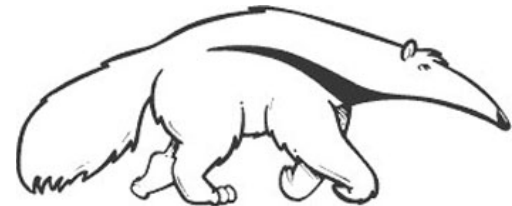


# Constraint Satisfaction Problems A: Definition, Search Strategies

CS271P, Fall Quarter, 2018  
Introduction to Artificial Intelligence  
Prof. Richard Lathrop

Read Beforehand: R&N 6.1-6.4, except 6.3.3



# Constraint Satisfaction Problems

- What is a CSP?
  - Finite set of variables,  $X_1, X_2, \dots, X_n$
  - Nonempty domain of possible values for each:  $D_1, \dots, D_n$
  - Finite set of constraints,  $C_1, \dots, C_m$ 
    - Each constraint  $C_i$  limits the values that variables can take, e.g.,  $X_1 \neq X_2$
  - Each constraint  $C_i$  is a pair:  $C_i = (\text{scope}, \text{relation})$ 
    - Scope = tuple of variables that participate in the constraint
    - Relation = list of allowed combinations of variables
      - May be an explicit list of allowed combinations
      - May be an abstract relation allowing membership testing & listing
- CSP benefits
  - Standard representation pattern
  - Generic goal and successor functions
  - Generic heuristics (no domain-specific expertise required)

# Example: Sudoku

- Problem specification

Variables: {A1, A2, A3, ... I7, I8, I9}

Domains:  $D_i = \{ 1, 2, 3, \dots, 9 \}$

Constraints:

each row, column “all different”

$\text{alldiff}(A1, A2, A3, \dots, A9), \dots$

each 3x3 block “all different”

$\text{alldiff}(G7, G8, G9, H7, \dots, I9), \dots$

	1	2	3	4	5	6	7	8	9
A			2	4		6			
B	8	6	5	1			2		
C		1				8	6		9
D	9				4		8	6	
E		4	7				1	9	
F		5	8		6				3
G	4		6	9				7	
H			9			4	5	8	1
I				3		2	9		

**Task:** solve (complete a partial solution)

check “well-posed”: exactly one solution?

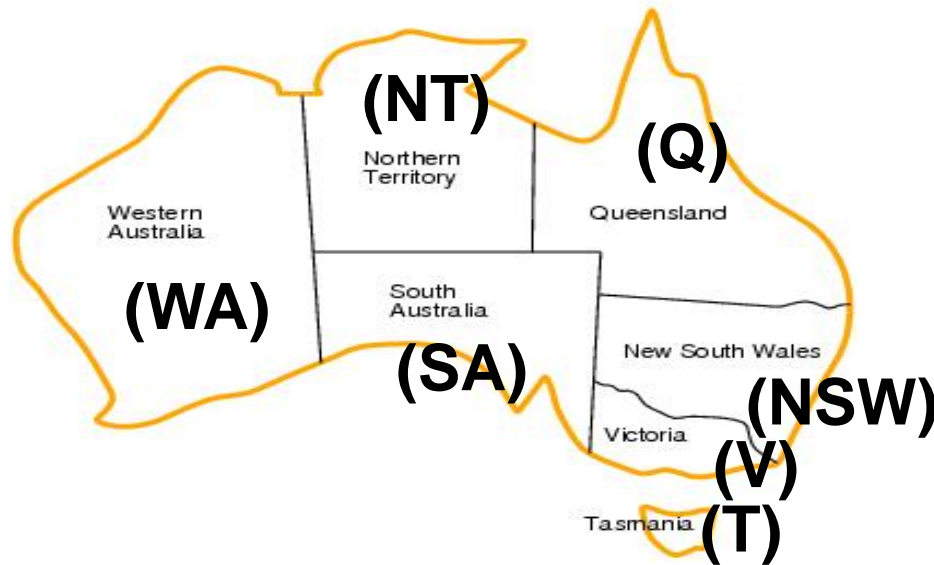
# CSPs --- what is a solution?

