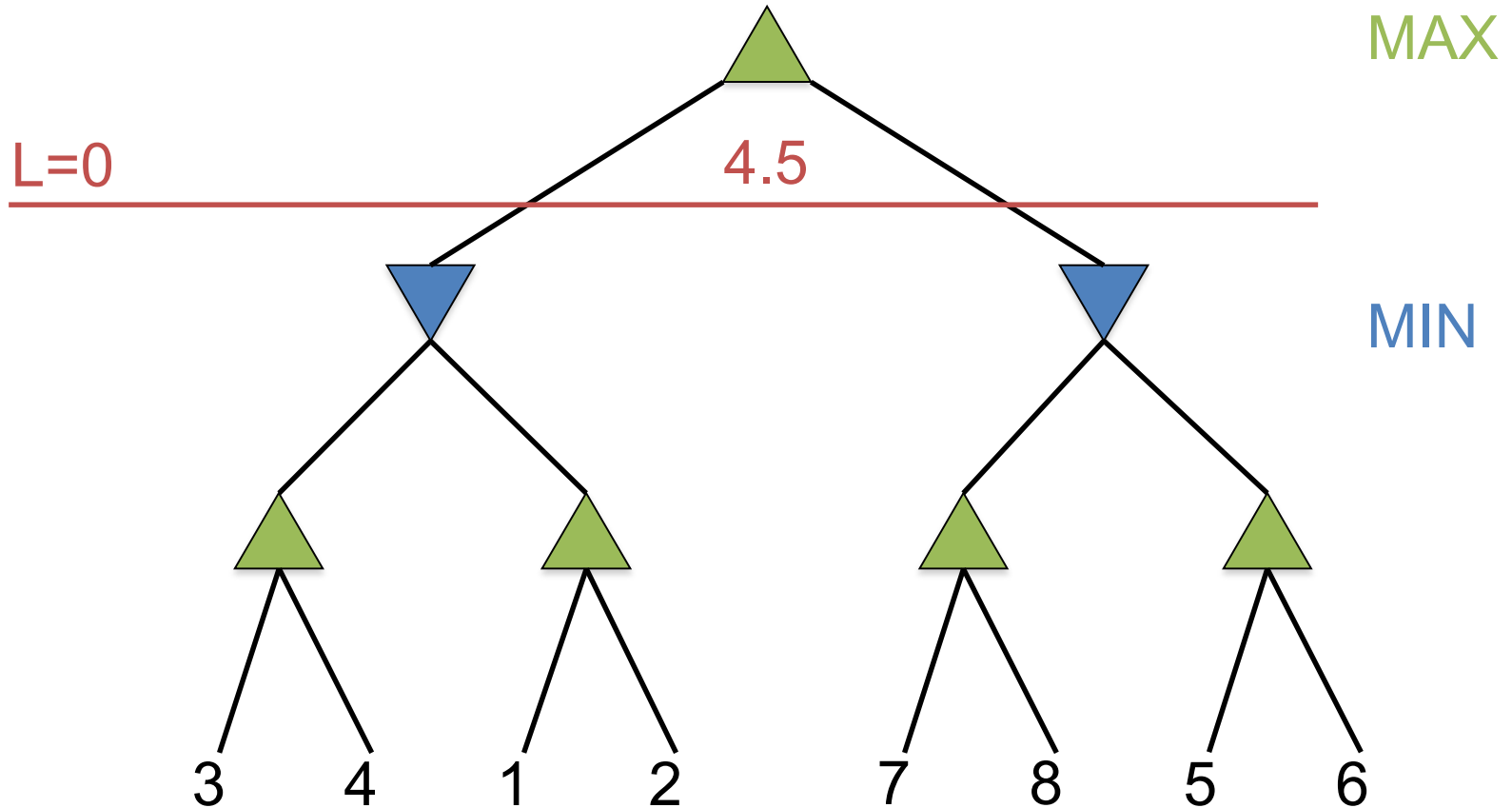
**Lots!**

because the most favorable nodes are explored first!



# Iterative deepening reordering

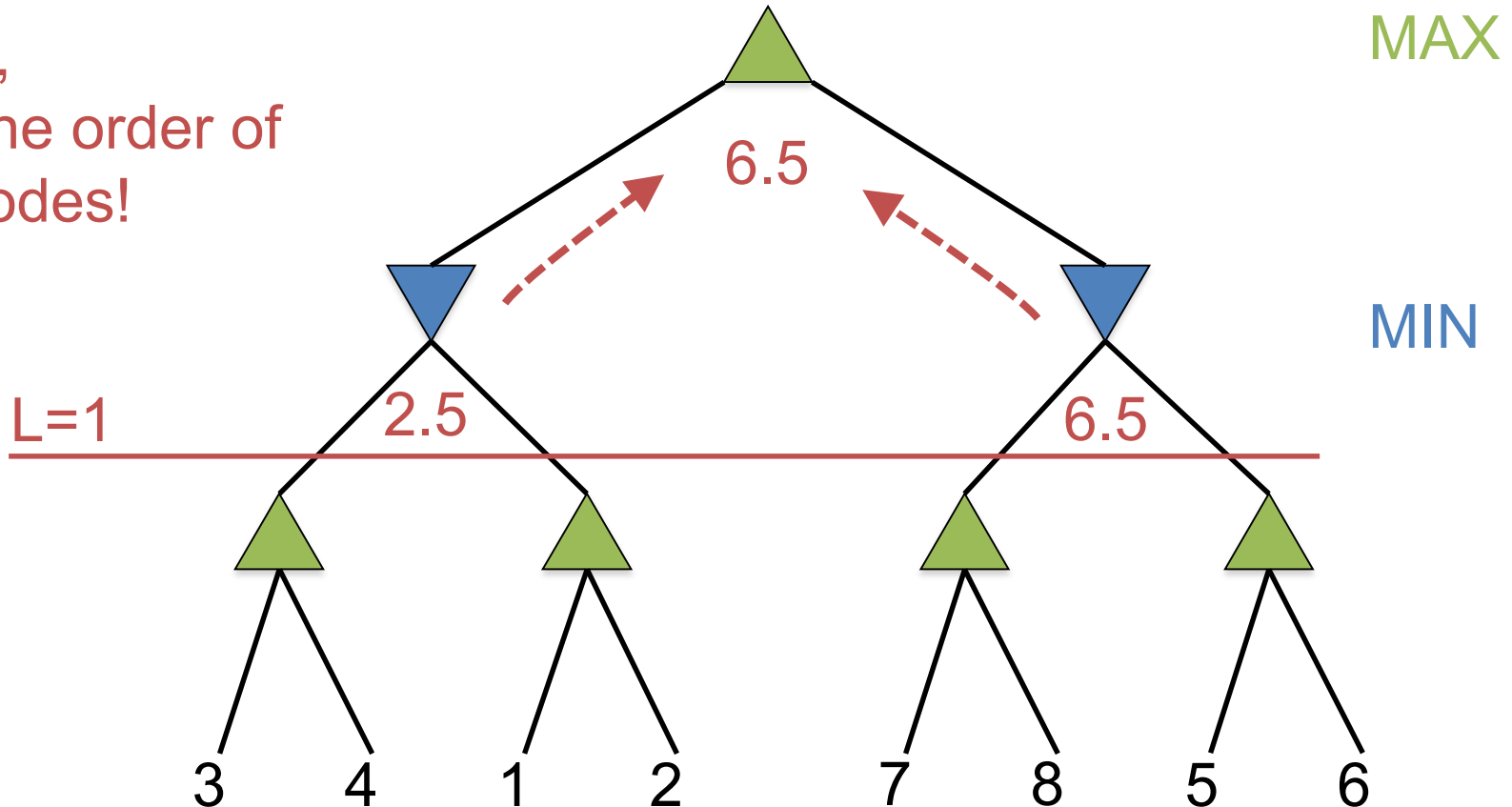
Order with no pruning; use iterative deepening approach.  
Assume node score is the average of leaf values below.



# Iterative deepening reordering

Order with no pruning; use iterative deepening approach.  
Assume node score is the average of leaf values below.

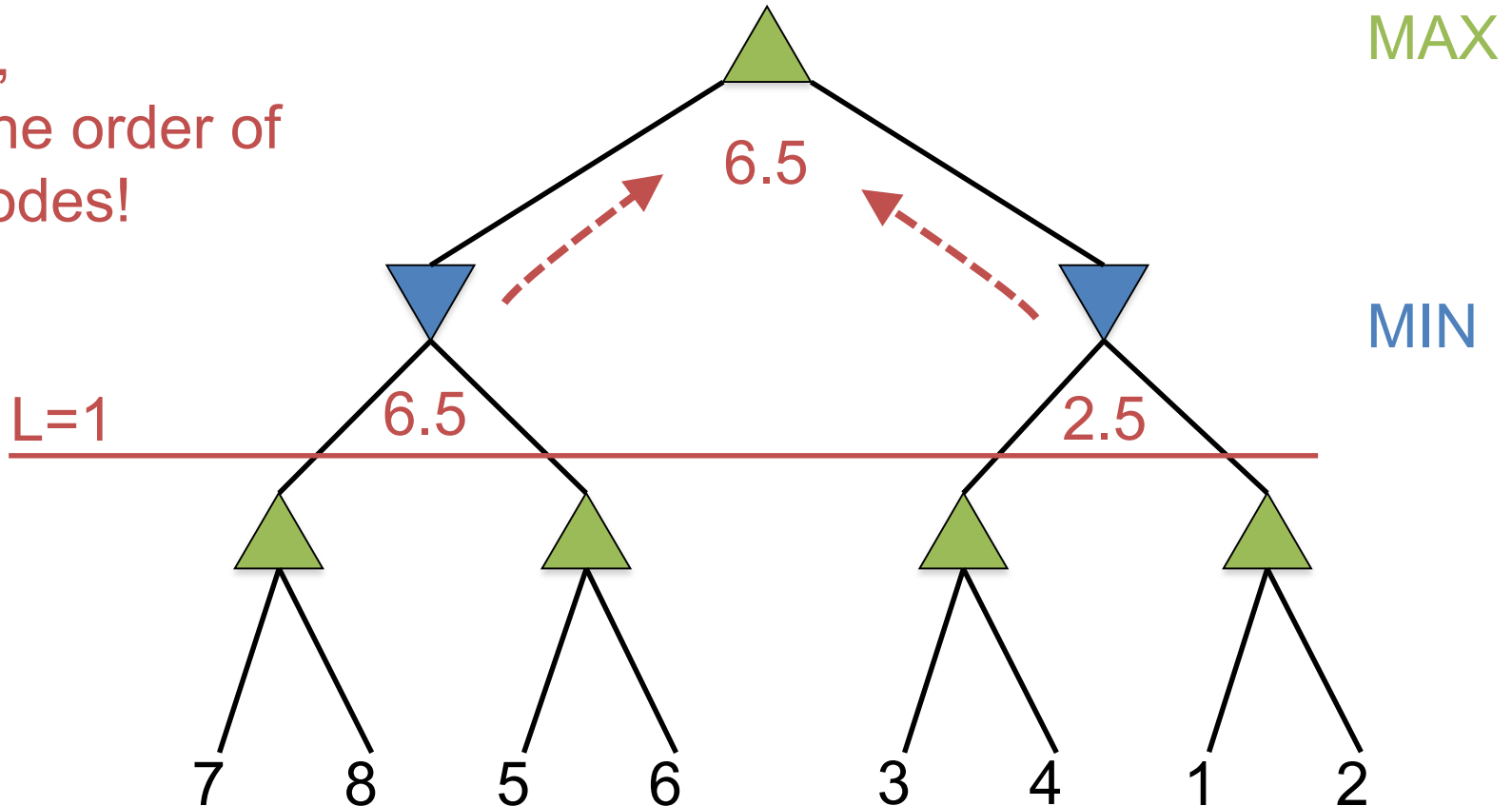
For  $L=2$ ,  
switch the order of  
these nodes!



# Iterative deepening reordering

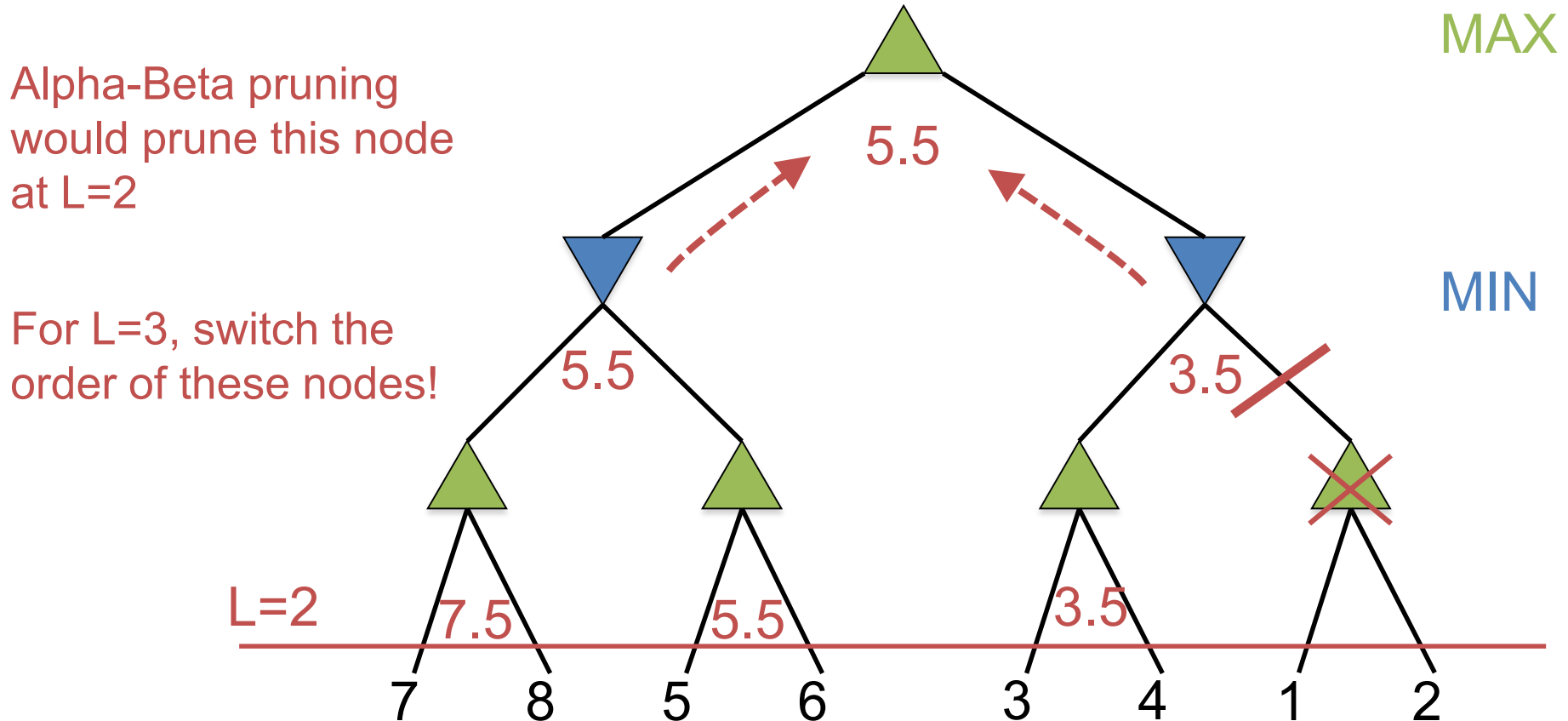
Order with no pruning; use iterative deepening approach.  
Assume node score is the average of leaf values below.

For  $L=2$ ,  
switch the order of  
these nodes!



# Iterative deepening reordering

Order with no pruning; use iterative deepening approach.  
Assume node score is the average of leaf values below.



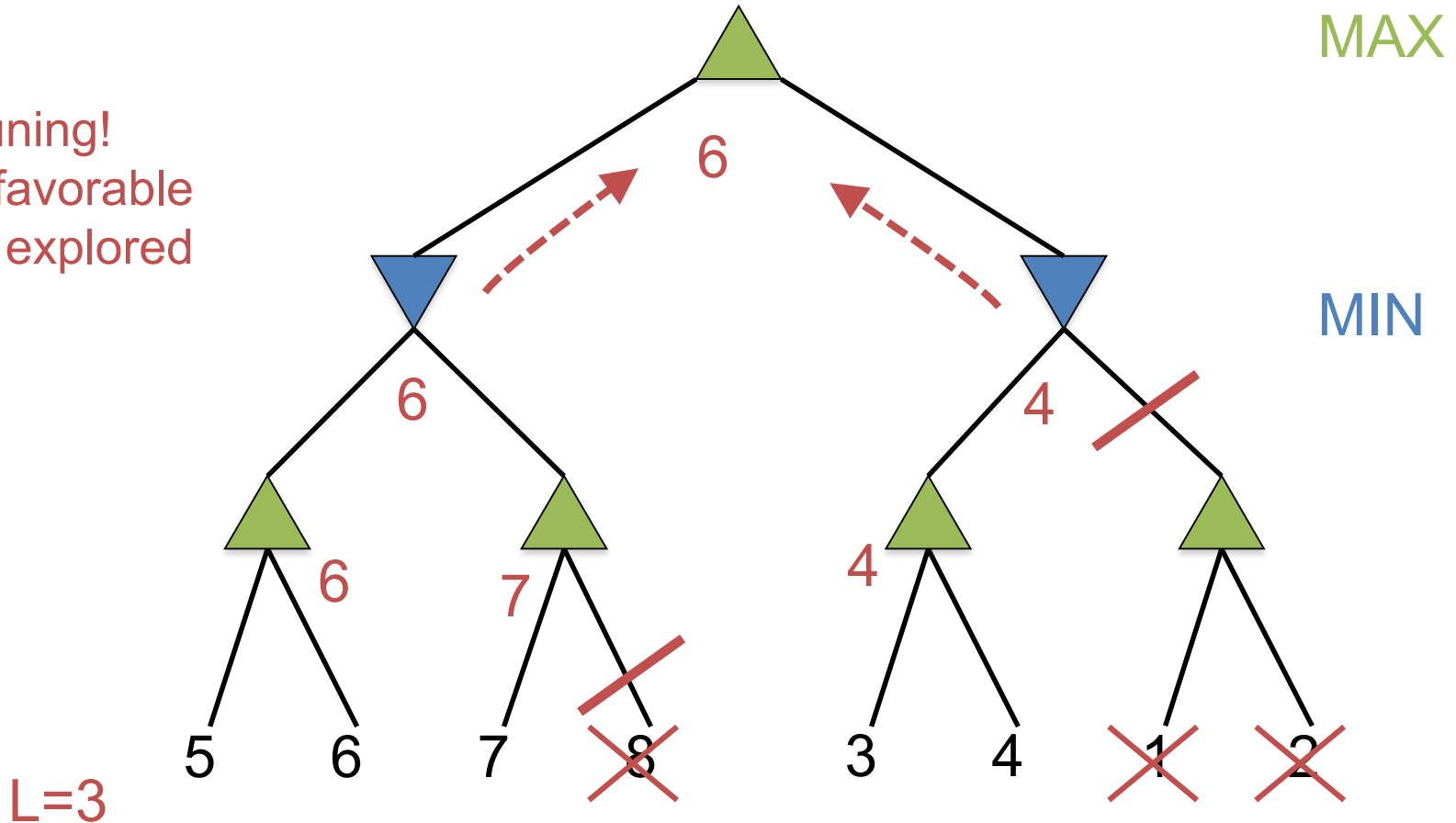




# Iterative deepening reordering

Order with no pruning; use iterative deepening approach.  
Assume node score is the average of leaf values below.

Lots of pruning!  
The most favorable nodes are explored earlier.

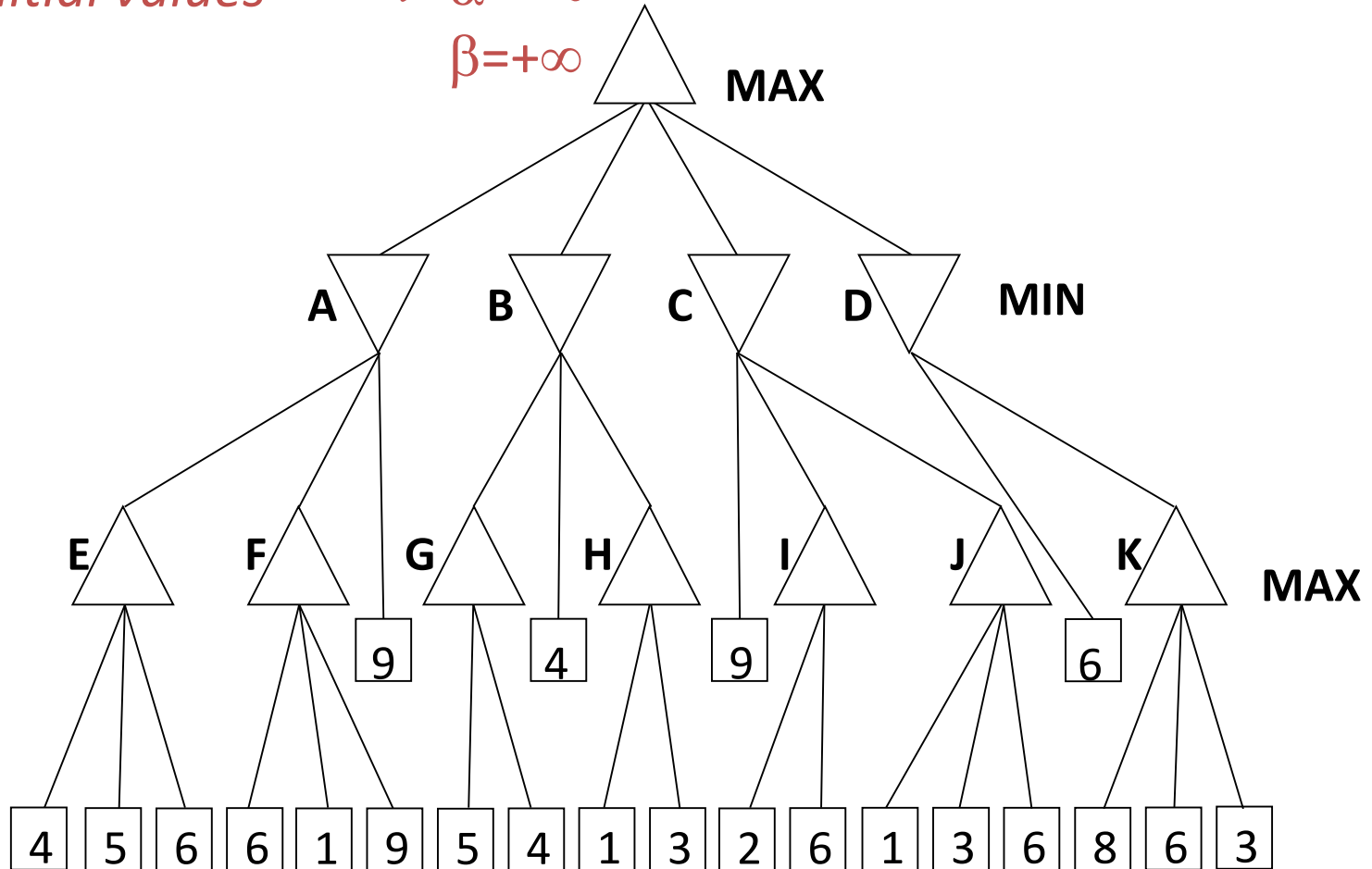


# Longer Alpha-Beta Example

Branch nodes are labeled A..K for easy discussion

$\alpha, \beta$ , initial values  $\longrightarrow \alpha = -\infty$

$\beta = +\infty$



# Longer Alpha-Beta Example

Note that cut-off occurs at different depths...