- A **state** is an **assignment** of values to some variables.
  - **Complete** assignment
    - = every variable has a value.
  - **Partial** assignment
    - = some variables have no values.
  - **Consistent** assignment
    - = assignment does not violate any constraints
- A **solution** is a **complete** and **consistent** assignment.

# CSPs with objective functions

- A solution may have to maximize an objective function
  - Preferences, often called “soft” constraints
  - Example: linear objective function
    - => linear programming or integer linear programming
  - Example: “Weighted” CSPs where each variable has a cost
- Examples of CSP applications
  - Scheduling the time of observations on a space telescope
  - Airline flight scheduling
  - Cryptography
  - Job shop scheduling
  - Classroom scheduling
  - Computer vision, image interpretation

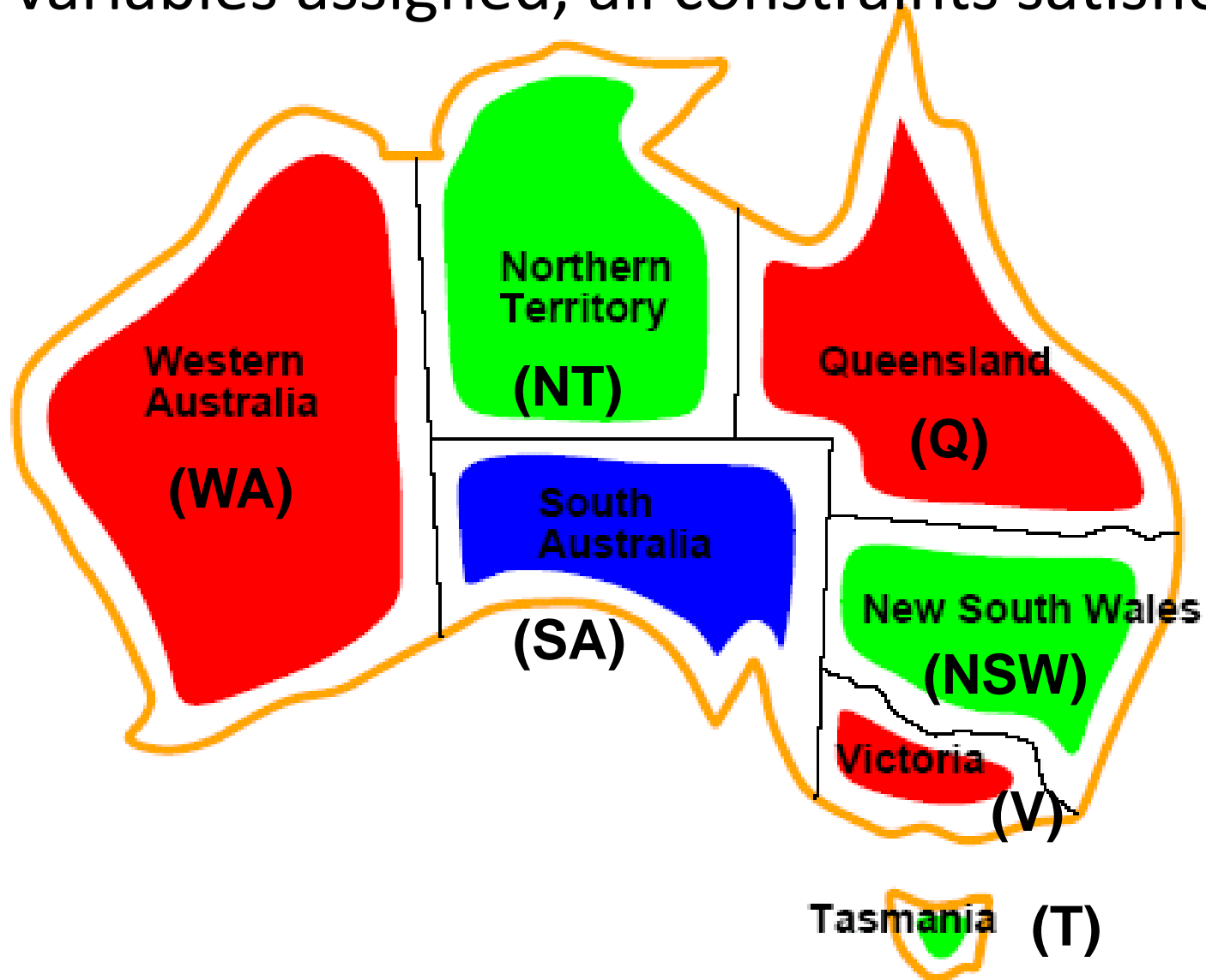
# CSP example: map coloring



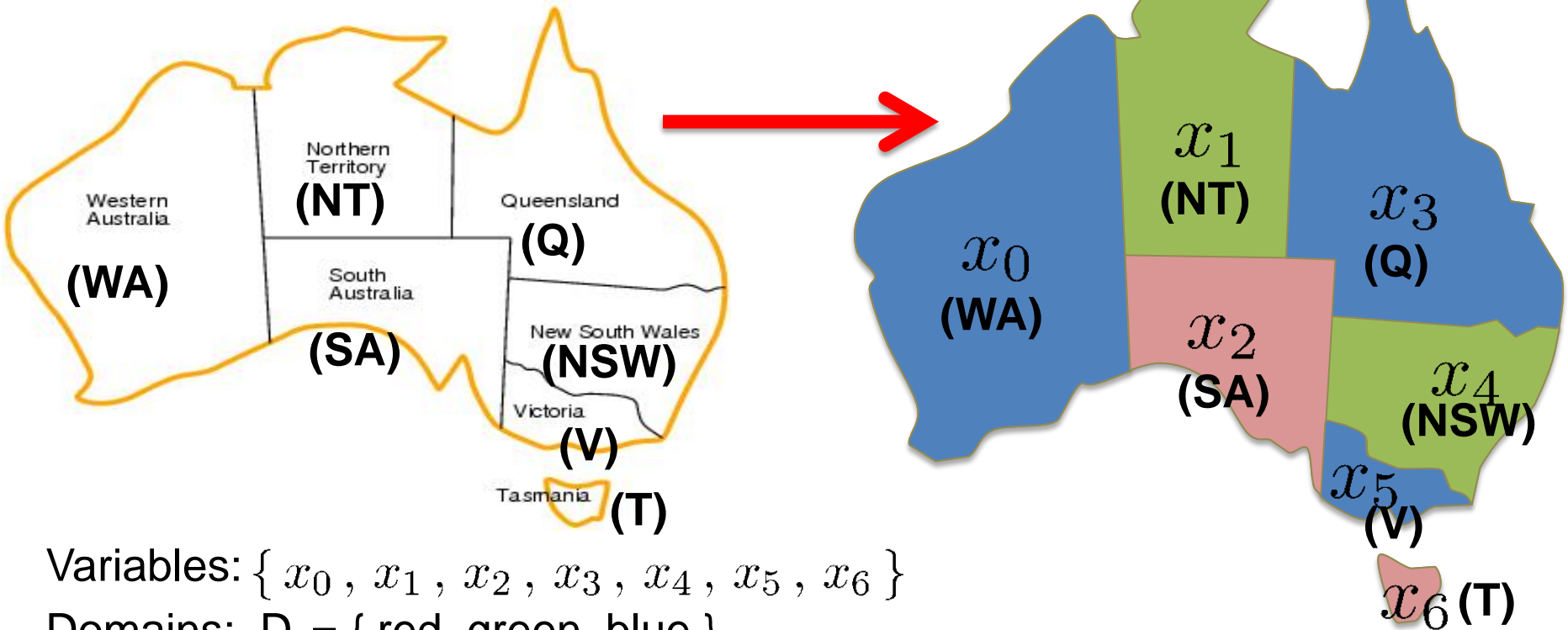
- **Variables:**  $WA, NT, Q, NSW, V, SA, T$
- **Domains:**  $D_i = \{red, green, blue\}$
- **Constraints:** Adjacent regions must have different colors, e.g.,  $WA \neq NT$ .

# Example: Map coloring solution

All variables assigned, all constraints satisfied.