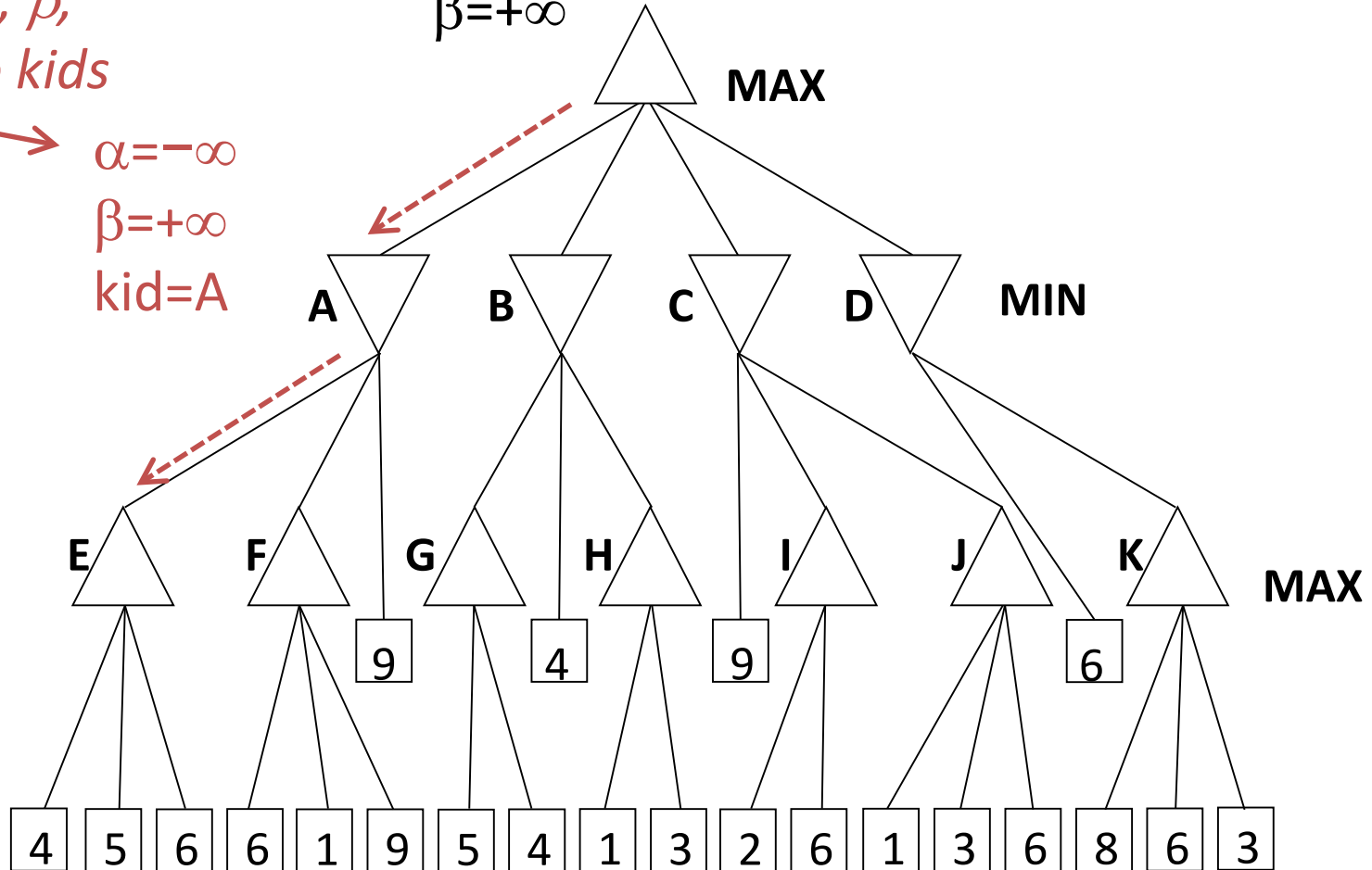
$\alpha = -\infty$

$\beta = +\infty$

*current  $\alpha, \beta$ ,  
passed to kids*

$\alpha = -\infty$   
 $\beta = +\infty$   
kid=A

$\alpha = -\infty$   
 $\beta = +\infty$   
kid=E



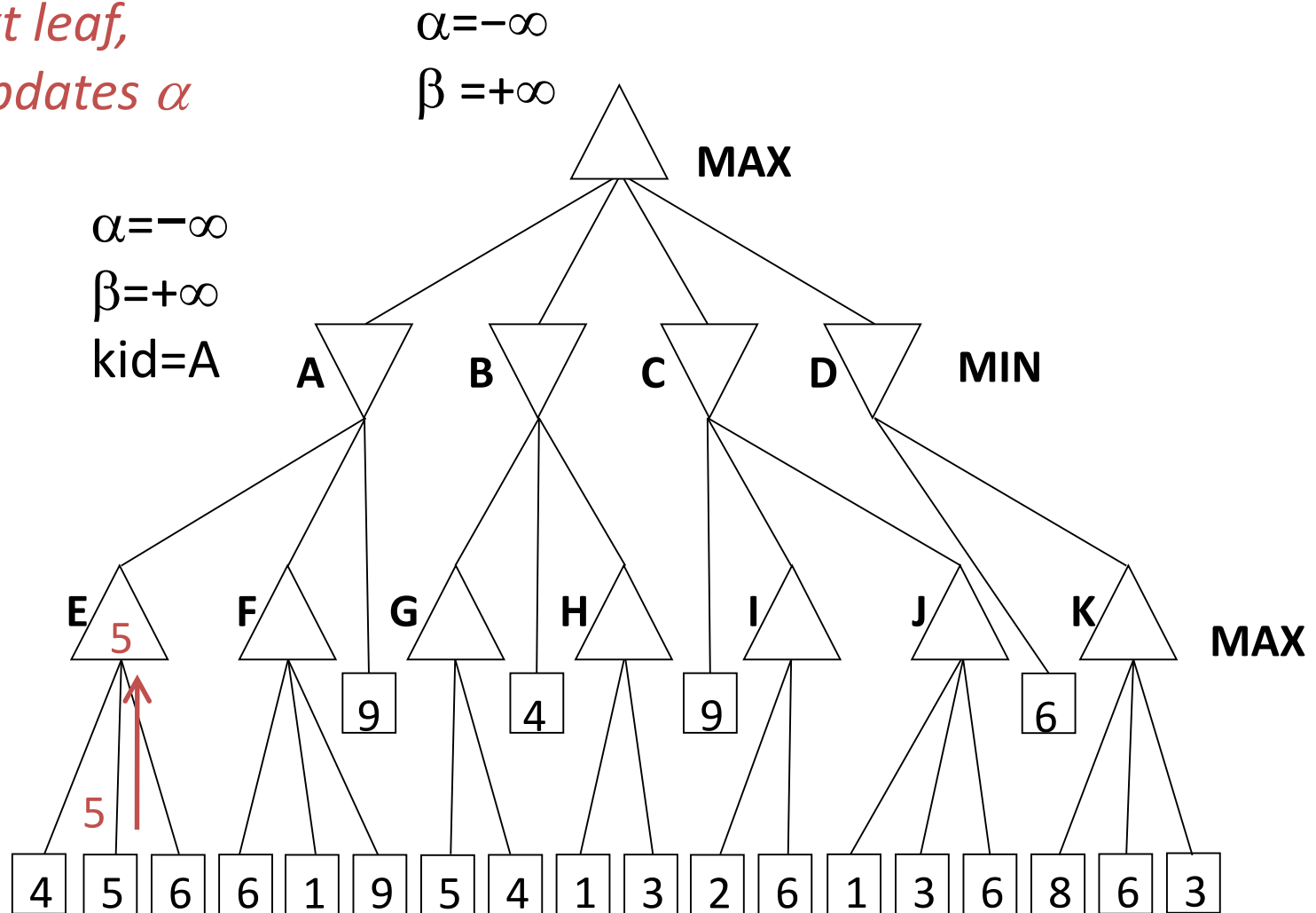


# Longer Alpha-Beta Example

*see next leaf,  
MAX updates  $\alpha$*



$\alpha=5$   
 $\beta=+\infty$   
kid=E

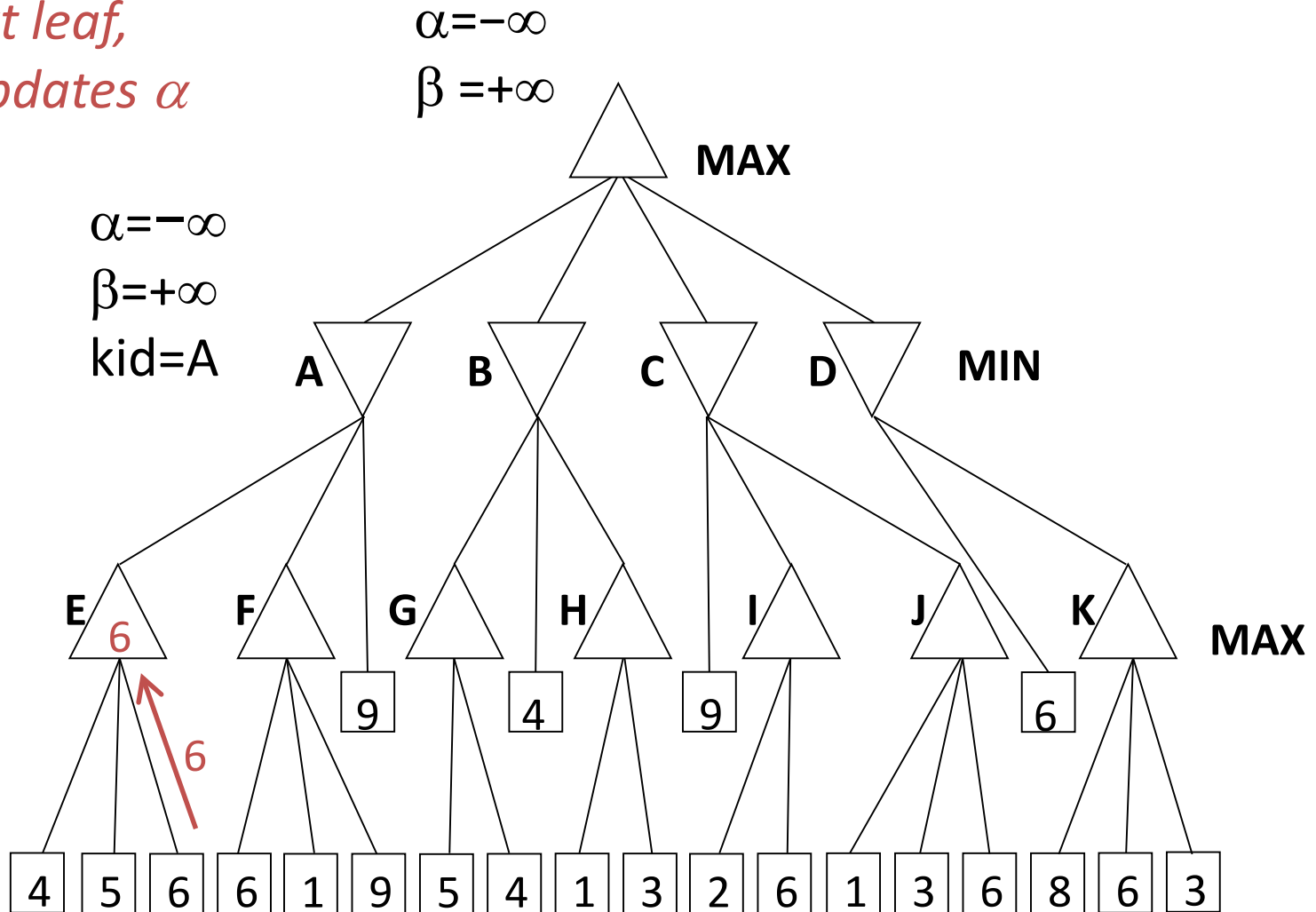


# Longer Alpha-Beta Example

*see next leaf,  
MAX updates  $\alpha$*



$\alpha=6$   
 $\beta=+\infty$   
kid=E

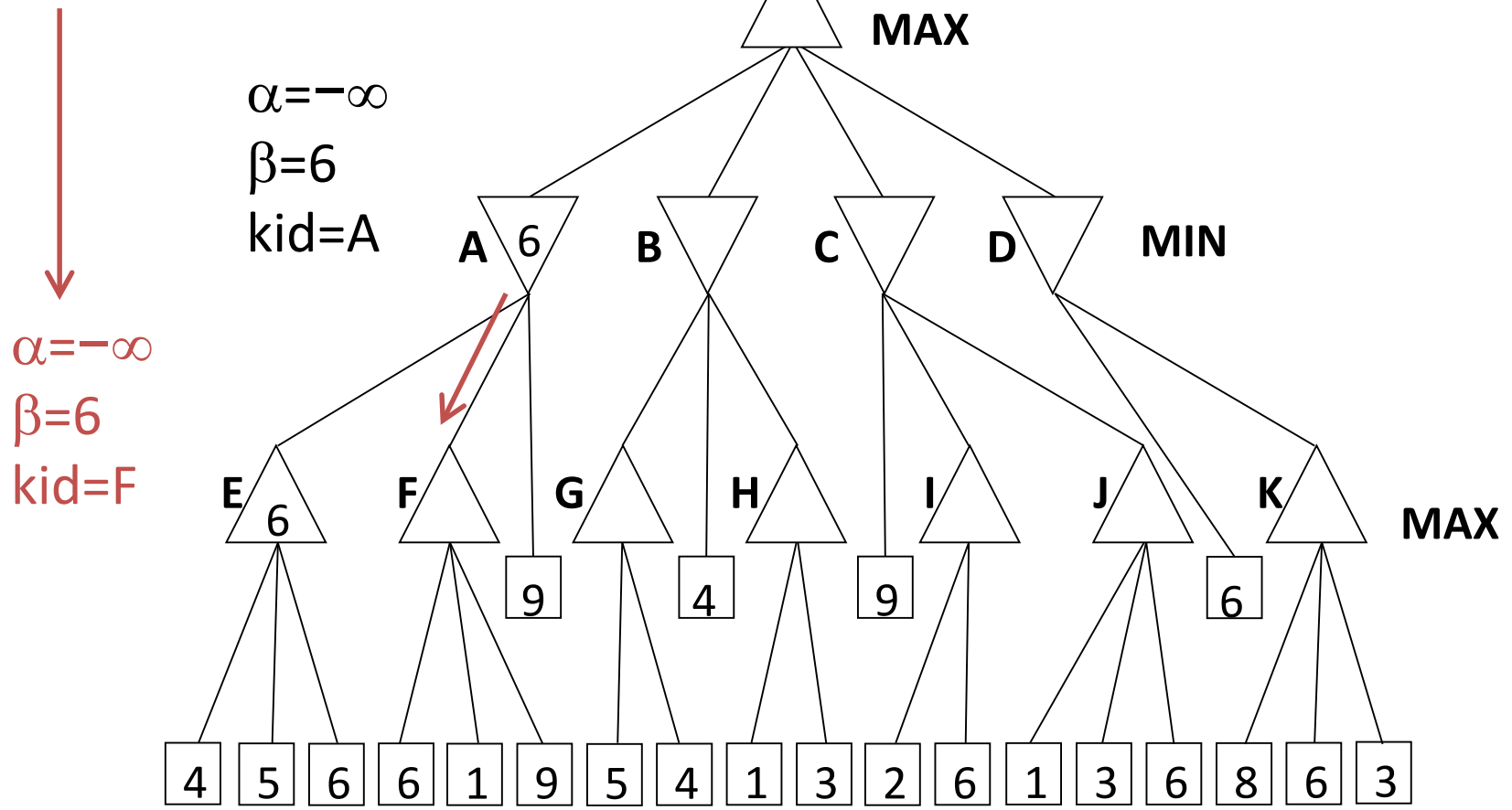




# Longer Alpha-Beta Example

*current  $\alpha, \beta$ ,  
passed to kid F*

$\alpha = -\infty$   
 $\beta = +\infty$

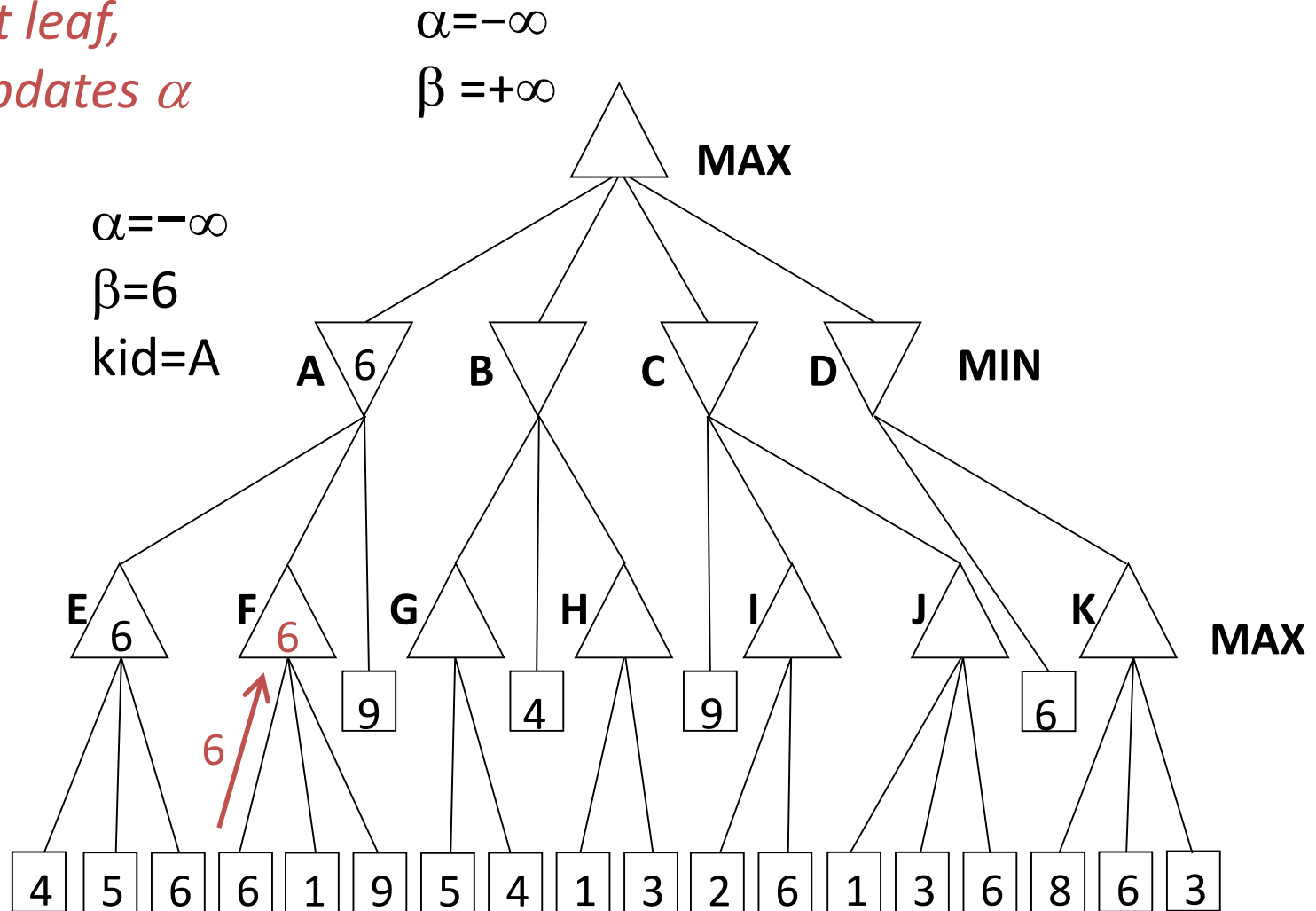




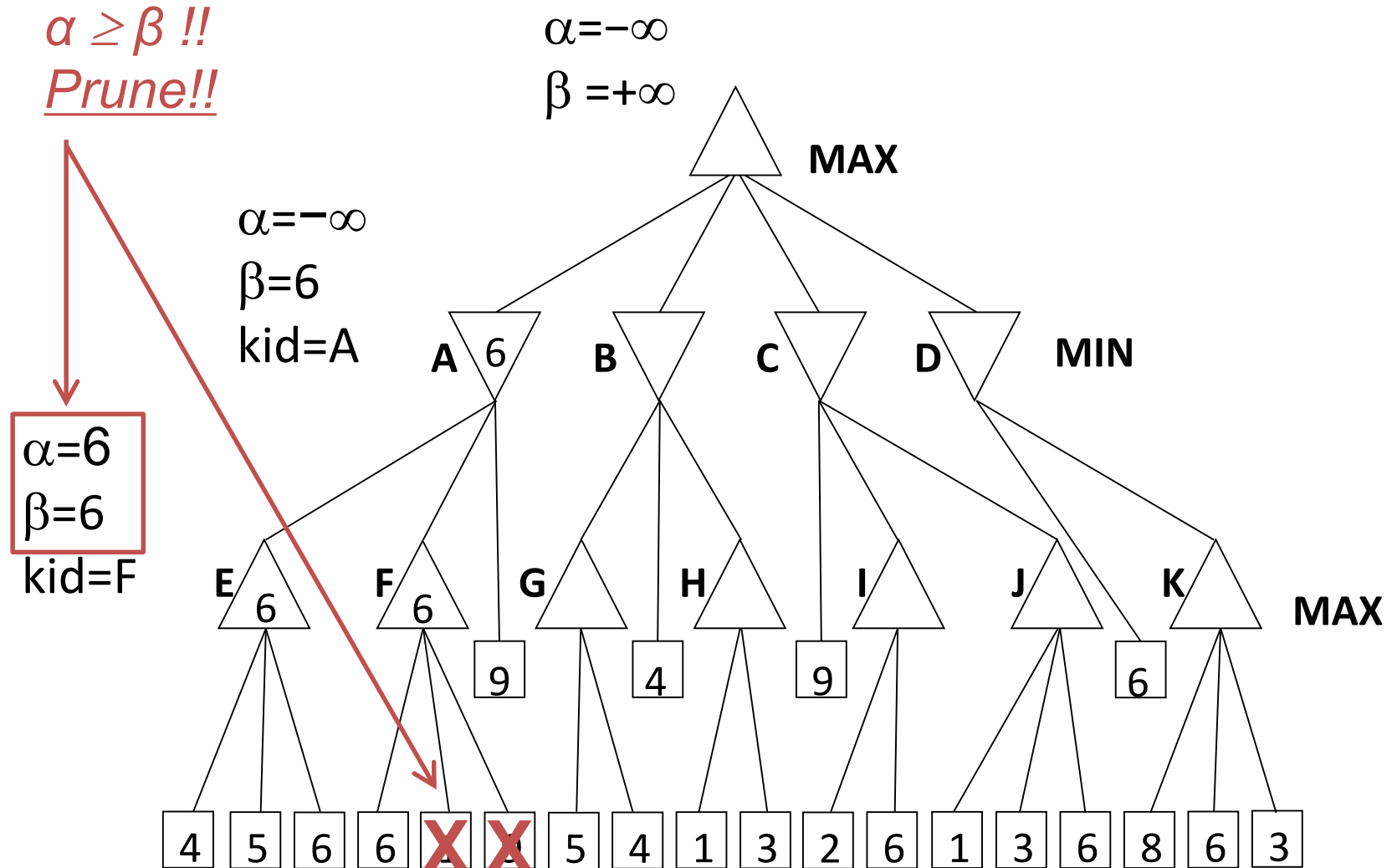
# Longer Alpha-Beta Example

*see first leaf,  
MAX updates  $\alpha$*

$\alpha=6$   
 $\beta=6$   
kid=F



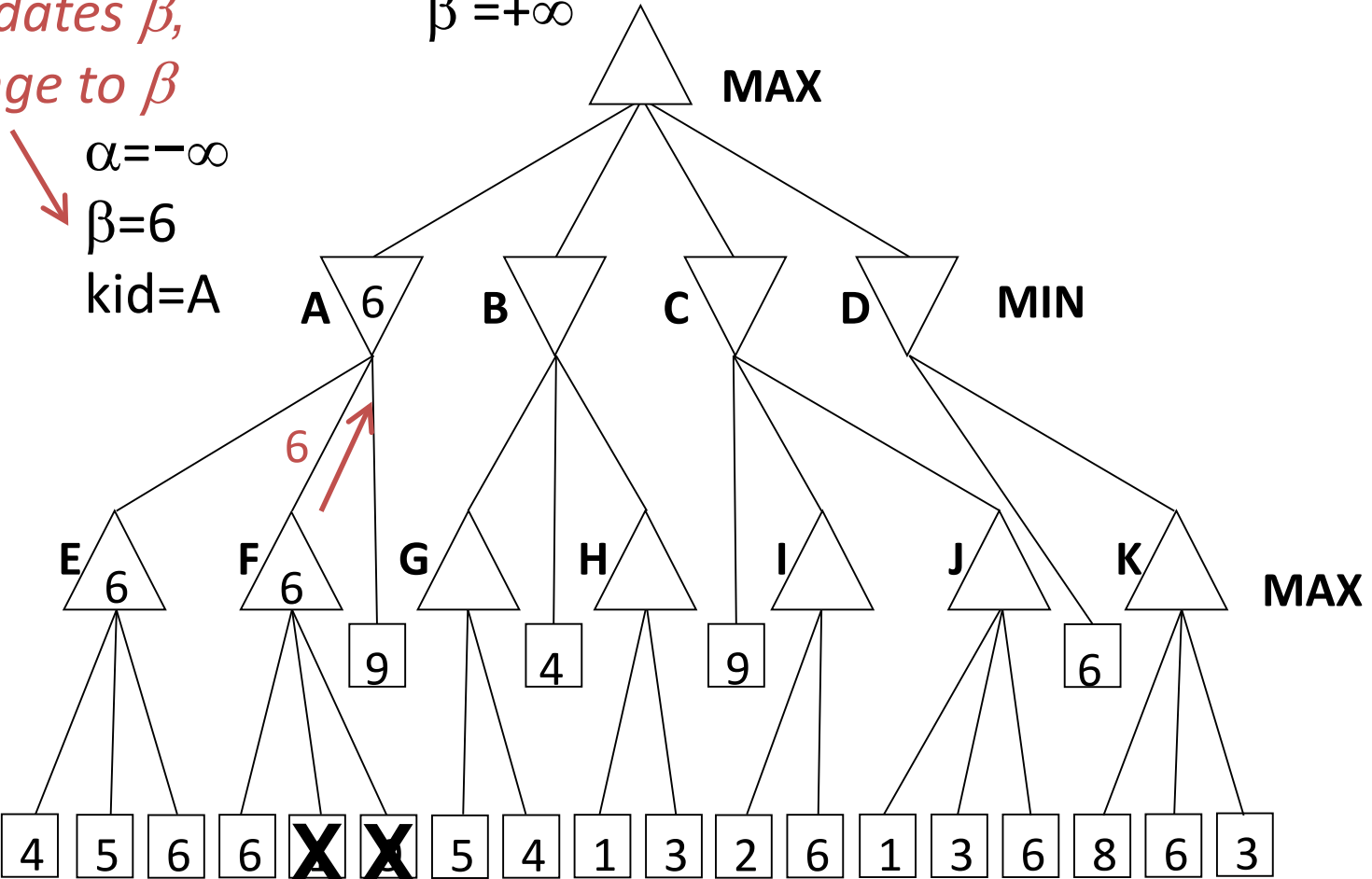
# Longer Alpha-Beta Example



# Longer Alpha-Beta Example

*return node value,  
MIN updates  $\beta$ ,  
no change to  $\beta$*

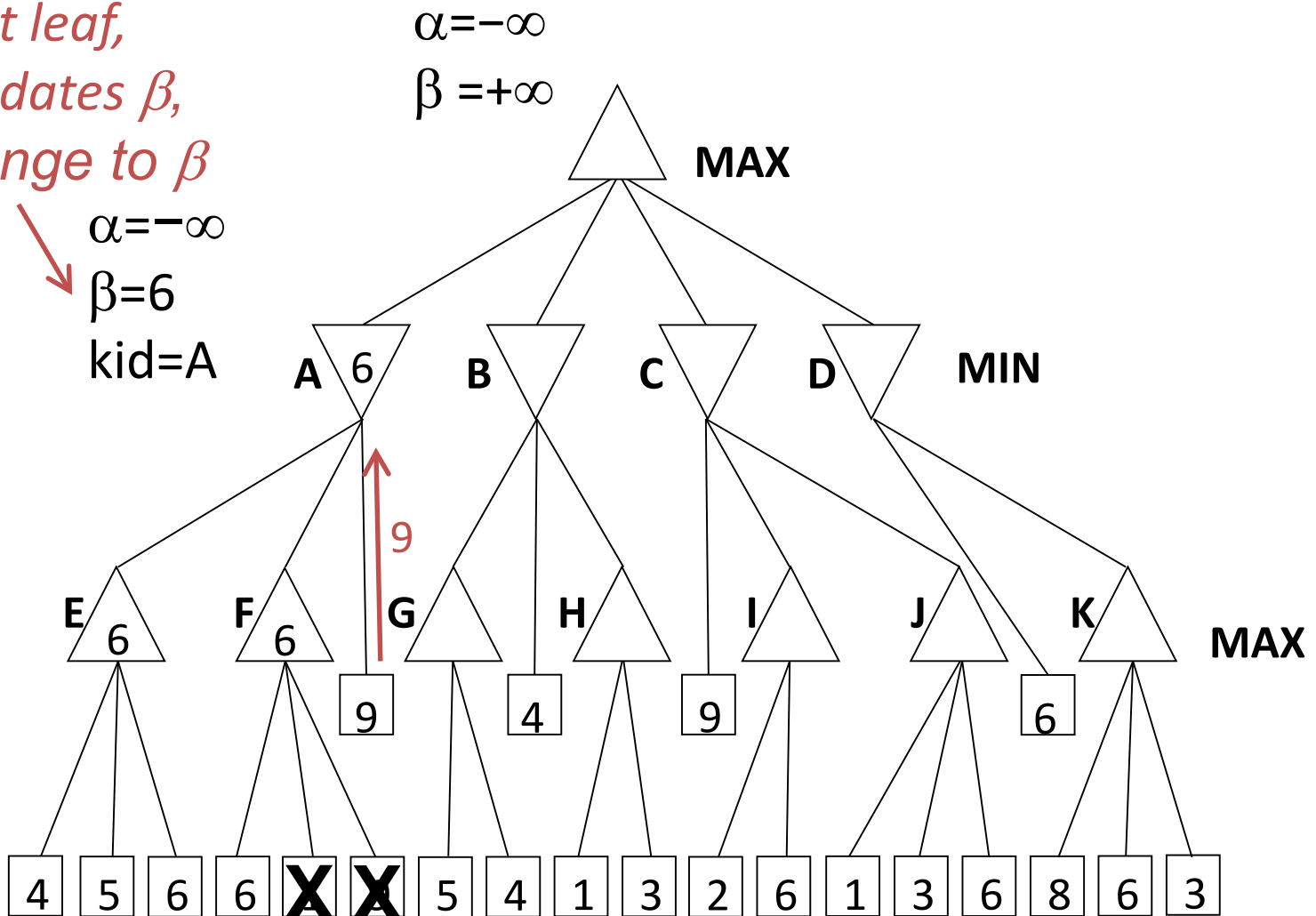
$\alpha = -\infty$   
 $\beta = +\infty$



If we had continued searching at node F, we would see the 9 from its third leaf. Our returned value would be 9 instead of 6. But at A, MIN would choose E(=6) instead of F(=9). Internal values may change; root values do not.