# Example: Map Coloring



Variables:  $\{ x_0, x_1, x_2, x_3, x_4, x_5, x_6 \}$

Domains:  $D_i = \{ \text{red, green, blue} \}$

Constraints: bordering regions must have different colors:

$$x_0 \neq x_1, x_0 \neq x_2, x_1 \neq x_2, \dots$$

A **solution** is any setting of the variables that satisfies all the constraints, e.g.,

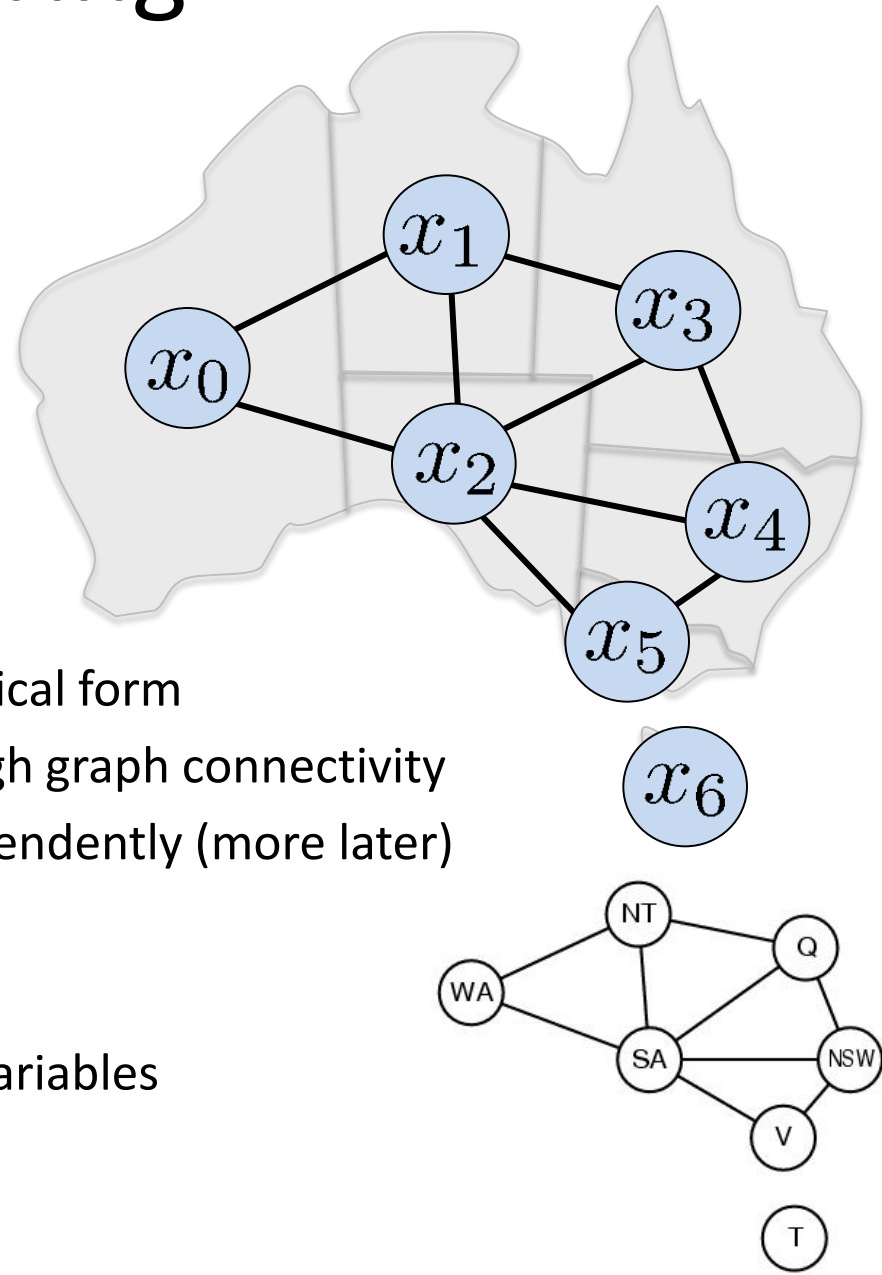
$$x_0 = \text{blue}, x_1 = \text{green}, x_2 = \text{red}, x_3 = \text{blue},$$