# Longer Alpha-Beta Example

*see next leaf,  
MIN updates  $\beta$ ,  
no change to  $\beta$*

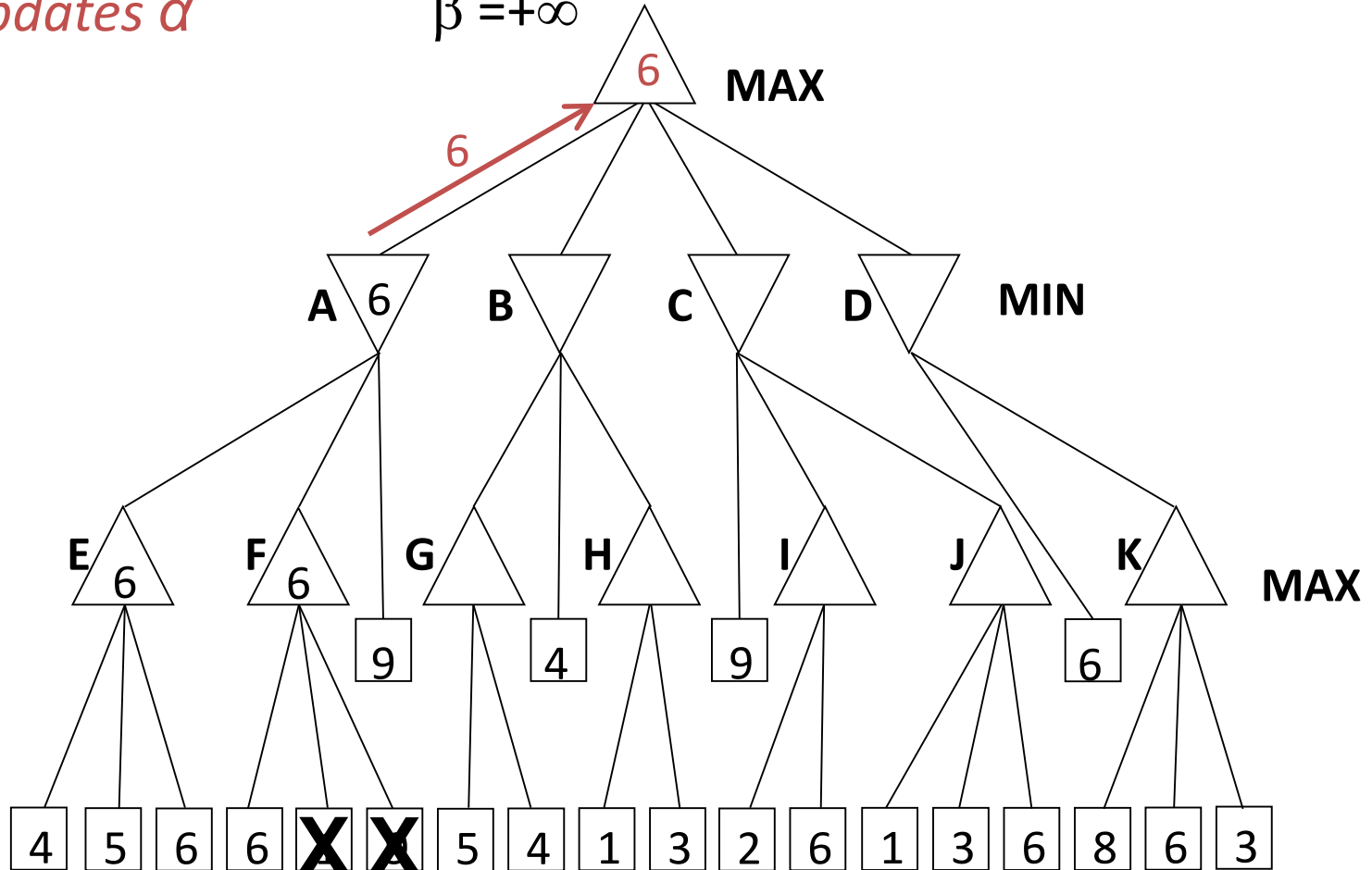


# Longer Alpha-Beta Example

*return node value,  $\rightarrow \alpha=6$*

*MAX updates  $\alpha$*

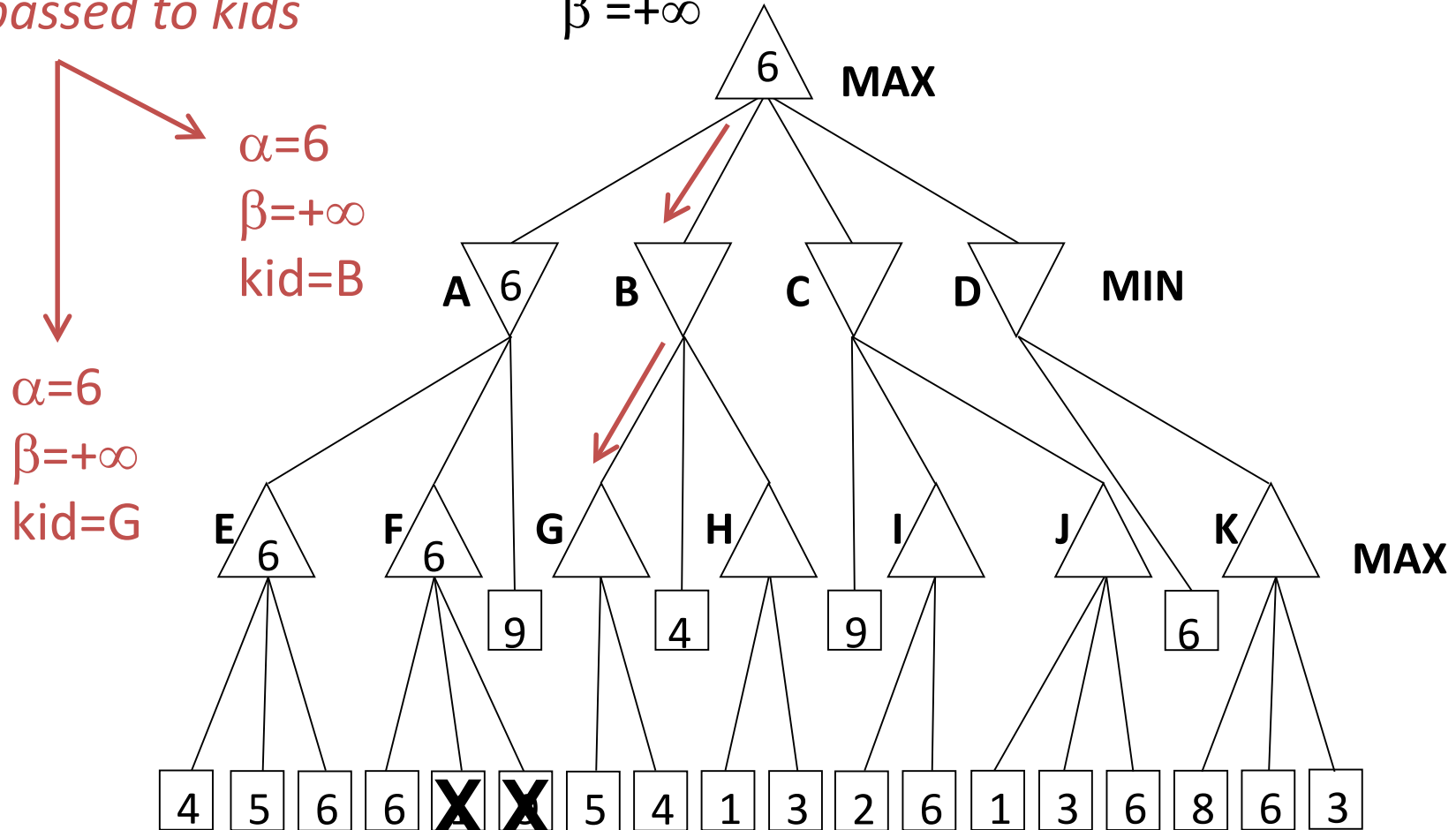
$\beta = +\infty$



# Longer Alpha-Beta Example

*current  $\alpha$ ,  $\beta$ ,  
passed to kids*

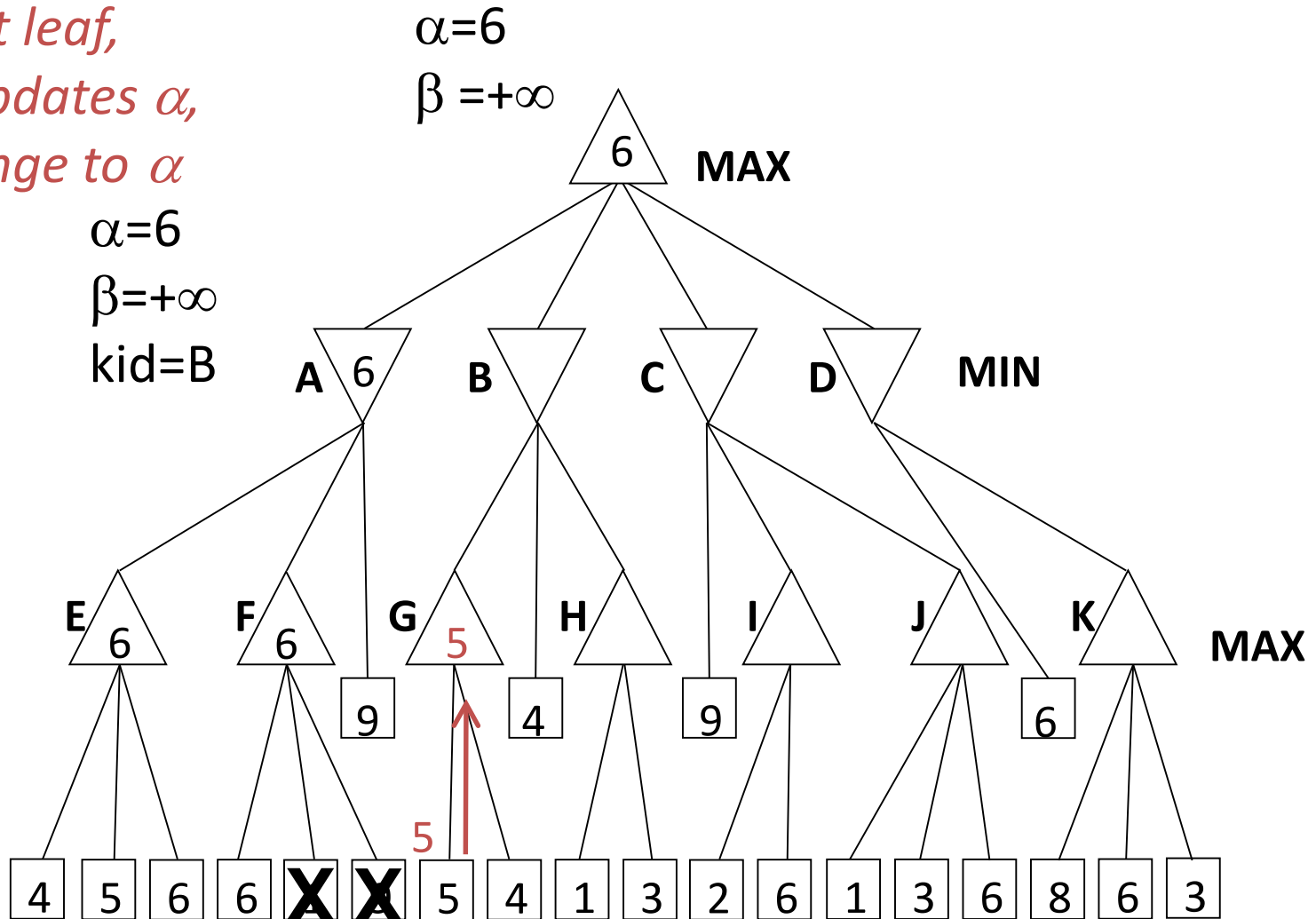
$\alpha=6$   
 $\beta=+\infty$



# Longer Alpha-Beta Example

*see first leaf,  
MAX updates  $\alpha$ ,  
no change to  $\alpha$*

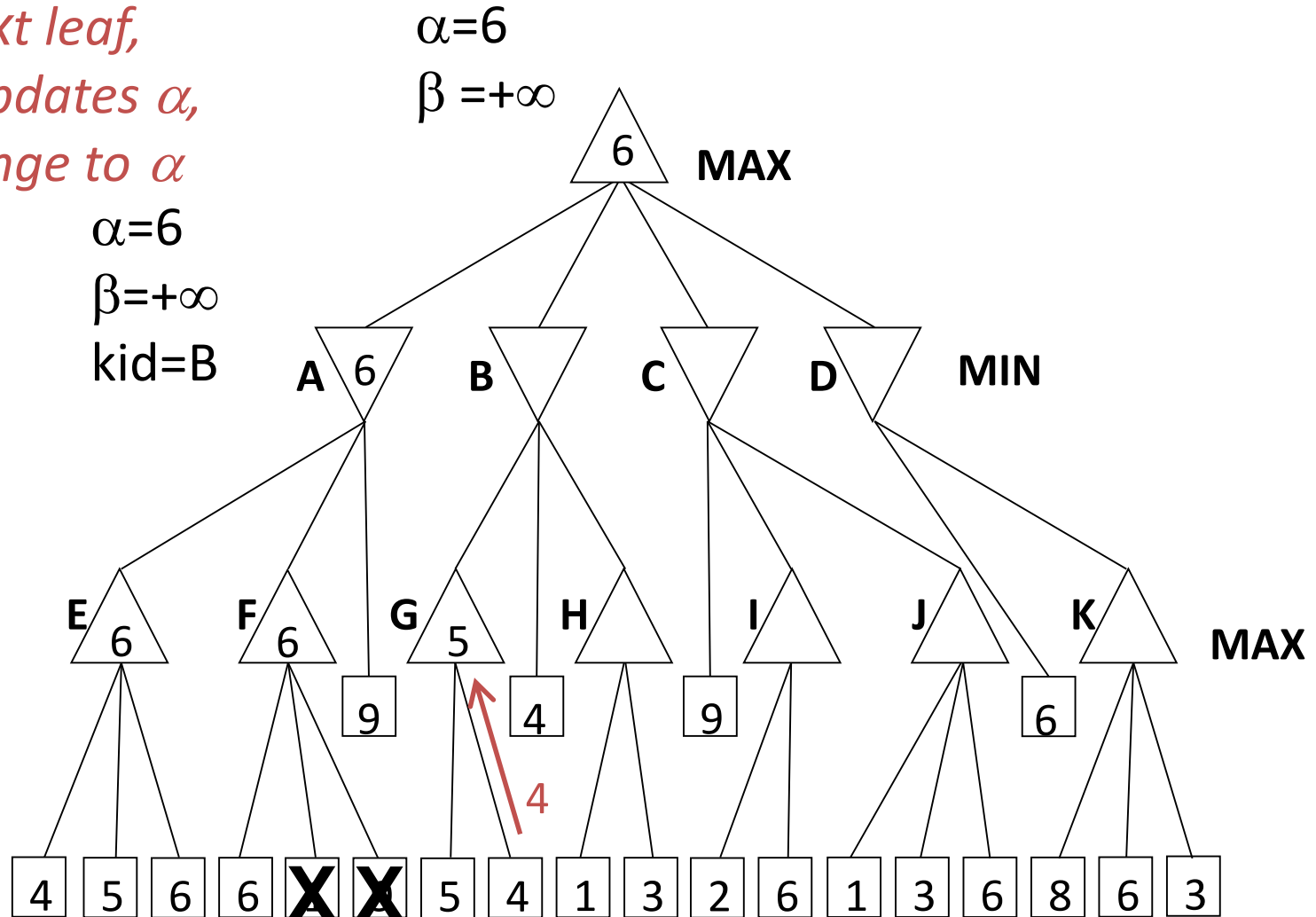
$\alpha=6$   
 $\beta=+\infty$   
kid=G



# Longer Alpha-Beta Example

*see next leaf,  
MAX updates  $\alpha$ ,  
no change to  $\alpha$*

$\alpha=6$   
 $\beta=+\infty$   
kid=G

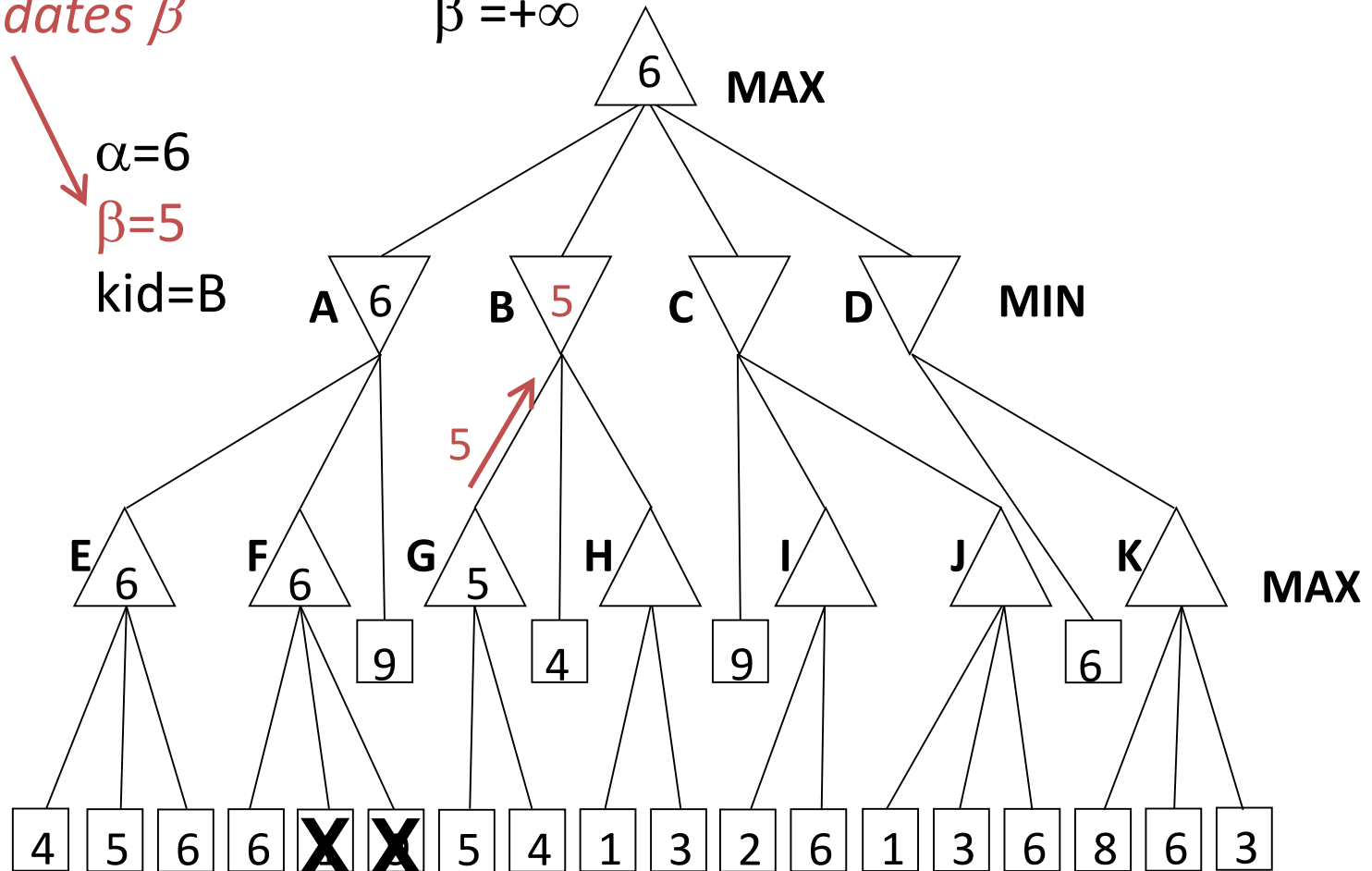




# Longer Alpha-Beta Example

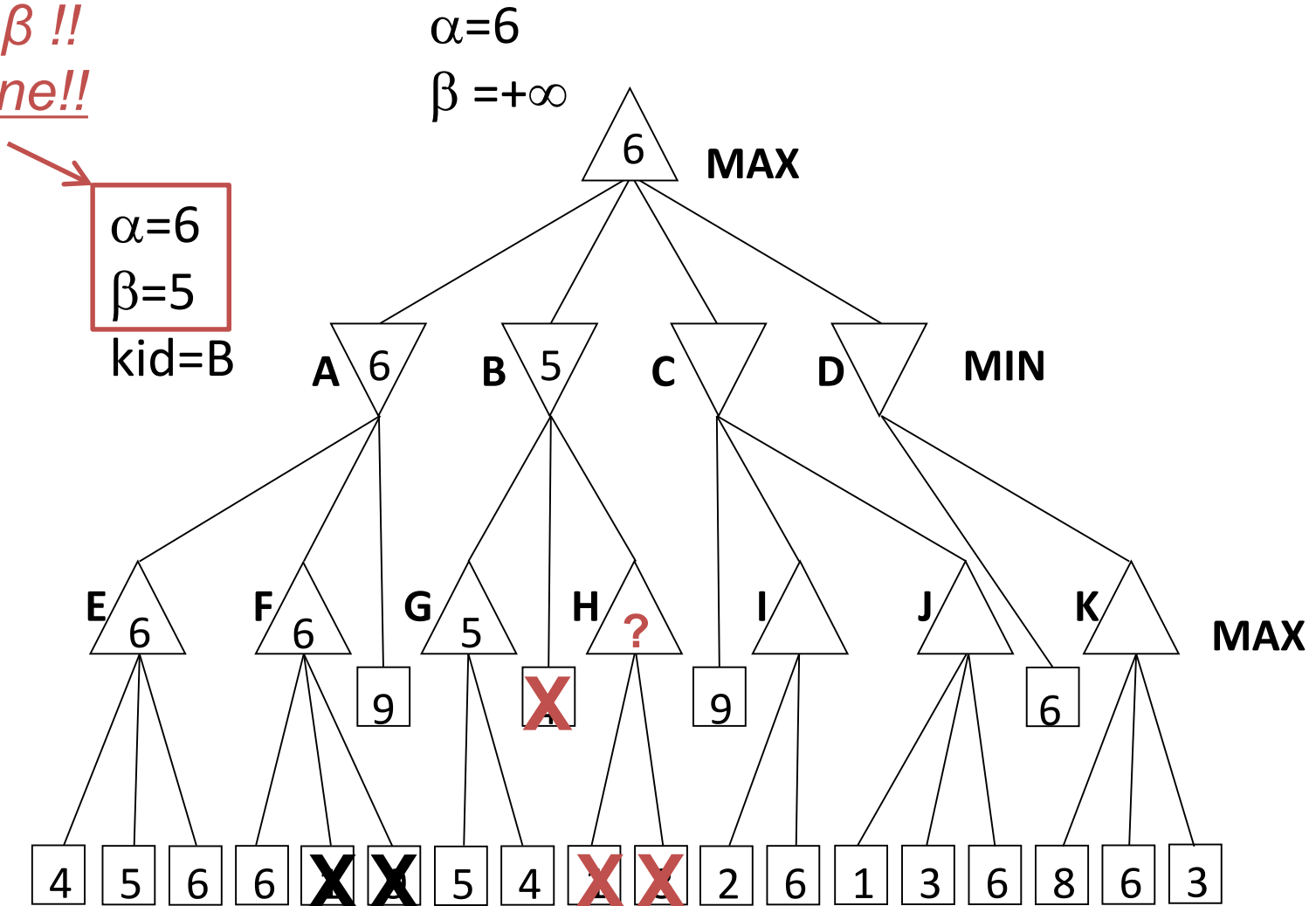
*return node value,  
MIN updates  $\beta$*

$\alpha=6$   
 $\beta=+\infty$



# Longer Alpha-Beta Example

$\alpha \geq \beta$  !!  
Prune!!



Note that we never find out, what is the node value of H? But we have proven it doesn't matter, so we don't care.