$$x_4 = \text{green}, x_5 = \text{blue}, x_6 = \text{red}$$



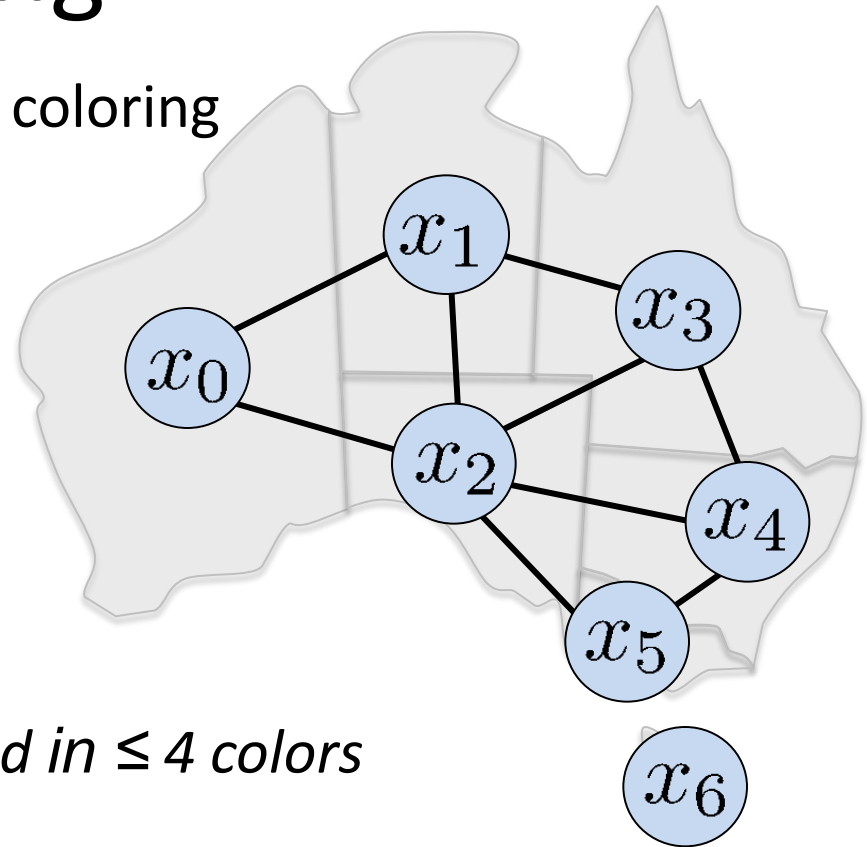
# Example: Map Coloring

- Constraint graph
  - Vertices: variables
  - Edges: constraints (connect involved variables)
- Graphical model
  - Abstracts the problem to a canonical form
  - Can reason about problem through graph connectivity
  - Ex: Tasmania can be solved independently (more later)
- Binary CSP
  - Constraints involve at most two variables
  - Sometimes called “pairwise”



# Aside: Graph coloring

- More general problem than map coloring
- Planar graph:  
*graph in 2D plane with no edge crossings*
- Guthrie's conjecture (1852)  
*Every planar graph can be colored in  $\leq 4$  colors*
- Proved (using a computer) in 1977 ([Appel & Haken 1977](#))



# Varieties of CSPs

- Discrete variables
  - Finite domains, size  $d \Rightarrow O(d^n)$  complete assignments
    - Ex: Boolean CSPs: Boolean satisfiability (NP-complete)
  - Infinite domains (integers, strings, etc.)
    - Ex: Job scheduling, variables are start/end days for each job
    - Need a constraint language, e.g.,  $\text{StartJob}_1 + 5 < \text{StartJob}_3$
    - Infinitely many solutions
    - Linear constraints: solvable
    - Nonlinear: no general algorithm
- Continuous variables
  - Ex: Building an airline schedule or class schedule
  - Linear constraints: solvable in polynomial time by LP methods

# Varieties of constraints

- **Unary** constraints involve a single variable,
  - e.g.,  $SA \neq \text{green}$
- **Binary** constraints involve pairs of variables,
  - e.g.,  $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables,
  - Ex: jobs A,B,C cannot all be run at the same time
  - Can always be expressed using multiple binary constraints
- **Preference** (soft constraints)
  - Ex: “red is better than green” can often be represented by a cost for each variable assignment
  - Combines optimization with CSPs

# Simplify...

---

- We restrict attention to:
  - **Discrete & finite domains**
    - Variables have a discrete, finite set of values
  - **No objective function**
    - Any complete & consistent solution is OK
  - **Solution**
    - Find a complete & consistent assignment
- Example: Sudoku puzzles

# Binary CSPs

CSPs only need binary constraints!

- Unary constraints

- Just delete values from the variable's domain

- Higher order (3 or more variables): reduce to binary

- Simple example: 3 variables  $X, Y, Z$
- Domains  $D_x = \{1, 2, 3\}$ ,  $D_y = \{1, 2, 3\}$ ,  $D_z = \{1, 2, 3\}$
- Constraint  $C[X, Y, Z] = \{X + Y = Z\} = \{(1, 1, 2), (1, 2, 3), (2, 1, 3)\}$   
(Plus other variables & constraints elsewhere in the CSP)
- Create a new variable  $W$ , taking values as triples (3-tuples)
- Domain of  $W$  is  $D_w = \{(1, 1, 2), (1, 2, 3), (2, 1, 3)\}$ 
  - $D_w$  is exactly the tuples that satisfy the higher-order constraint
- Create three new constraints:
  - $C[X, W] = \{ [1, (1, 1, 2)], [1, (1, 2, 3)], [2, (2, 1, 3)] \}$
  - $C[Y, W] = \{ [1, (1, 1, 2)], [2, (1, 2, 3)], [1, (2, 1, 3)] \}$
  - $C[Z, W] = \{ [2, (1, 1, 2)], [3, (1, 2, 3)], [3, (2, 1, 3)] \}$

Other constraints elsewhere involving  $X, Y, Z$  are unaffected

# Example: Cryptarithmic problems

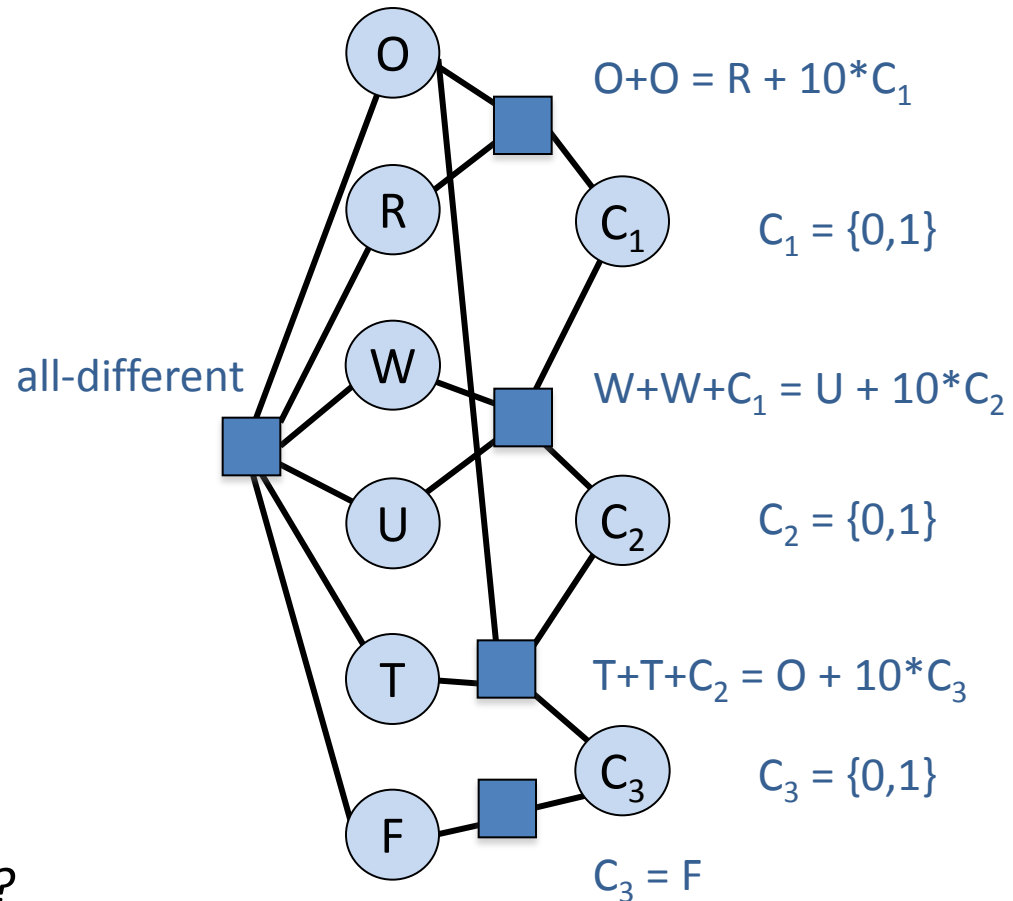
- Find numeric substitutions that make an equation hold:

$$\begin{array}{r}
 \phantom{+} T W O \\
 + T W O \\
 \hline
 = F O U R
 \end{array}$$