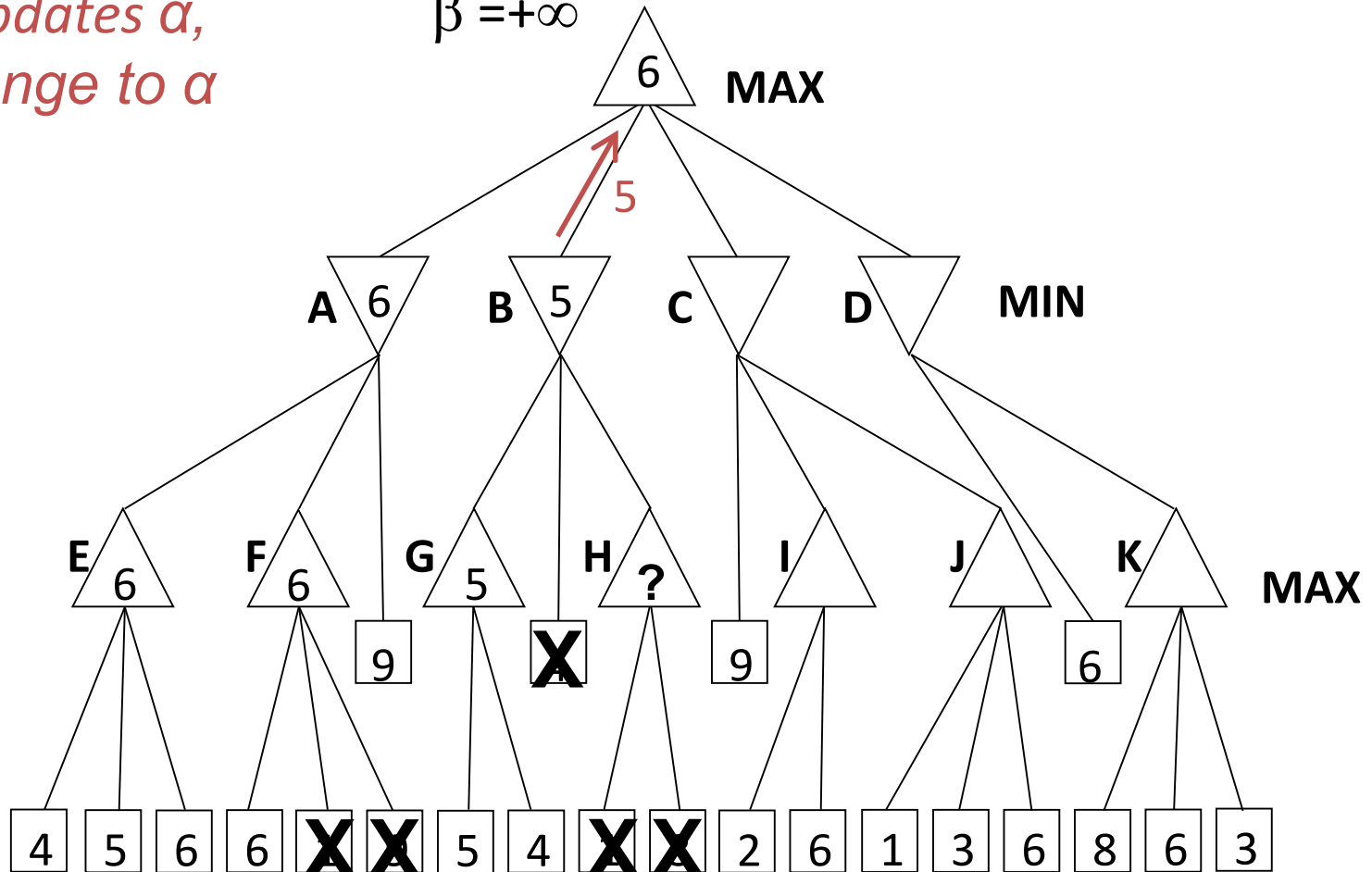
# Longer Alpha-Beta Example

*return node value,*  $\longrightarrow \alpha=6$

*MAX updates  $\alpha$ ,*

*no change to  $\alpha$*

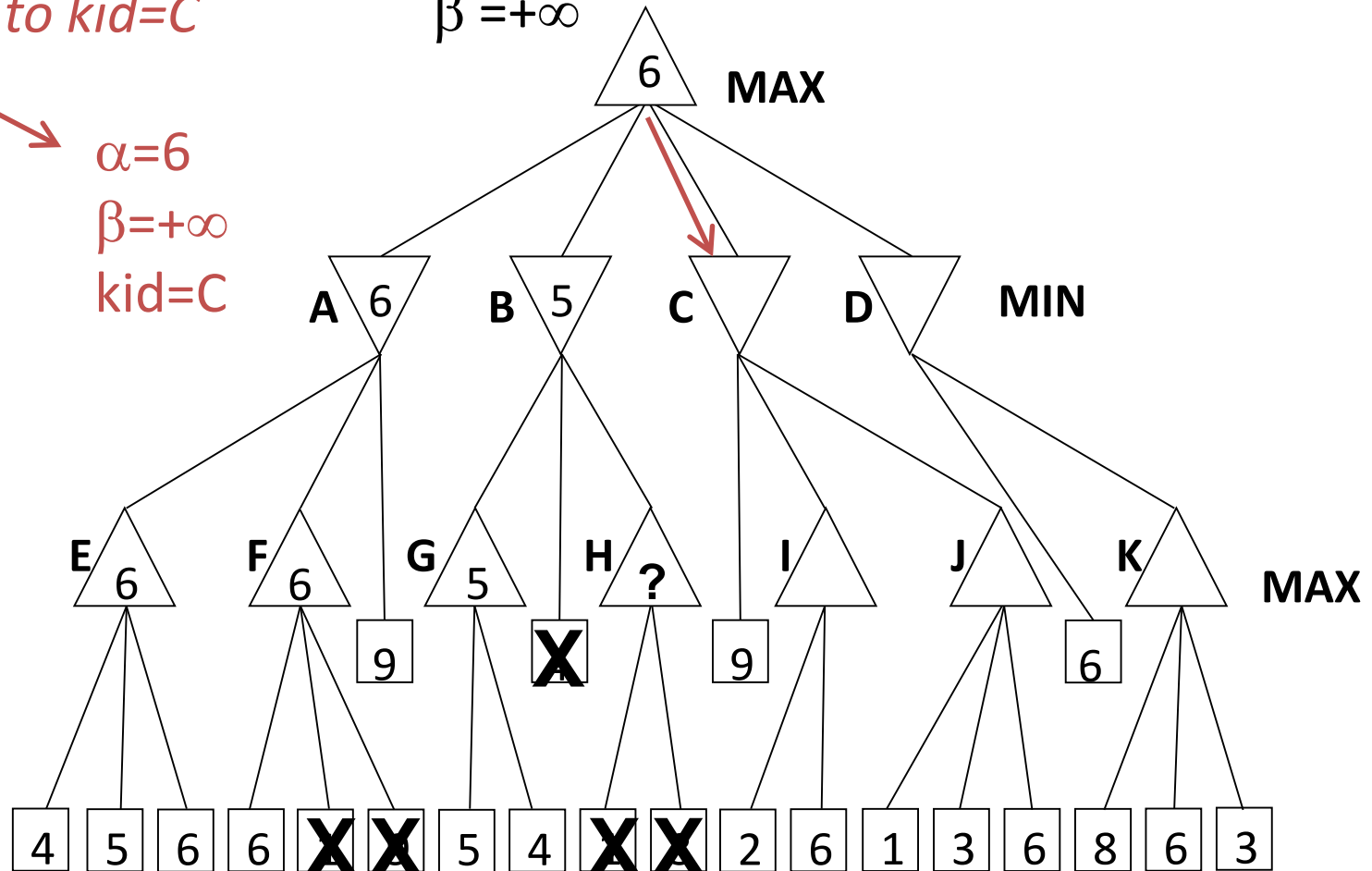
$\beta = +\infty$



# Longer Alpha-Beta Example

*current  $\alpha$ ,  $\beta$ ,  
passed to kid=C*

$\alpha=6$   
 $\beta=+\infty$



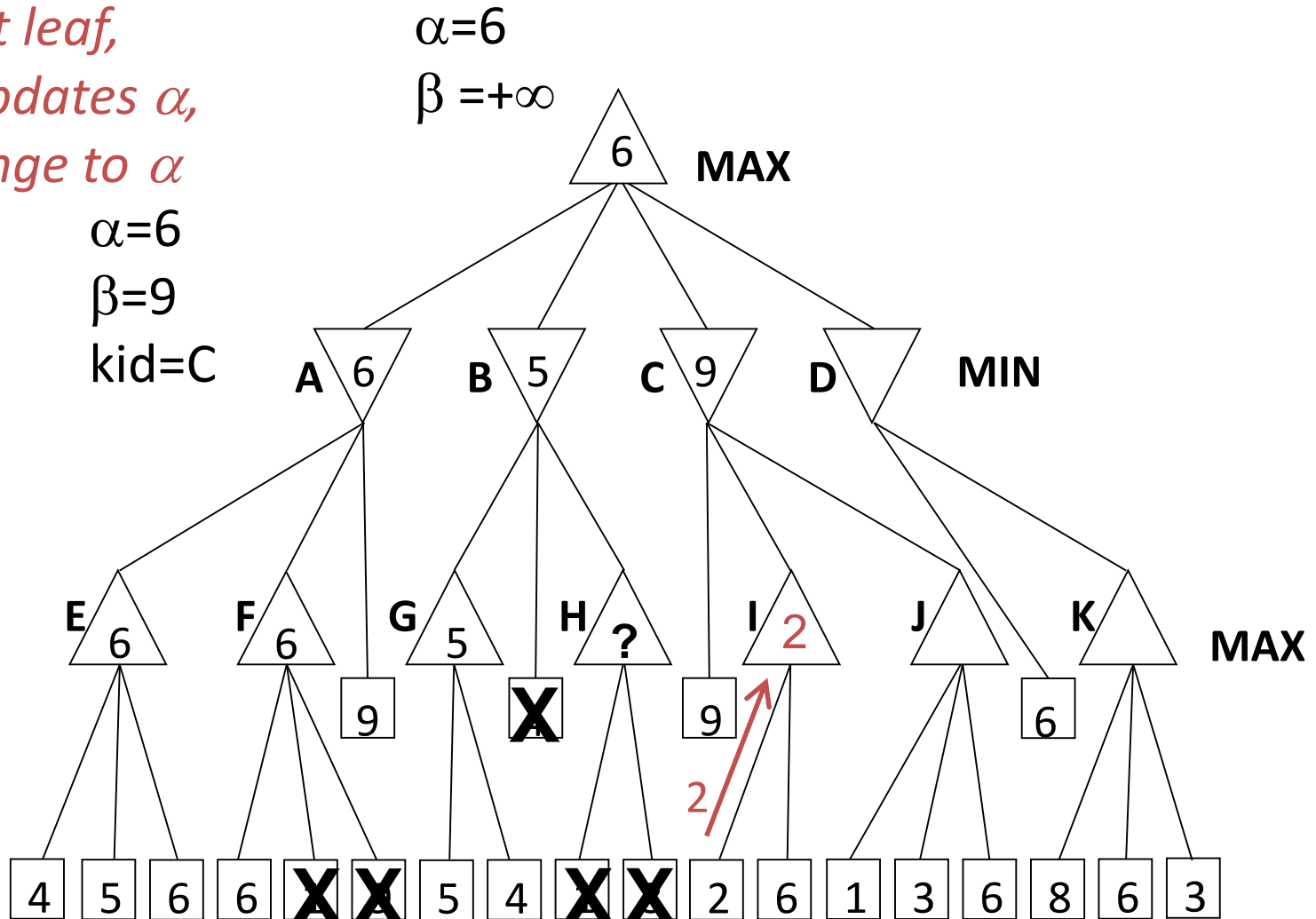




# Longer Alpha-Beta Example

*see first leaf,  
MAX updates  $\alpha$ ,  
no change to  $\alpha$*

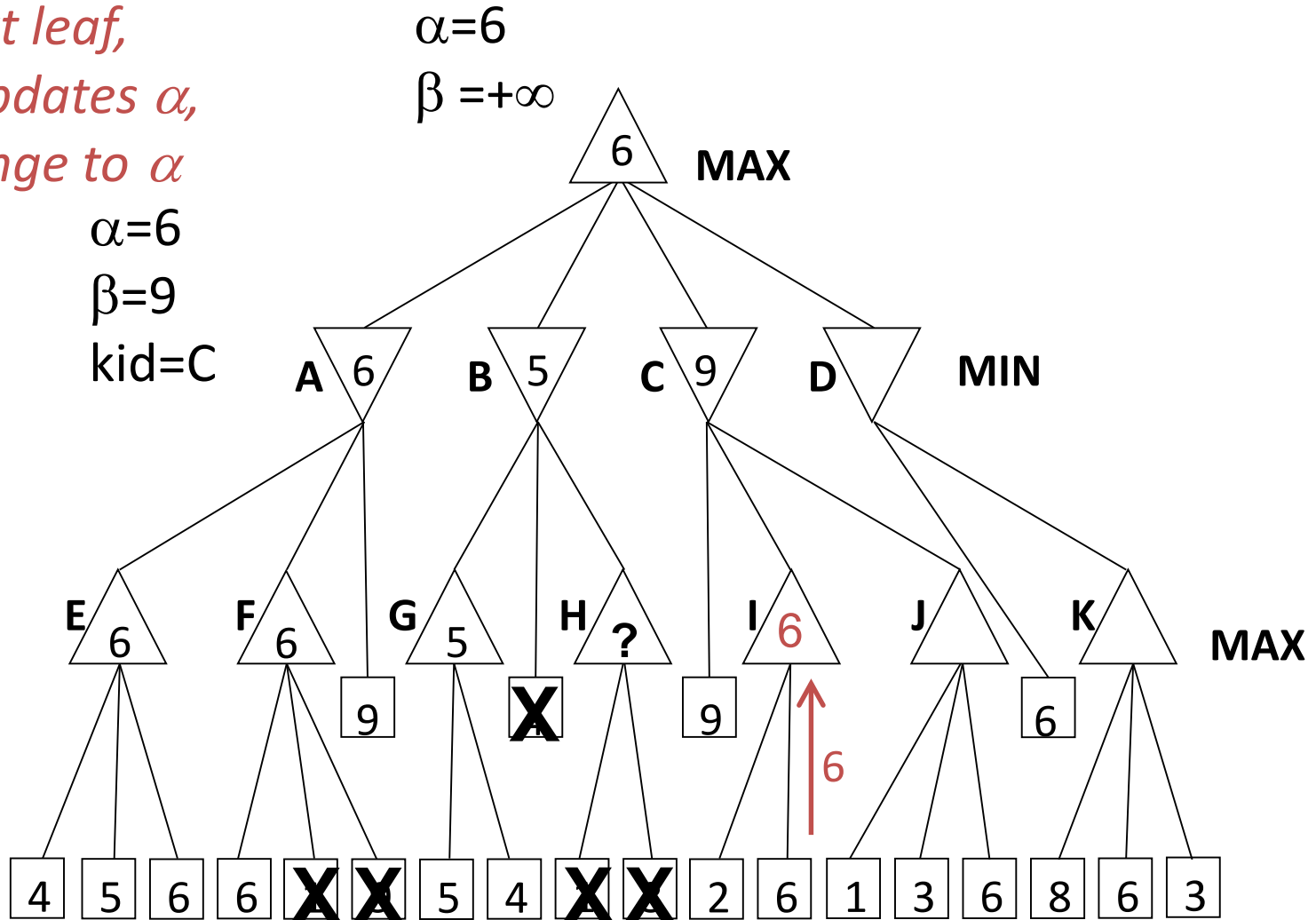
$\alpha=6$   
 $\beta=9$   
kid=1



# Longer Alpha-Beta Example

*see next leaf,  
MAX updates  $\alpha$ ,  
no change to  $\alpha$*

$\alpha=6$   
 $\beta=9$   
kid=I

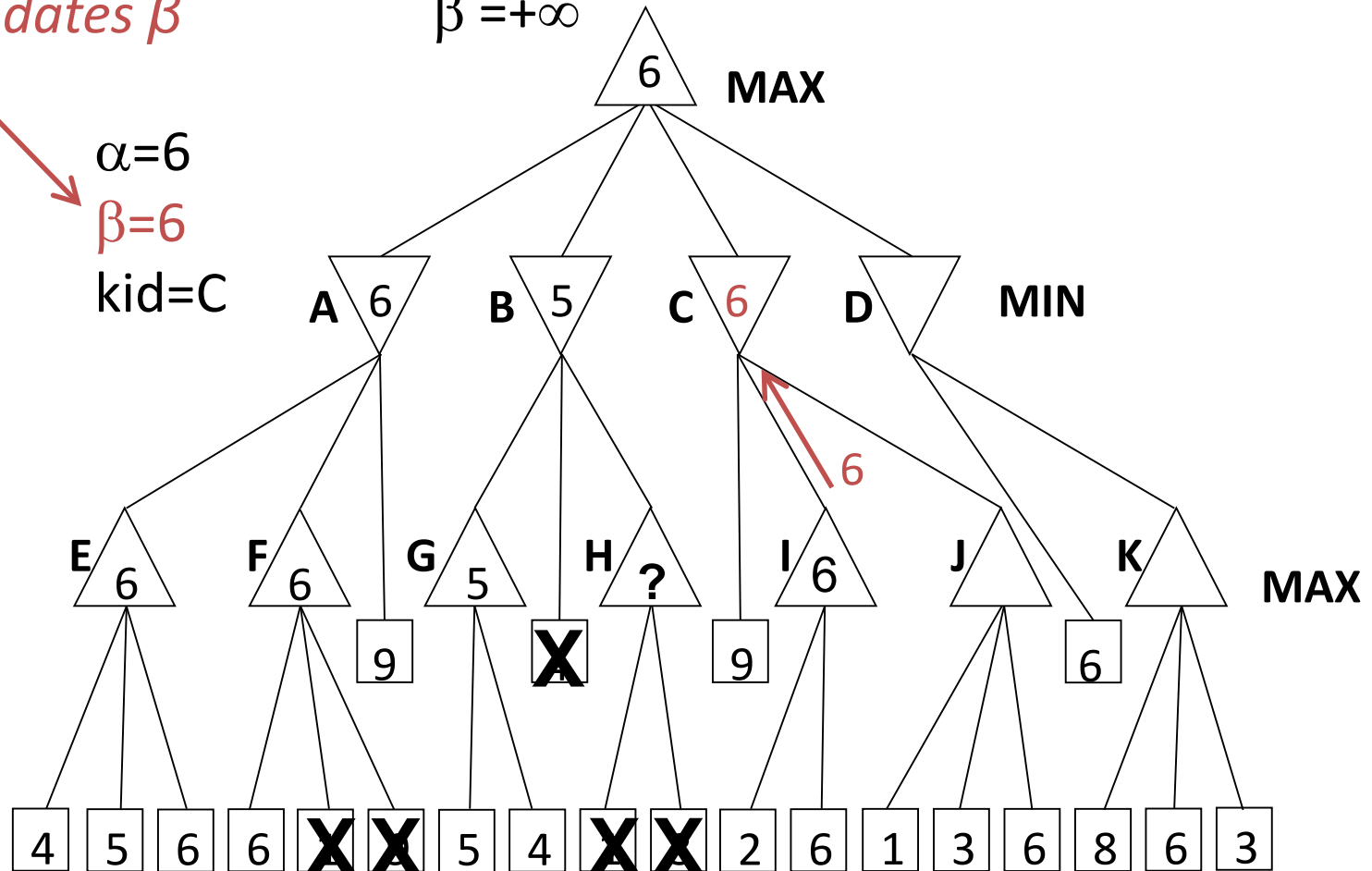




# Longer Alpha-Beta Example

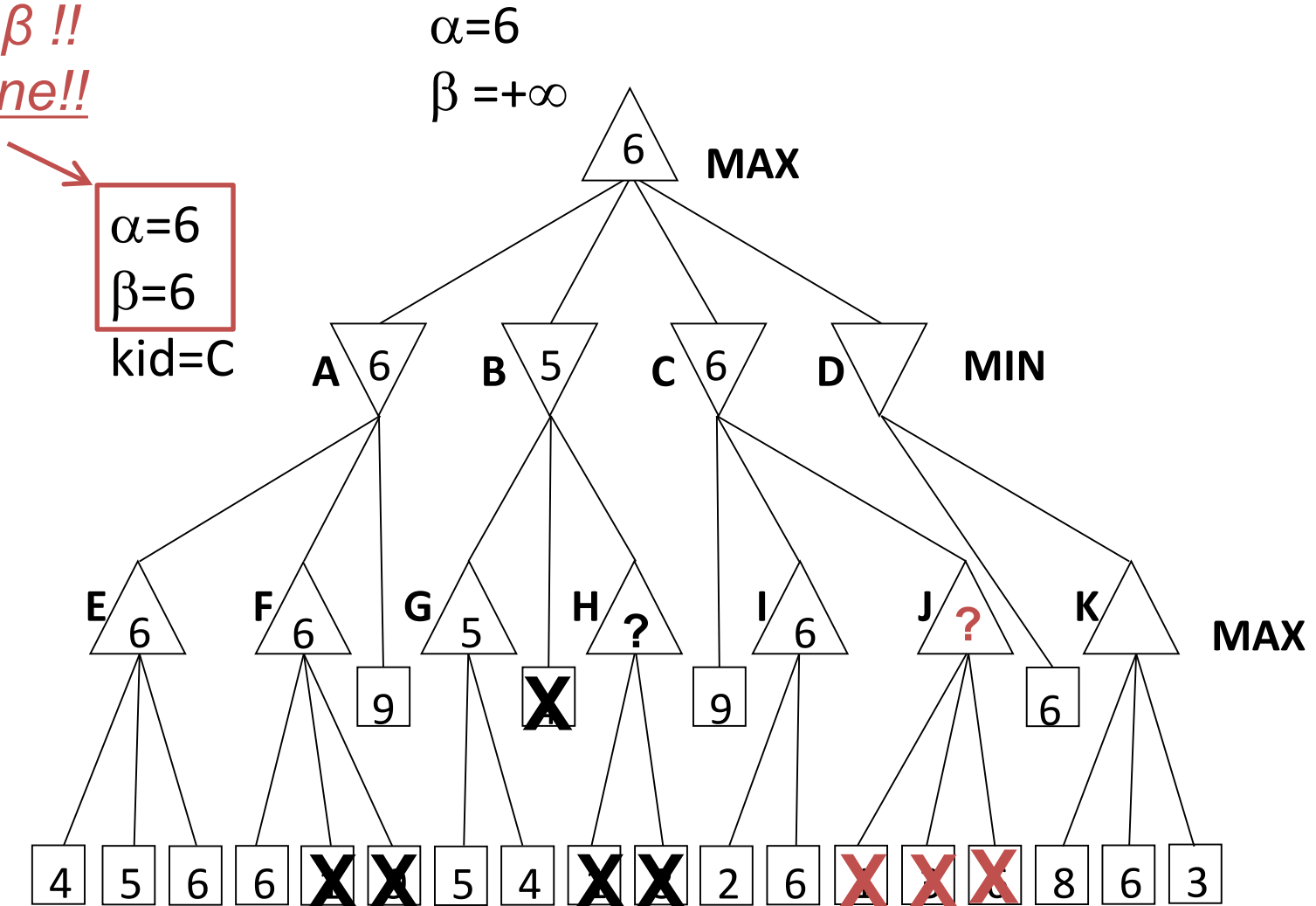
*return node value,  
MIN updates  $\beta$*

$\alpha=6$   
 $\beta=+\infty$



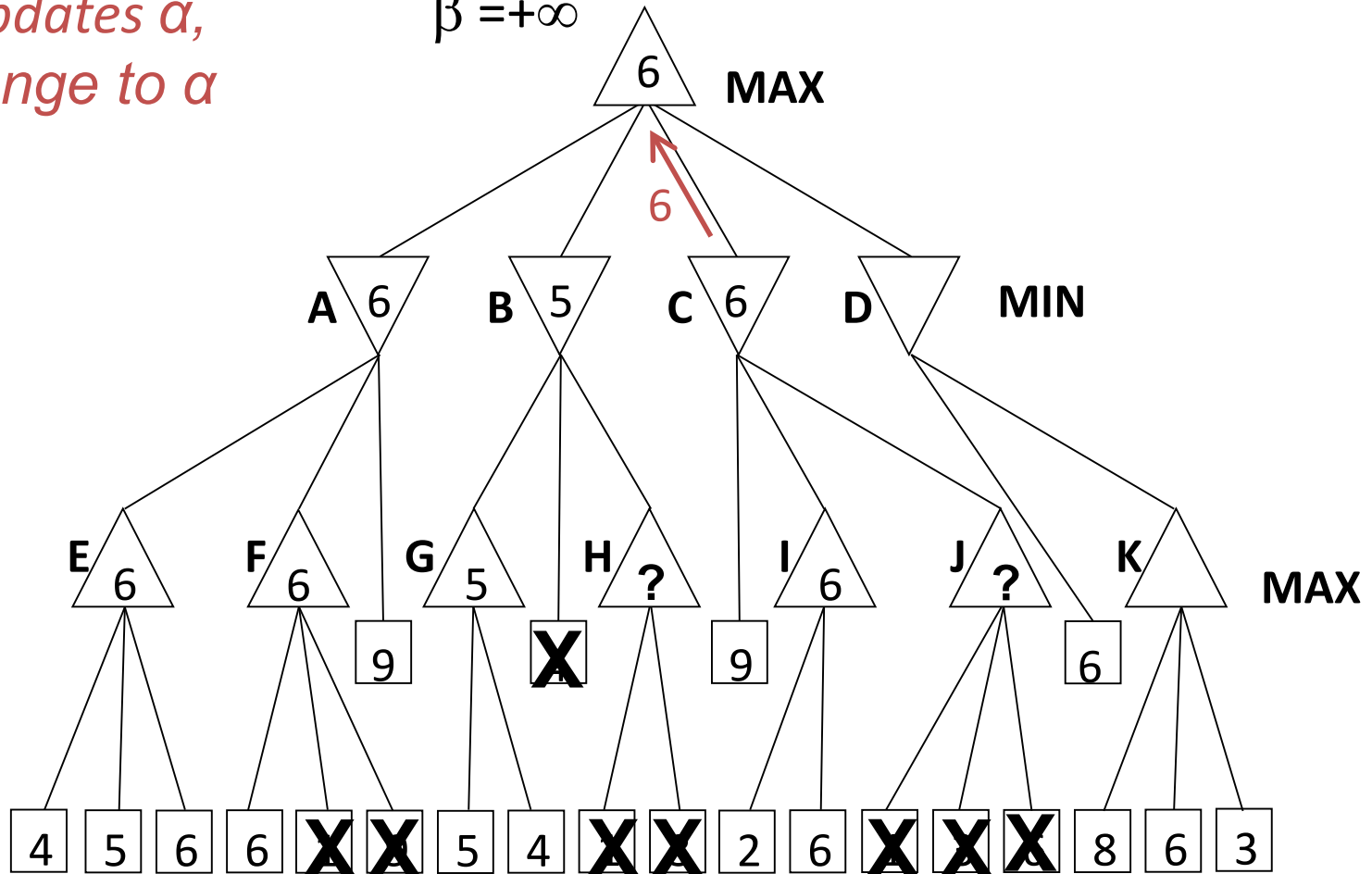
# Longer Alpha-Beta Example

$\alpha \geq \beta$  !!  
Prune!!



# Longer Alpha-Beta Example

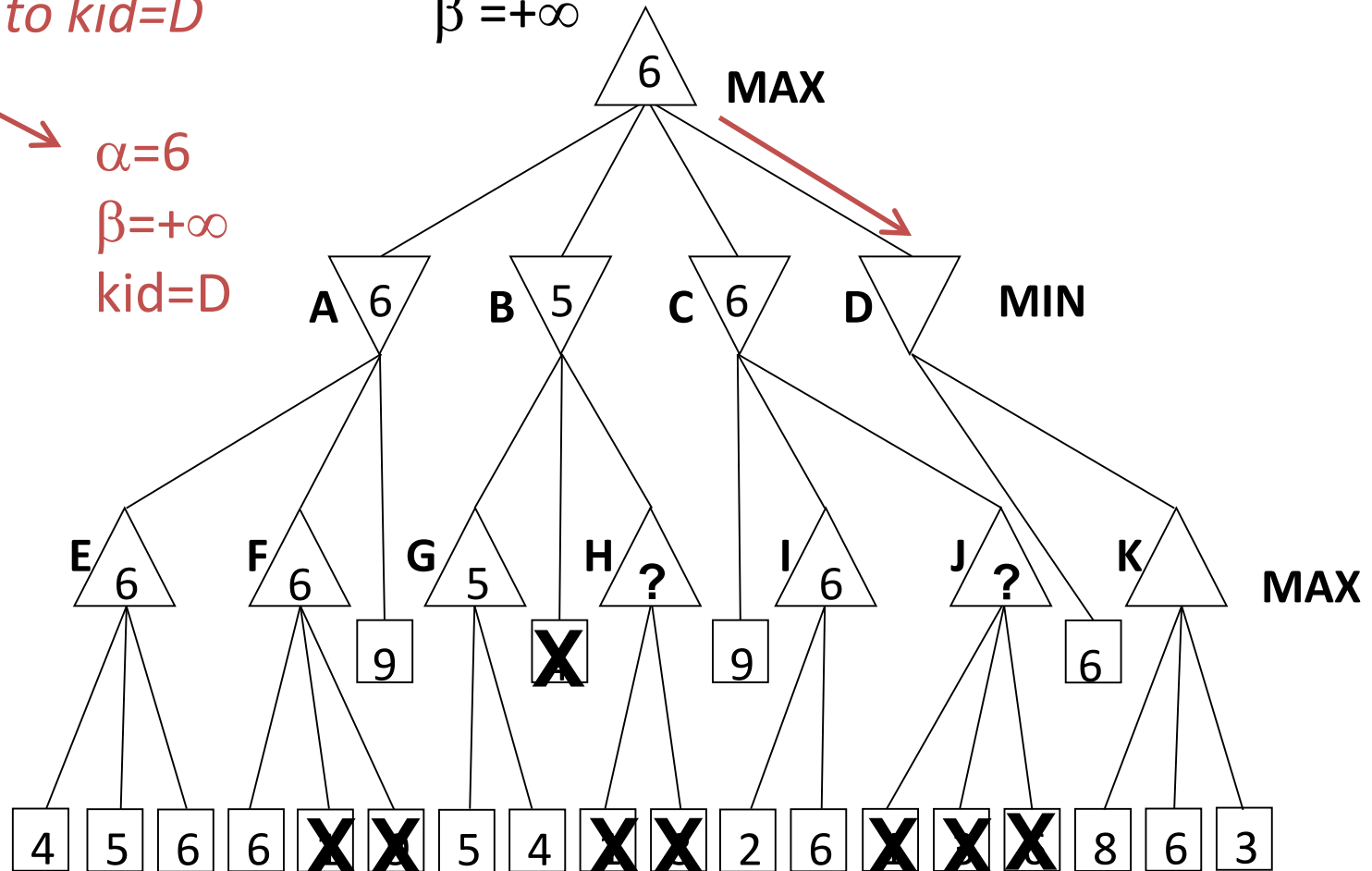
*return node value,*  $\rightarrow \alpha=6$   
*MAX updates  $\alpha$ ,*  
*no change to  $\alpha$*   $\beta = +\infty$