For example:

O = 4				
R = 8				
W = 3		7	3	4
U = 6	+	7	3	4
T = 7		= 1	4	6 8
F = 1				

Non-pairwise CSP:



Note: not unique – how many solutions?

# Example: Cryptarithmic problems

- Try it yourself at home:

$$\begin{array}{r} \text{S E N D} \\ + \text{M O R E} \\ \hline = \text{M O N E Y} \end{array}$$

(a frequent request from college students to parents)



# Random binary CSPs

- A random binary CSP is defined by a four-tuple  $(n, d, p_1, p_2)$ 
  - $n$  = the number of variables.
  - $d$  = the domain size of each variable.
  - $p_1$  = probability a constraint exists between two variables.
  - $p_2$  = probability a pair of values in the domains of two variables connected by a constraint is incompatible.
    - Note that R&N lists compatible pairs of values instead.
    - Equivalent formulations; just take the set complement.
- $(n, d, p_1, p_2)$  generate random binary constraints
- The so-called “model B” of Random CSP  $(n, d, n_1, n_2)$ 
  - $n_1 = p_1 n(n-1)/2$  pairs of variables are randomly and uniformly selected and binary constraints are posted between them.
  - For each constraint,  $n_2 = p_2 d^2$  randomly and uniformly selected pairs of values are picked as incompatible.
- The random CSP as an optimization problem (minCSP).
  - Goal is to minimize the total sum of values for all variables.

# CSP as a standard search problem

- A CSP can easily be expressed as a standard search problem.
- Incremental formulation
  - *Initial State*: the empty assignment  $\{\}$
  - *Actions*: Assign a value to an unassigned variable provided that it does not violate a constraint
  - *Goal test*: the current assignment is complete  
(by construction it is consistent)
  - *Path cost*: constant cost for every step (not really relevant)

**BUT:** solution is at depth  $n$  (# of variables)

For BFS: branching factor at top level is  $nd$

next level:  $(n-1)d$

...

Total:  $n! d^n$  leaves! But there are only  $d^n$  complete assignments!

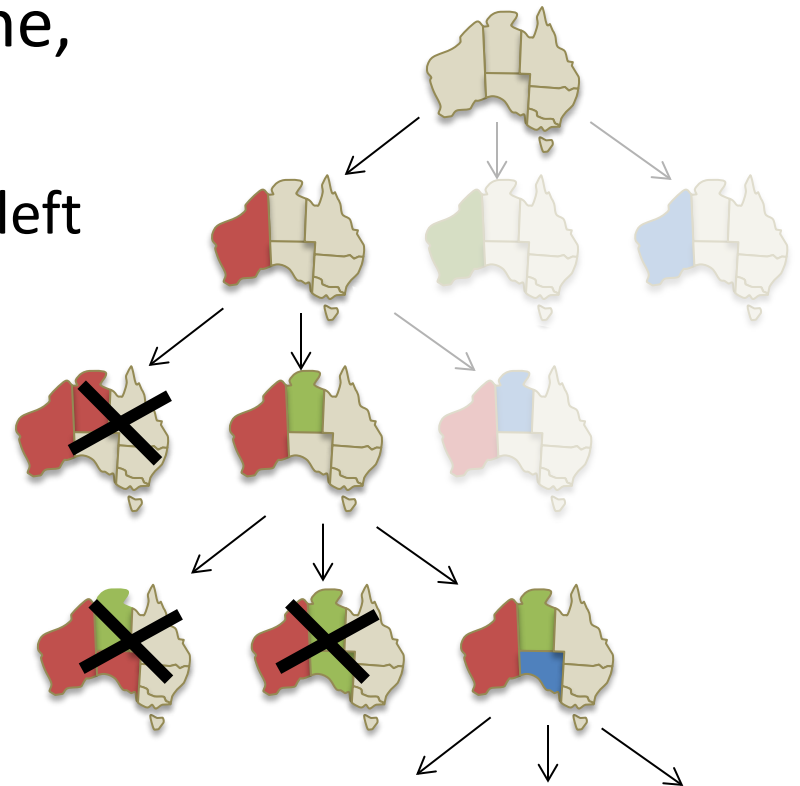
- Aside: can also use complete-state formulation
  - Local search techniques (Chapter 4) tend to work well