# Longer Alpha-Beta Example

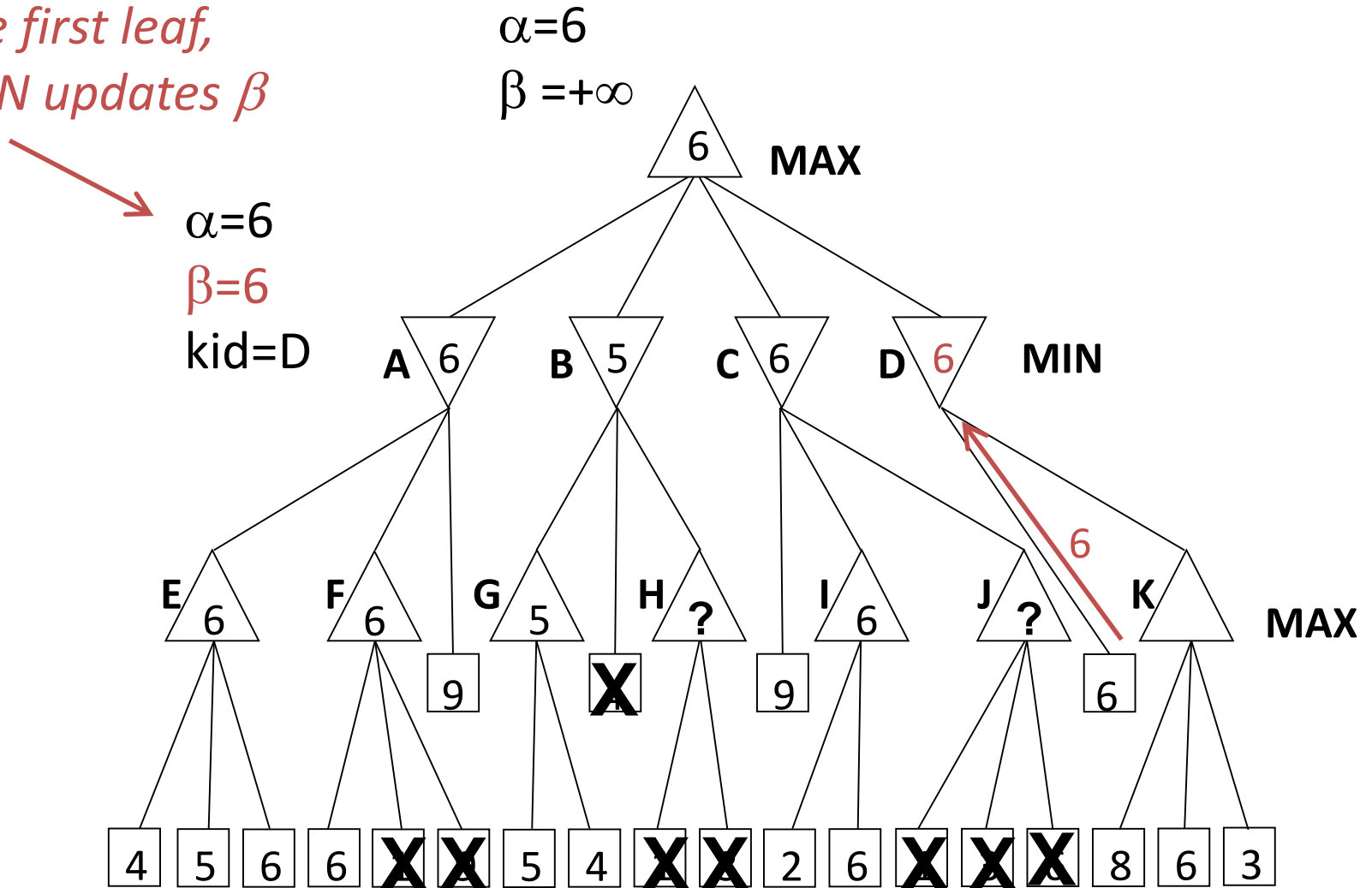
*current  $\alpha$ ,  $\beta$ ,  
passed to kid=D*

$\alpha=6$   
 $\beta=+\infty$



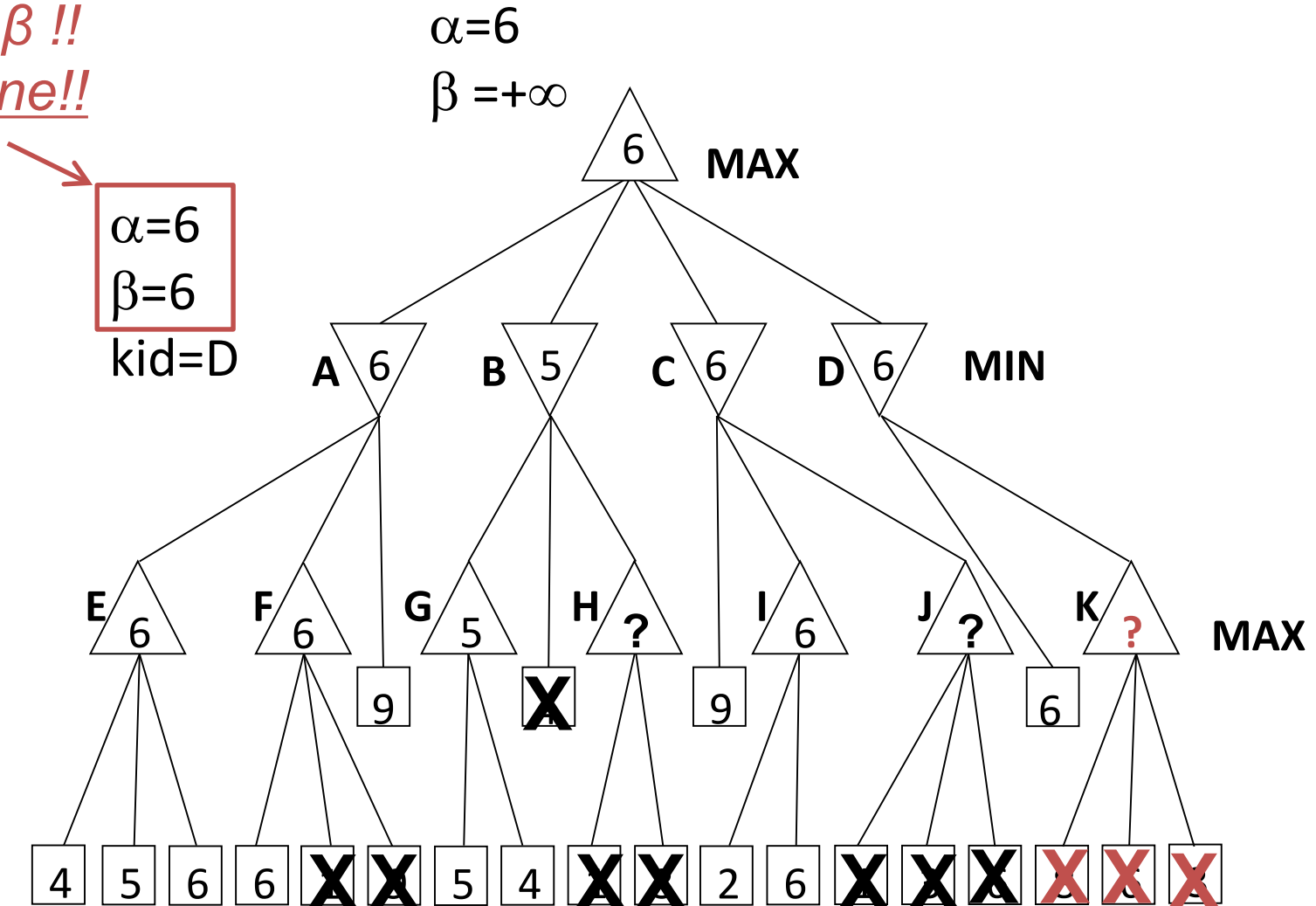
# Longer Alpha-Beta Example

*see first leaf,  
MIN updates  $\beta$*



# Longer Alpha-Beta Example

$\alpha \geq \beta$  !!  
Prune!!

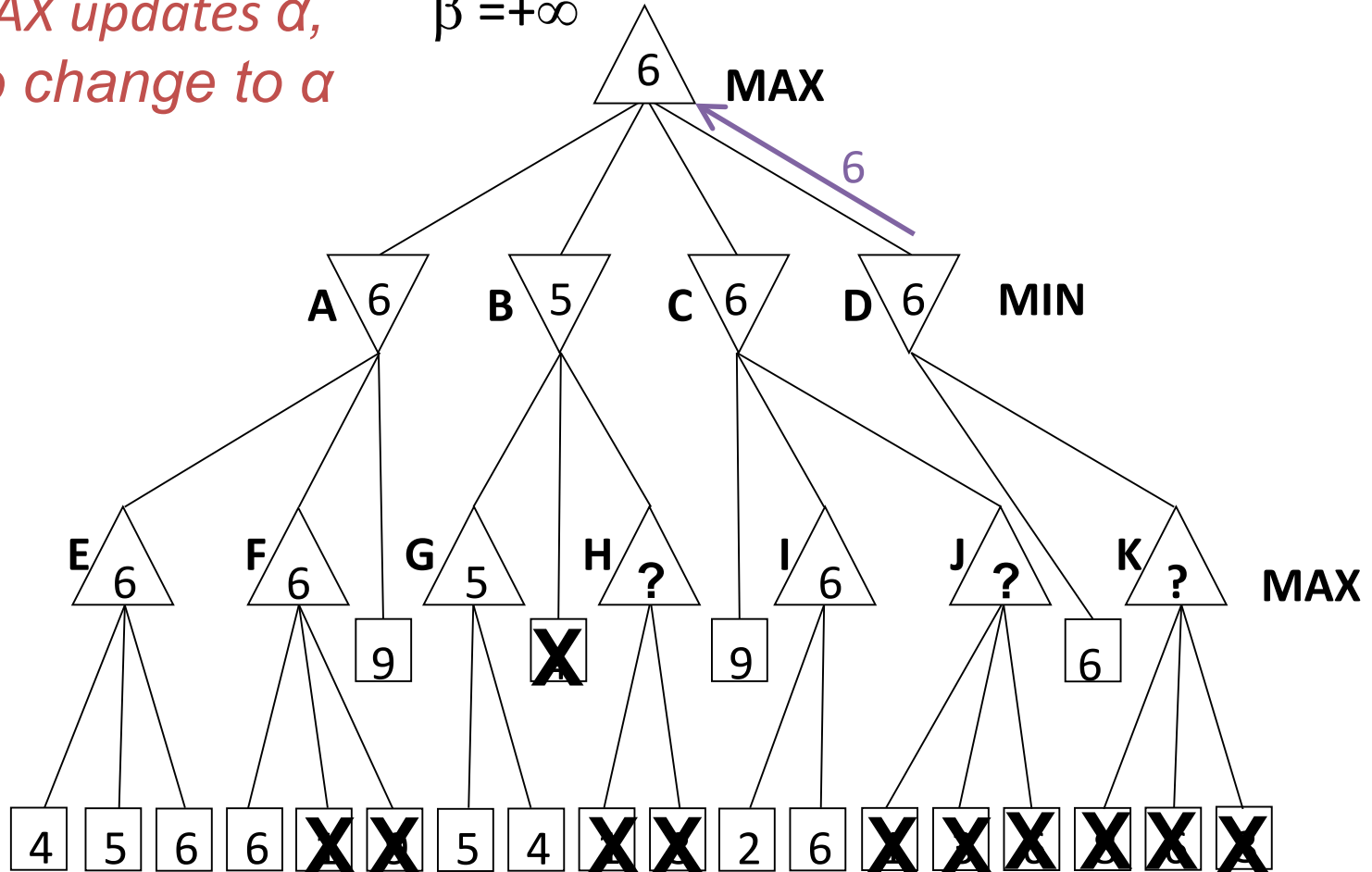


# Alpha-Beta Example #2

*return node value,*  $\alpha=6$

*MAX updates  $\alpha$ ,*  $\beta = +\infty$

*no change to  $\alpha$*

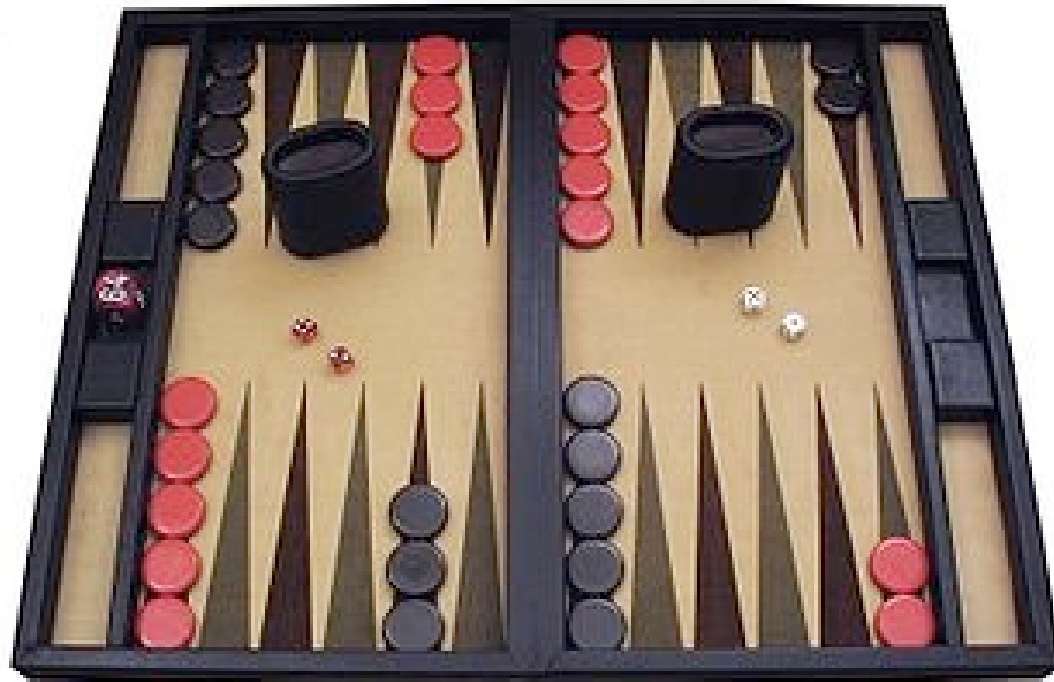






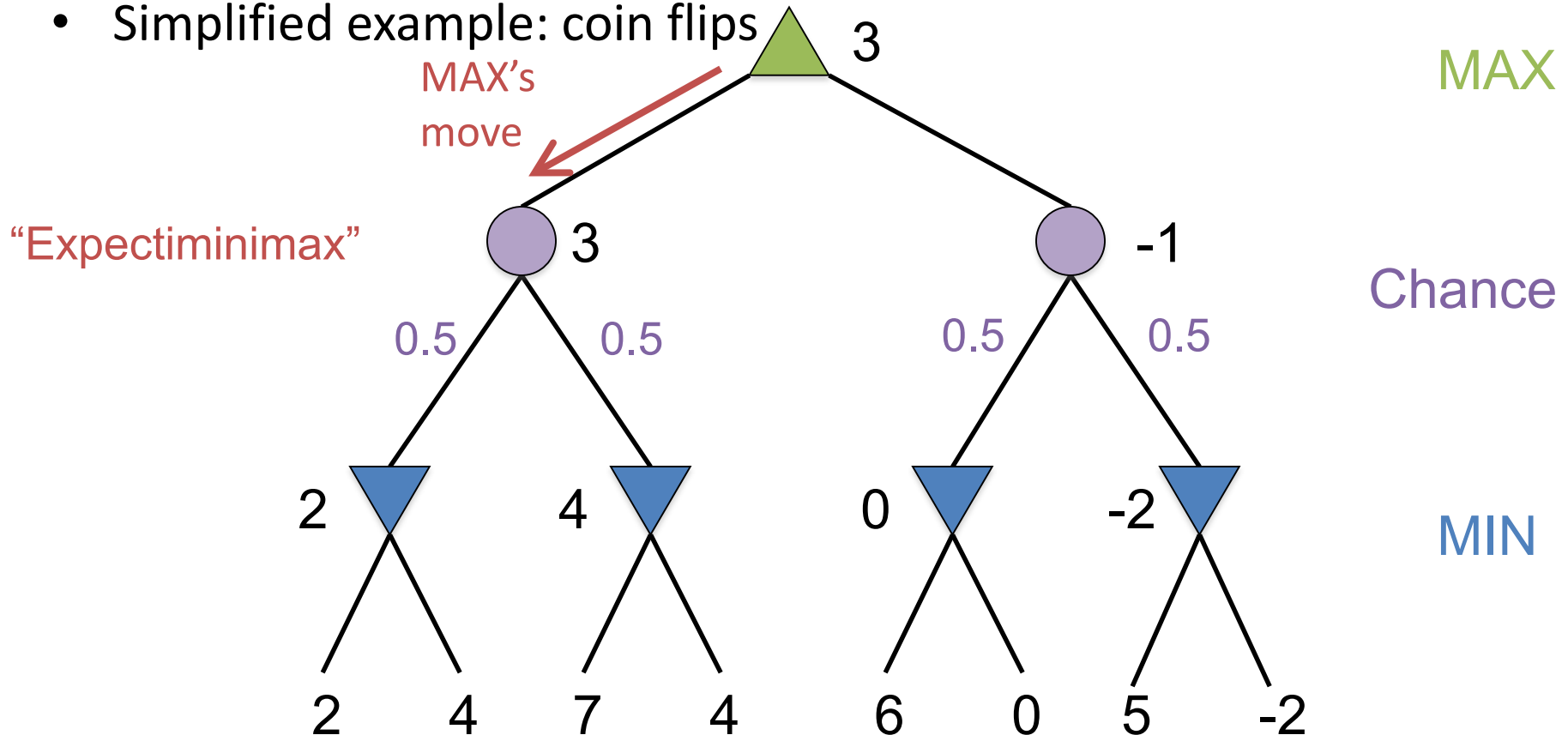
# Nondeterministic games

- Ex: Backgammon
  - Roll dice to determine how far to move (random)
  - Player selects which checkers to move (strategy)



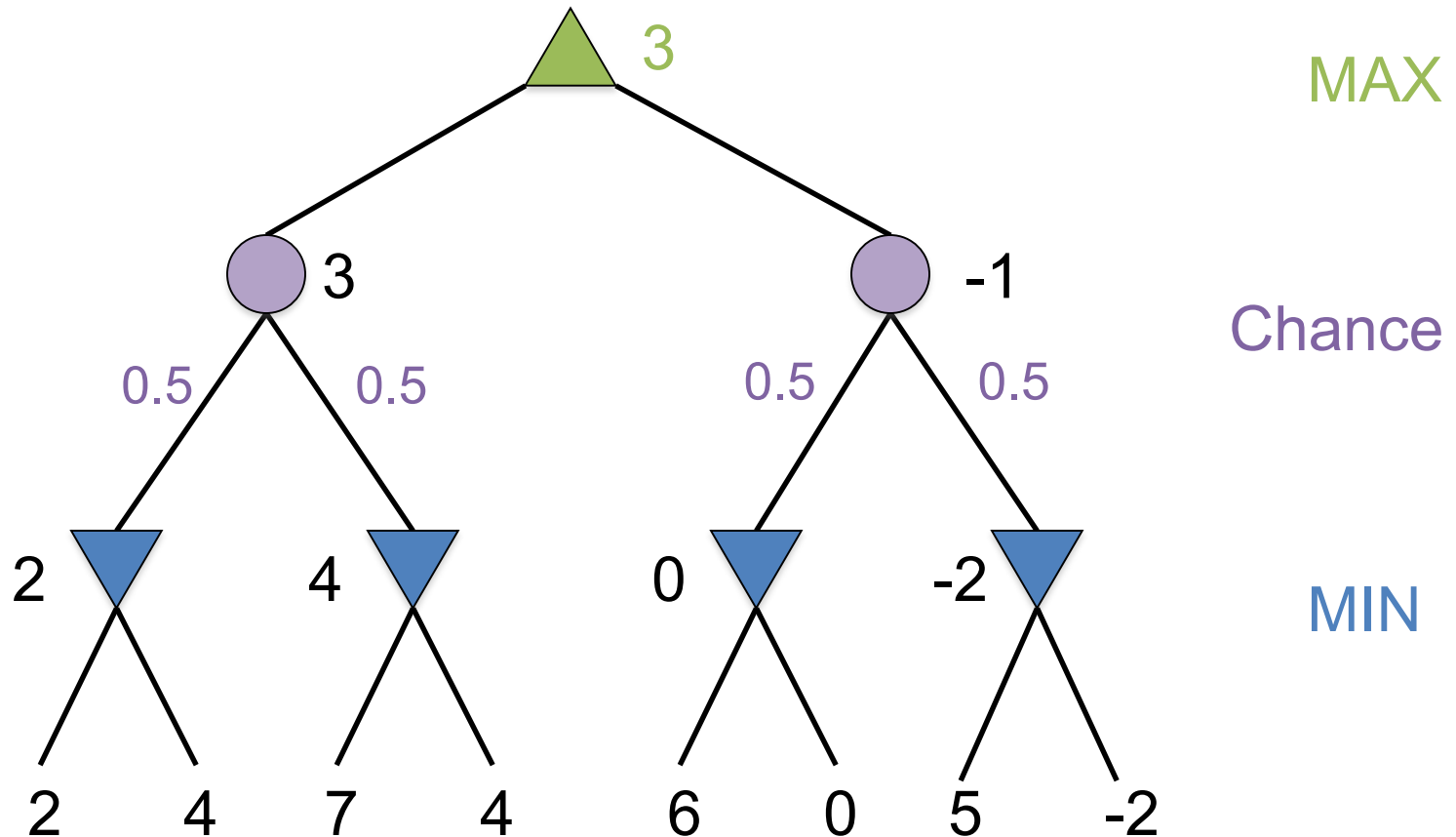
# Nondeterministic games

- Chance (random effects) due to dice, card shuffle, ...
- Chance nodes: expectation (weighted average) of successors
- Simplified example: coin flips



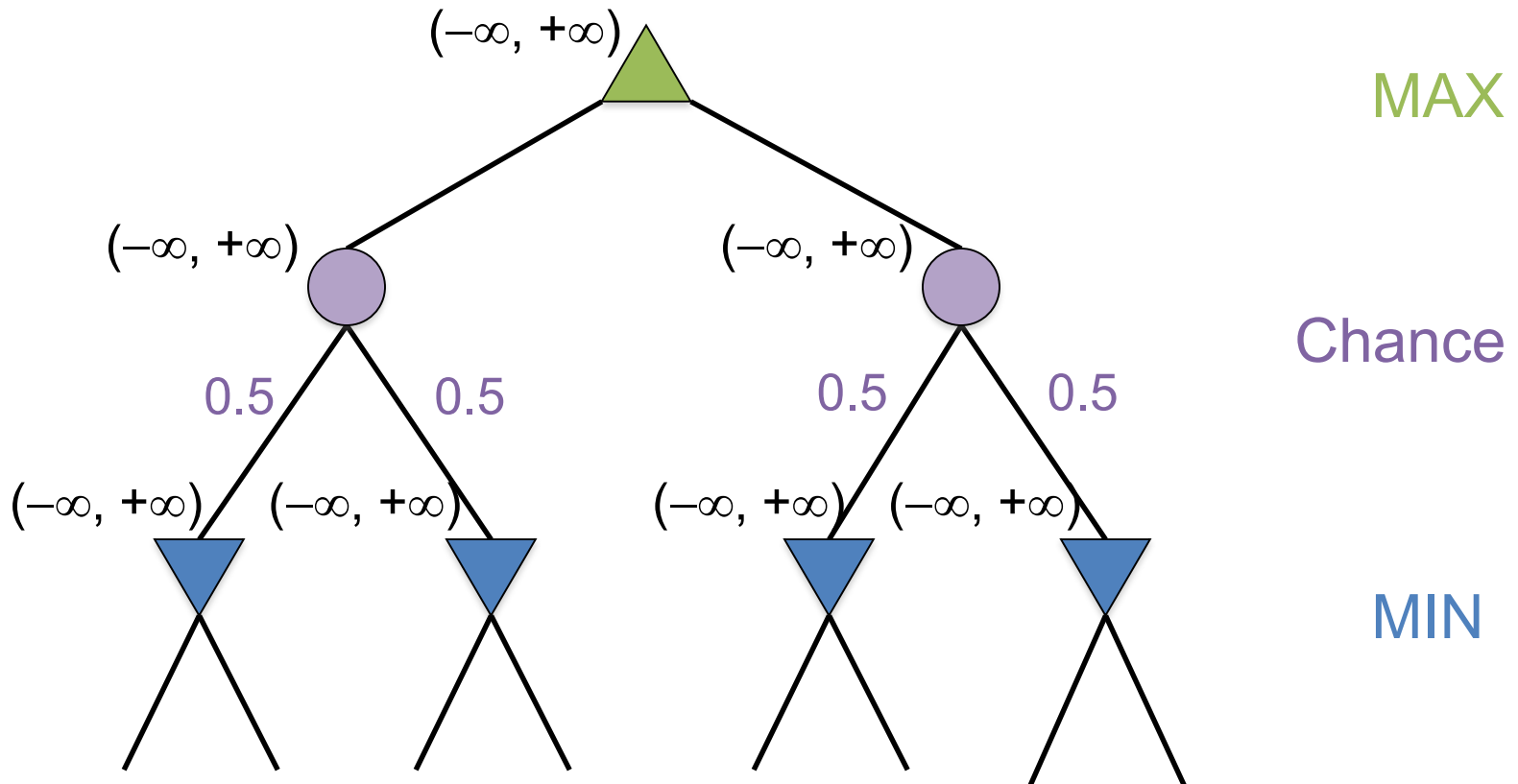
# Pruning in nondeterministic games

- Can still apply a form of alpha-beta pruning



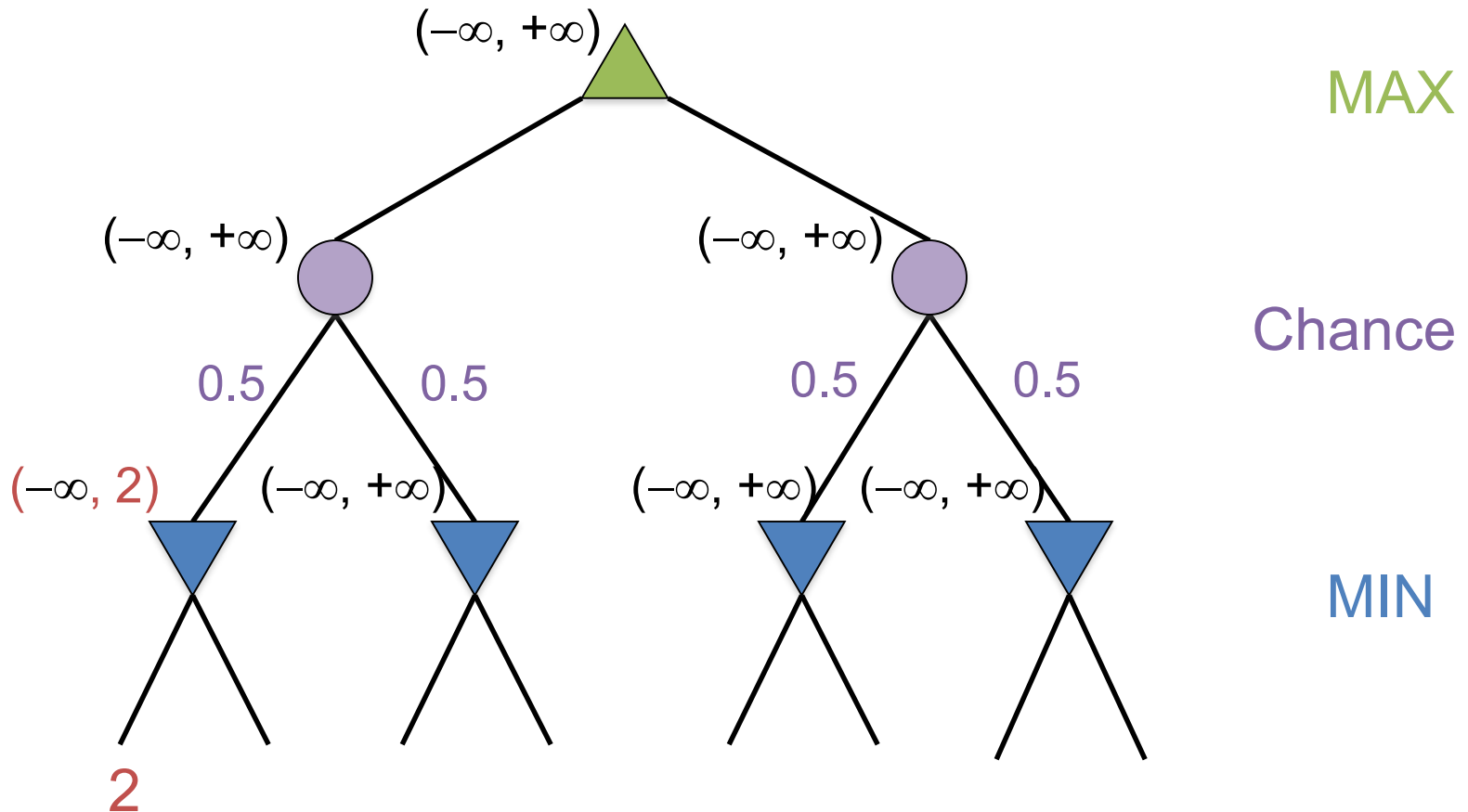
# Pruning in nondeterministic games

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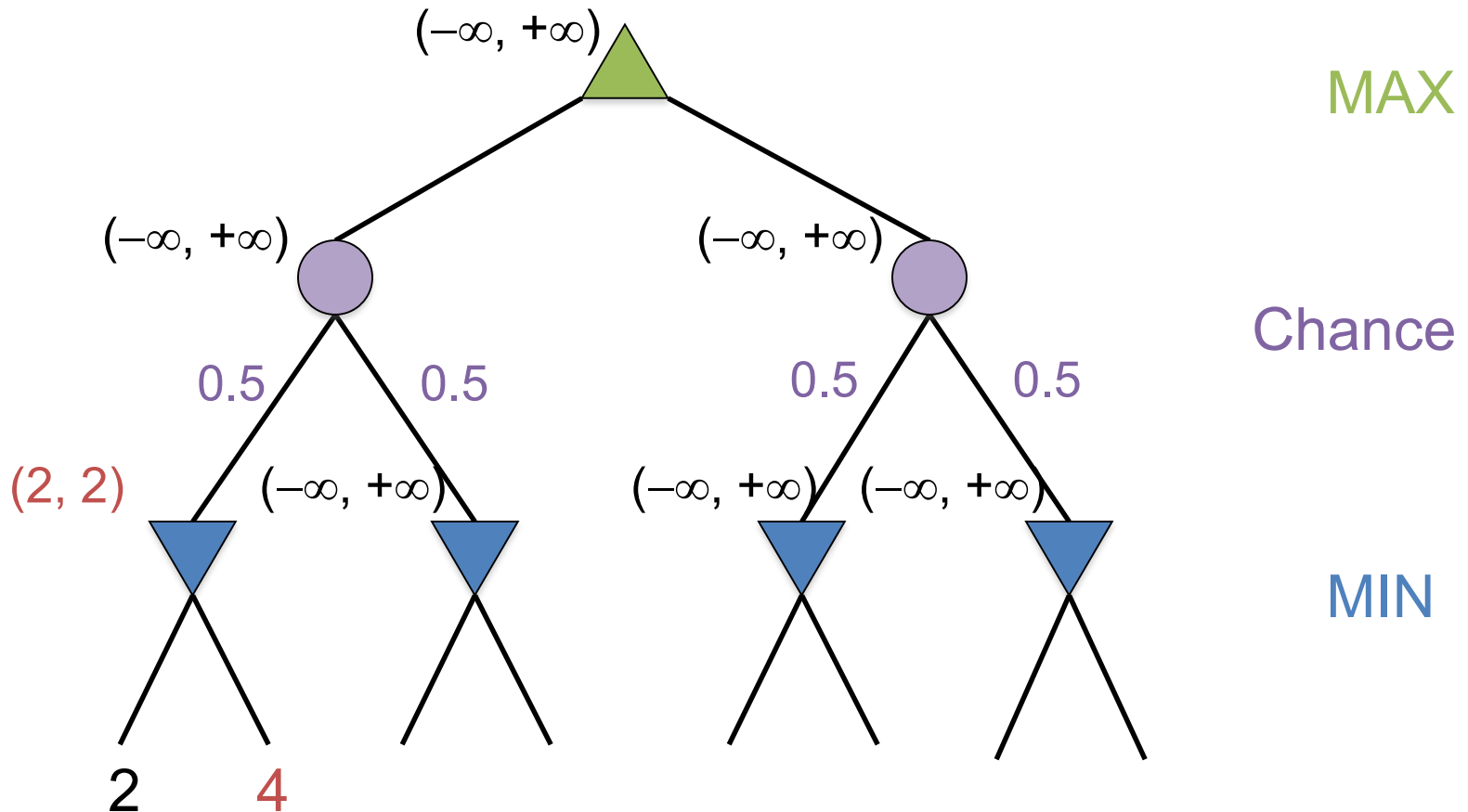
# Pruning in nondeterministic games

- Can still apply a form of alpha-beta pruning



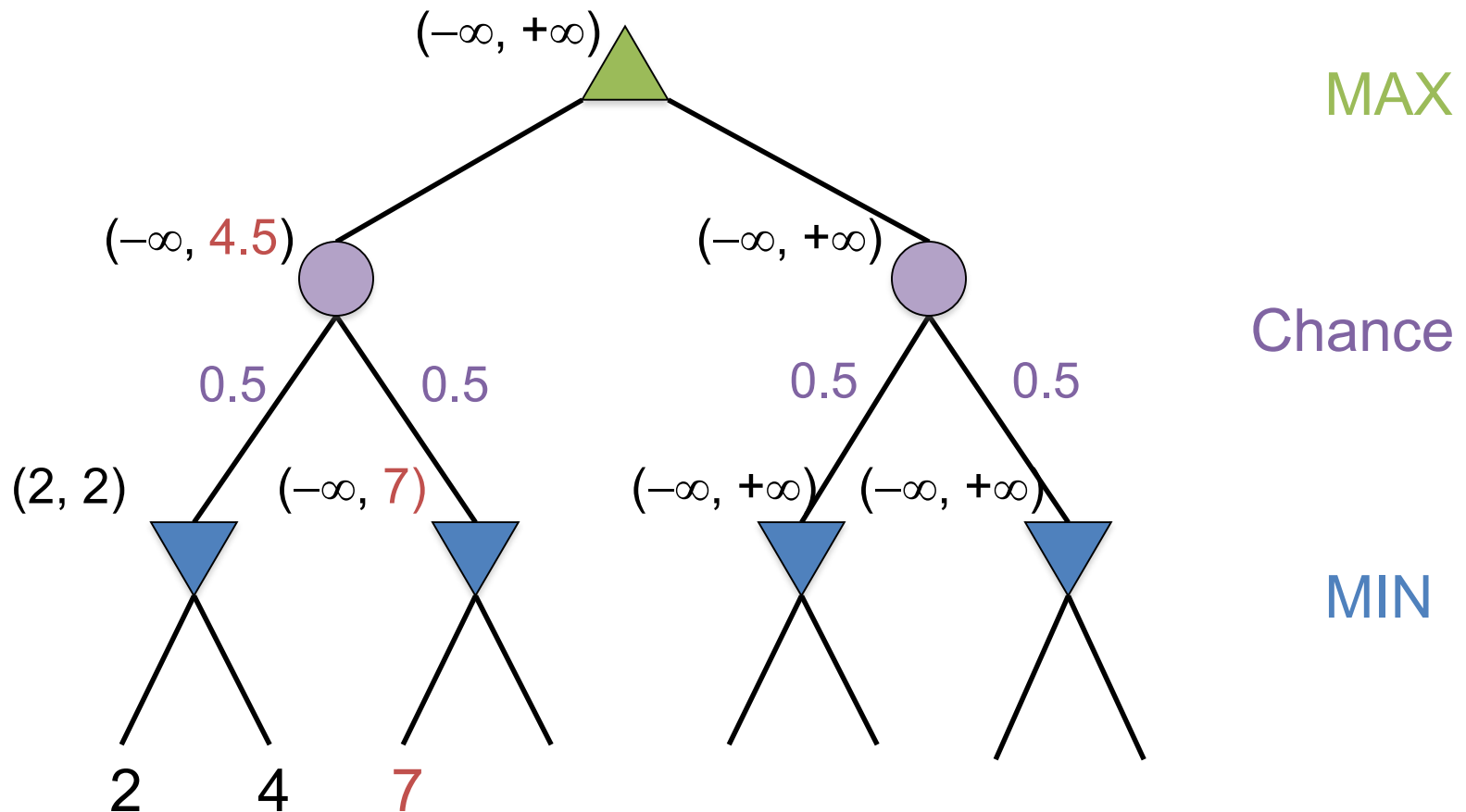
# Pruning in nondeterministic games

- Can still apply a form of alpha-beta pruning



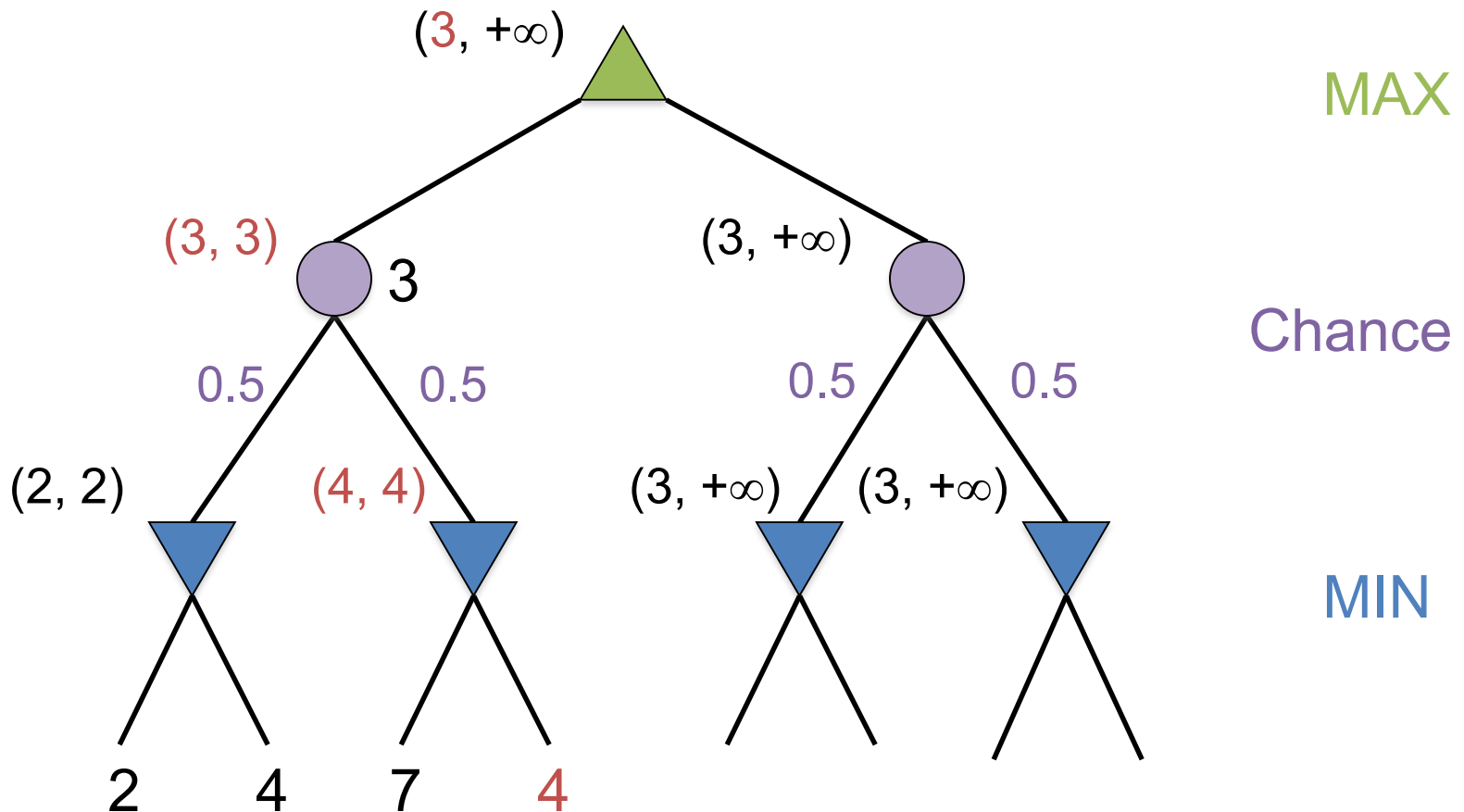
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# Pruning in nondeterministic games

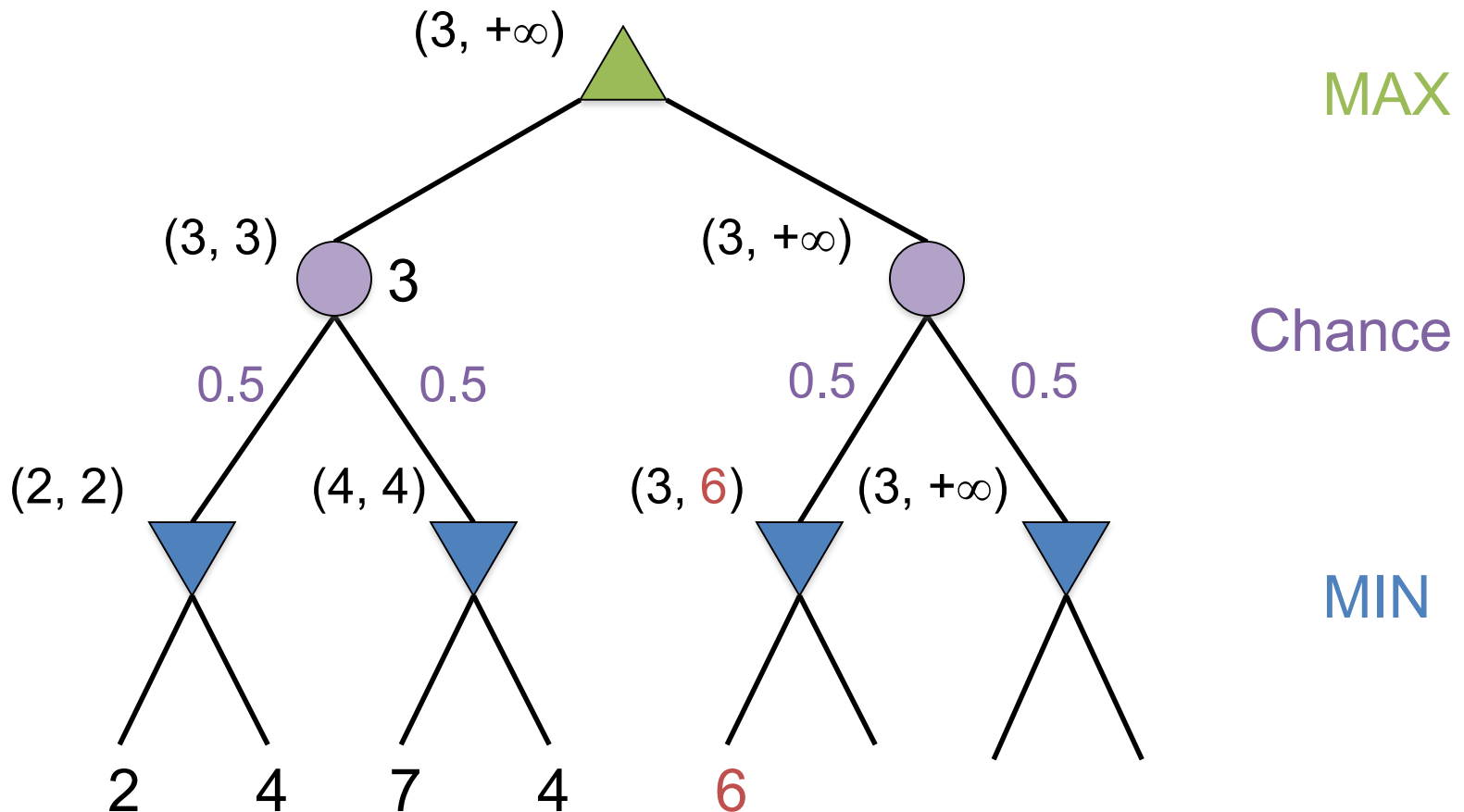
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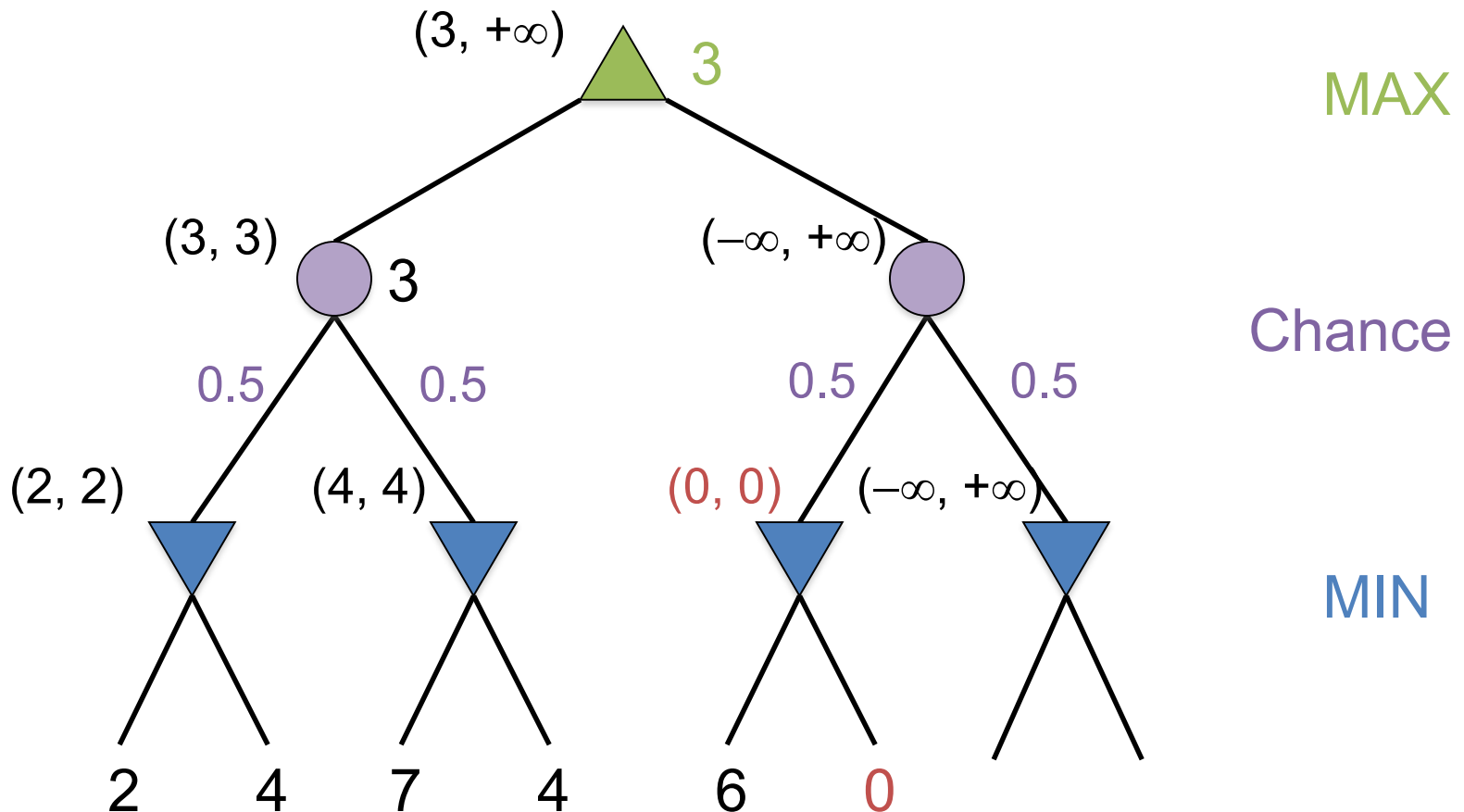
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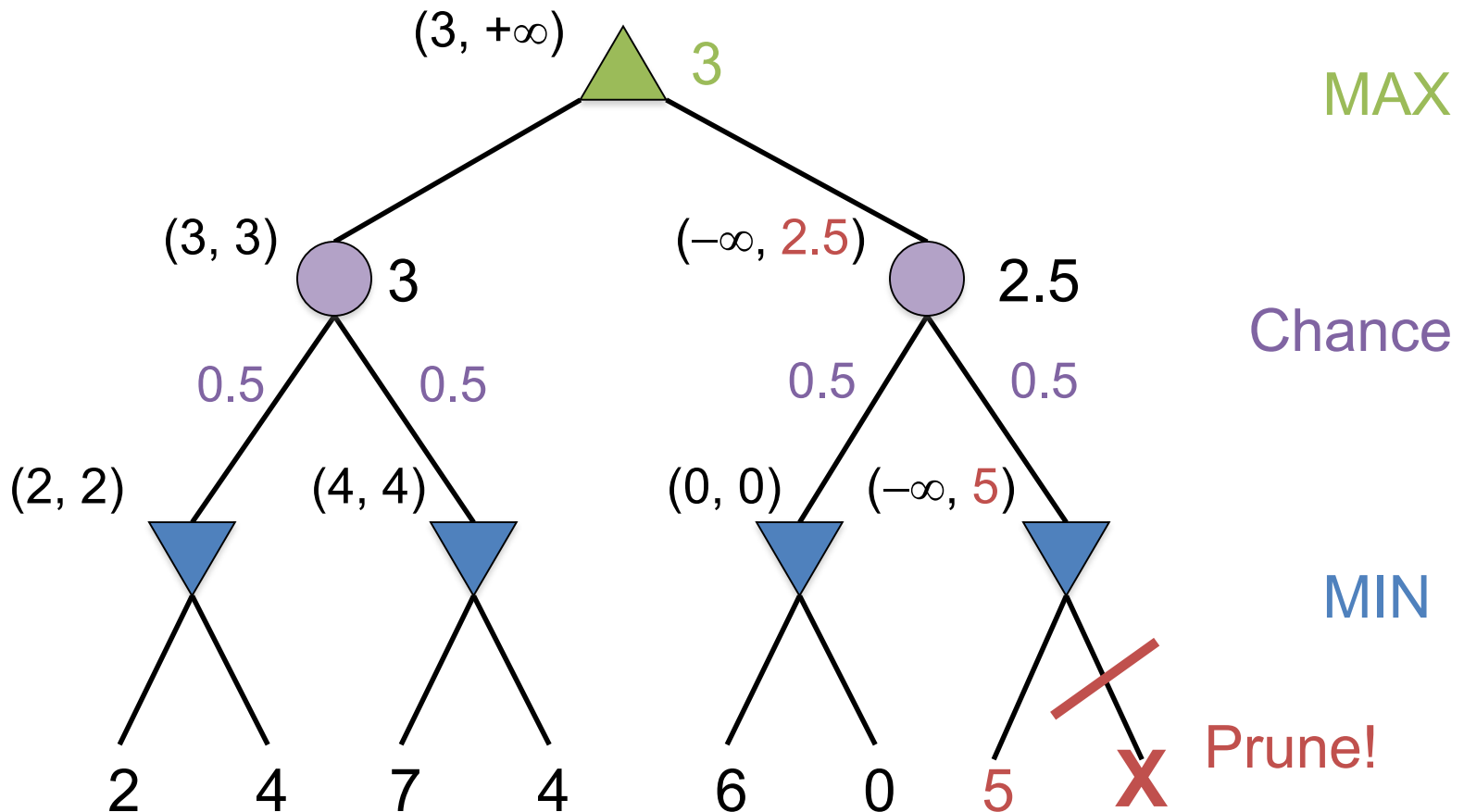
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# Pruning in nondeterministic games

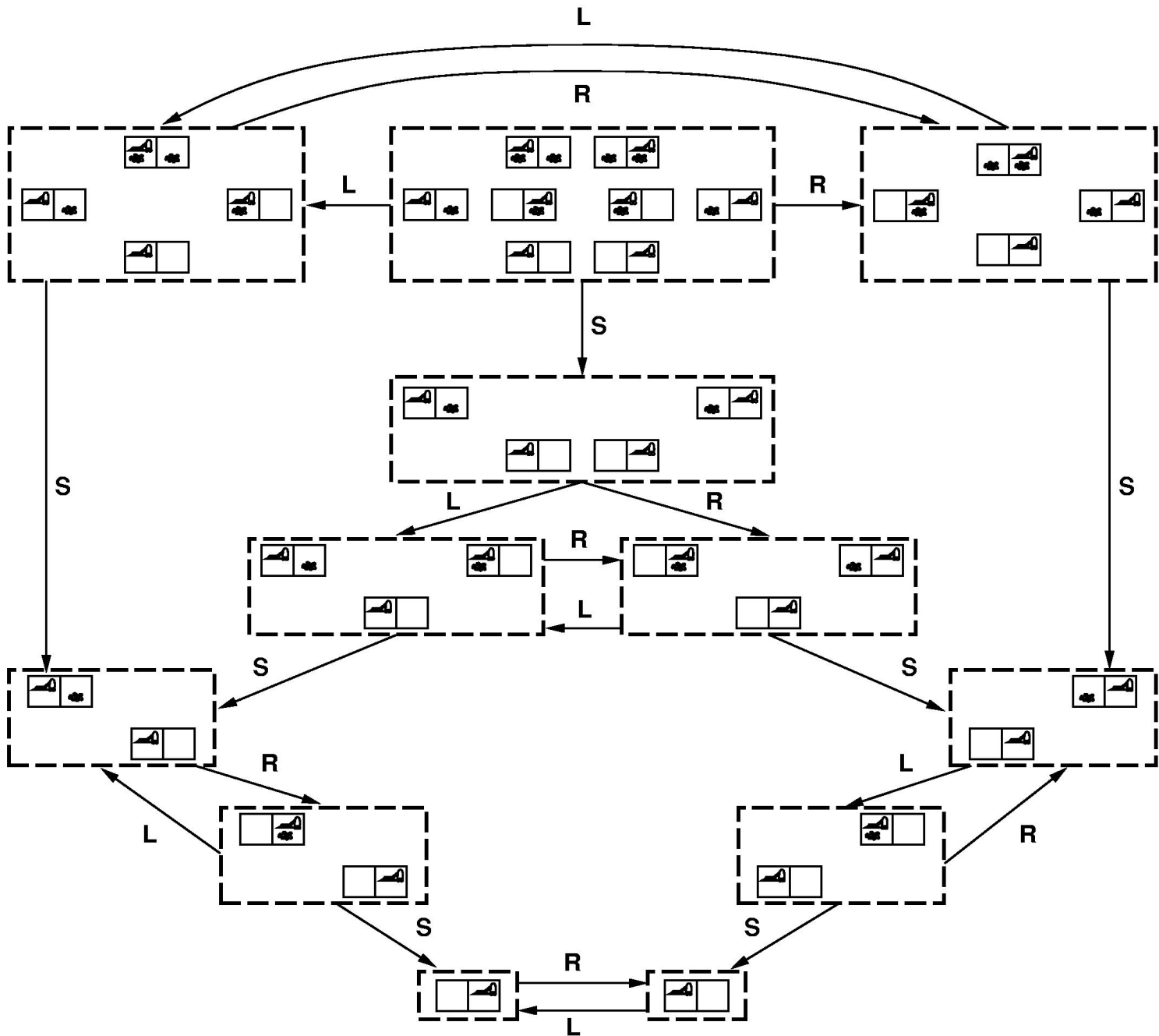
- Can still apply a form of alpha-beta pruning



# Partially observable games

- R&N Chapter 5.6 – “The fog of war”
- Background: R&N, Chapter 4.3-4
  - Searching with Nondeterministic Actions/Partial Observations
- Search through Belief States (see Fig. 4.14)
  - Agent’s current belief about which states it might be in, given the sequence of actions & percepts to that point
- $\text{Actions}(b) = ??$  Union? Intersection?
  - Tricky: an action legal in one state may be illegal in another
  - Is an illegal action a NO-OP? or the end of the world?
- Transition Model:
  - $\text{Result}(b,a) = \{ s' : s' = \text{Result}(s, a) \text{ and } s \text{ is a state in } b \}$
- $\text{Goaltest}(b) =$  every state in  $b$  is a goal state

# Belief States for Unobservable Vacuum World



# Partially observable games

- R&N Chapter 5.6
- Player's current node is a belief state
- Player's move (action) generates child belief state
- Opponent's move is replaced by Percepts(s)
  - Each possible percept leads to the belief state that is consistent with that percept
- Strategy = a move for every possible percept sequence
- Minimax returns the worst state in the belief state
- Many more complications and possibilities!!
  - Opponent may select a move that is not optimal, but instead minimizes the information transmitted, or confuses the opponent
  - May not be reasonable to consider ALL moves; open P-QR3??
- **See R&N, Chapter 5.6, for more info**

# The State of Play

- Checkers:
  - Chinook ended 40-year-reign of human world champion Marion Tinsley in 1994.
- Chess:
  - Deep Blue defeated human world champion Garry Kasparov in a six-game match in 1997.
- Othello:
  - human champions refuse to compete against computers: they are too good.
- Go:
  - AlphaGo recently (3/2016) beat 9<sup>th</sup> dan Lee Sedol
  - $b > 300$  (!); full game tree has  $> 10^{760}$  leaf nodes (!!)
- See (e.g.) <http://www.cs.ualberta.ca/~games/> for more info

# High branching factors

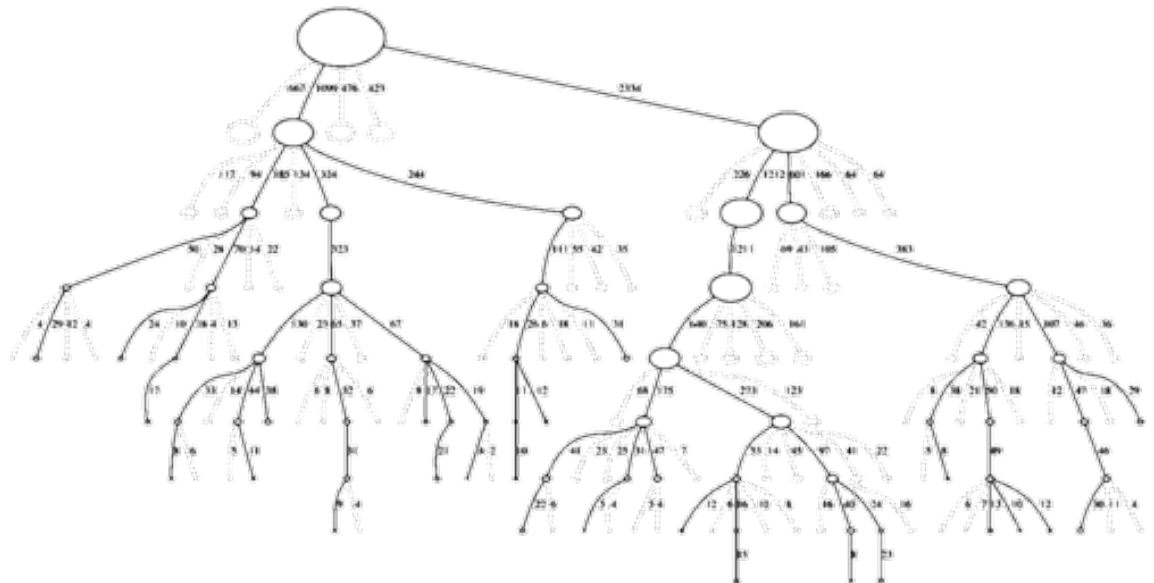
- What can we do when the search tree is too large?
  - Example: Go (  $b = 50$  to  $300+$  moves per state)
  - Heuristic state evaluation (score a partial game)
- Where does this heuristic come from?
  - Hand designed
  - Machine learning on historical game patterns
  - Monte Carlo methods – play random games





# Monte Carlo heuristic scoring

- Idea: play out the game randomly, and use the results as a score
  - Easy to generate & score lots of random games
  - May use 1000s of games for a node
- The basis of Monte Carlo tree search algorithms...



# Monte Carlo Tree Search

- Should we explore the whole (top of) the tree?
  - Some moves are obviously not good...
  - Should spend time exploring / scoring promising ones
- This is a multi-armed bandit (MAB) problem:
- Want to spend our time on good moves
- Which moves have high payout?
  - Hard to tell – random...
- *Explore vs. exploit* tradeoff

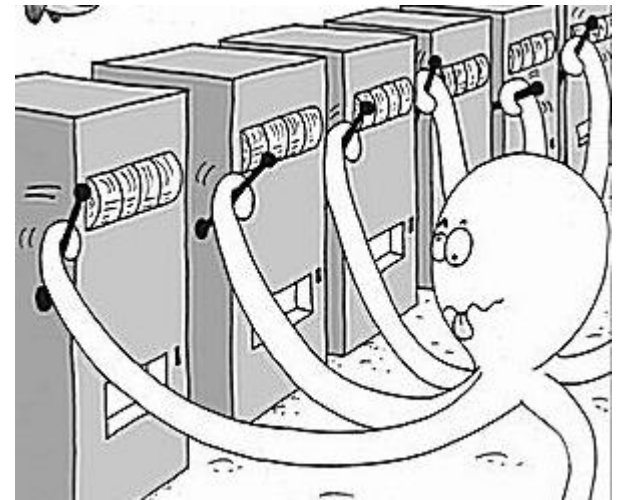
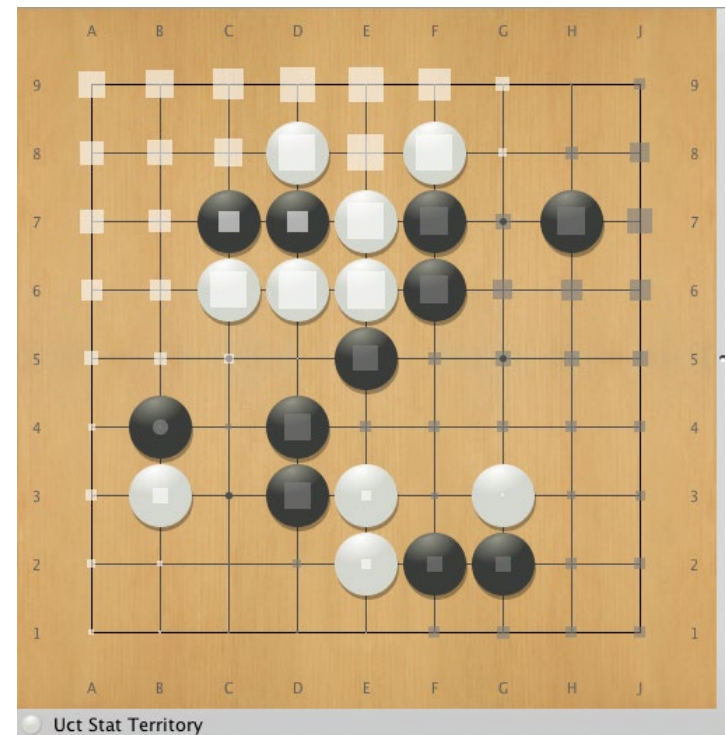
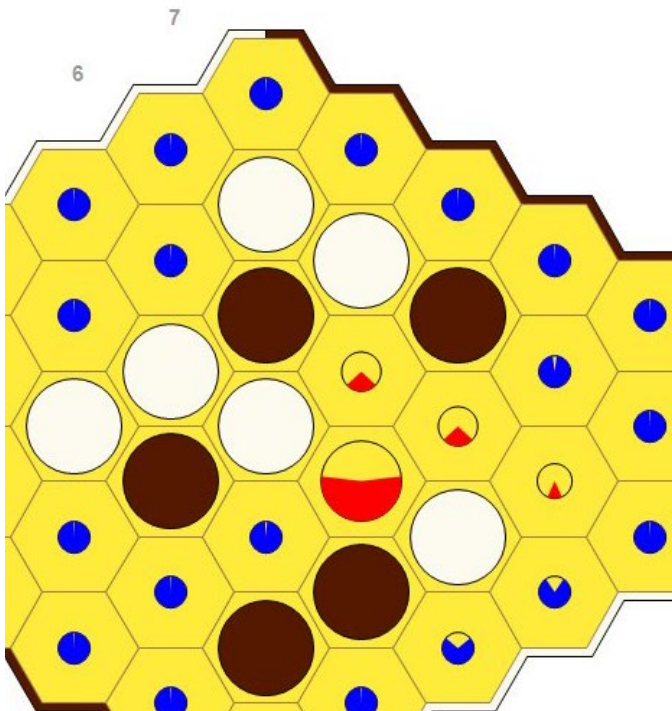


Image from Microsoft Research

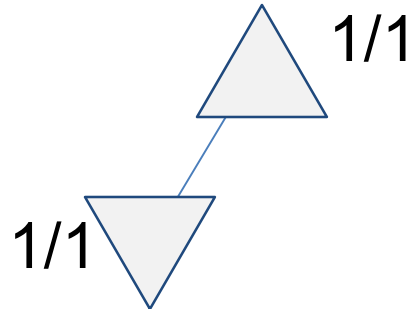
# Visualizing MCTS

- At each level of the tree, keep track of
  - Number of times we've explored a path
  - Number of times we won
- Follow winning (from max/min perspective) strategies more often, but also explore others



# MCTS

MAB strategy



Default / random strategy



Terminal state

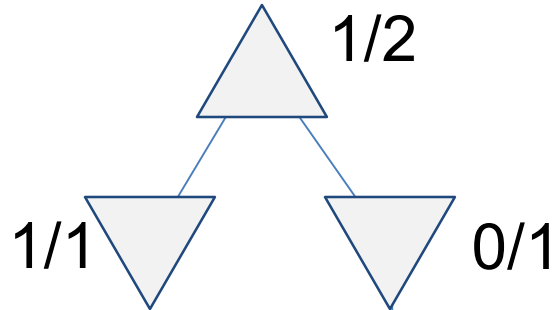
Score consists of  
(1) % wins  
(2) # times tried  
(3) # of steps total

UCT:

$$s(n) = \bar{X}_n \pm \sqrt{\frac{\log n}{t(n)}}$$

# MCTS

MAB strategy



Default / random strategy



Terminal state

Score consists of  
(1) % wins  
(2) # times tried  
(3) # of steps total

UCT:

$$s(n) = \bar{X}_n \pm \sqrt{\frac{\log n}{t(n)}}$$



# Summary

- Game playing is best modeled as a search problem
- Game trees represent alternate computer/opponent moves
- Evaluation functions estimate the quality of a given board configuration for the Max player.
- Minimax is a procedure which chooses moves by assuming that the opponent will always choose the move which is best for them
- Alpha-Beta is a procedure which can prune large parts of the search tree and allow search to go deeper
- For many well-known games, computer algorithms based on heuristic search match or out-perform human world experts.