# Commutativity

- CSPs are commutative.
  - Order of any given set of actions has no effect on the outcome.
  - Example: choose colors for Australian territories, one at a time.
    - [WA=red then NT=green] same as [NT=green then WA=red]
- All CSP search algorithms can generate successors by considering assignments for only a single variable at each node in the search tree
  - ⇒ there are  $d^n$  irredundant leaves
- (Figure out later to which variable to assign which value.)

# Backtracking search

- Similar to depth-first search
  - At each level, pick a single variable to expand
  - Iterate over the domain values of that variable
- Generate children one at a time,
  - One child per value
  - Backtrack when no legal values left
- Uninformed algorithm
  - Poor general performance



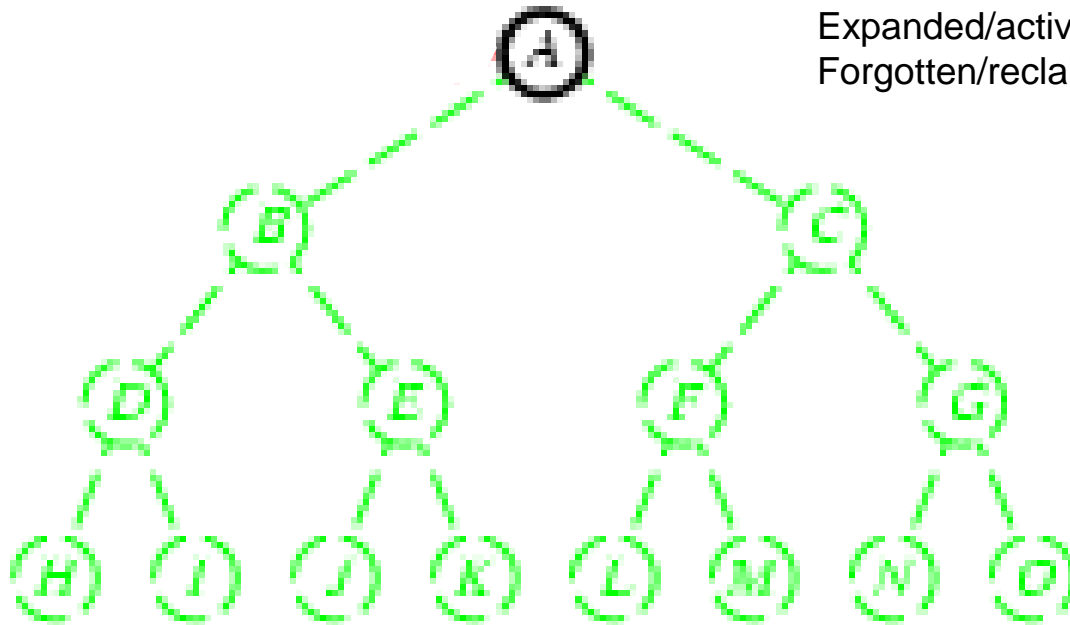
# Backtracking search

```
function BACKTRACKING-SEARCH(csp) return a solution or failure  
    return RECURSIVE-BACKTRACKING({}, csp)
```

```
function RECURSIVE-BACKTRACKING(assignment, csp) return a solution or failure  
    if assignment is complete then return assignment  
    var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)  
    for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do  
        if value is consistent with assignment according to CONSTRAINTS[csp] then  
            add {var=value} to assignment  
            result ← RECURSIVE-BACKTRACKING(assignment, csp)  
            if result ≠ failure then return result  
            remove {var=value} from assignment  
    return failure
```

# Backtracking search

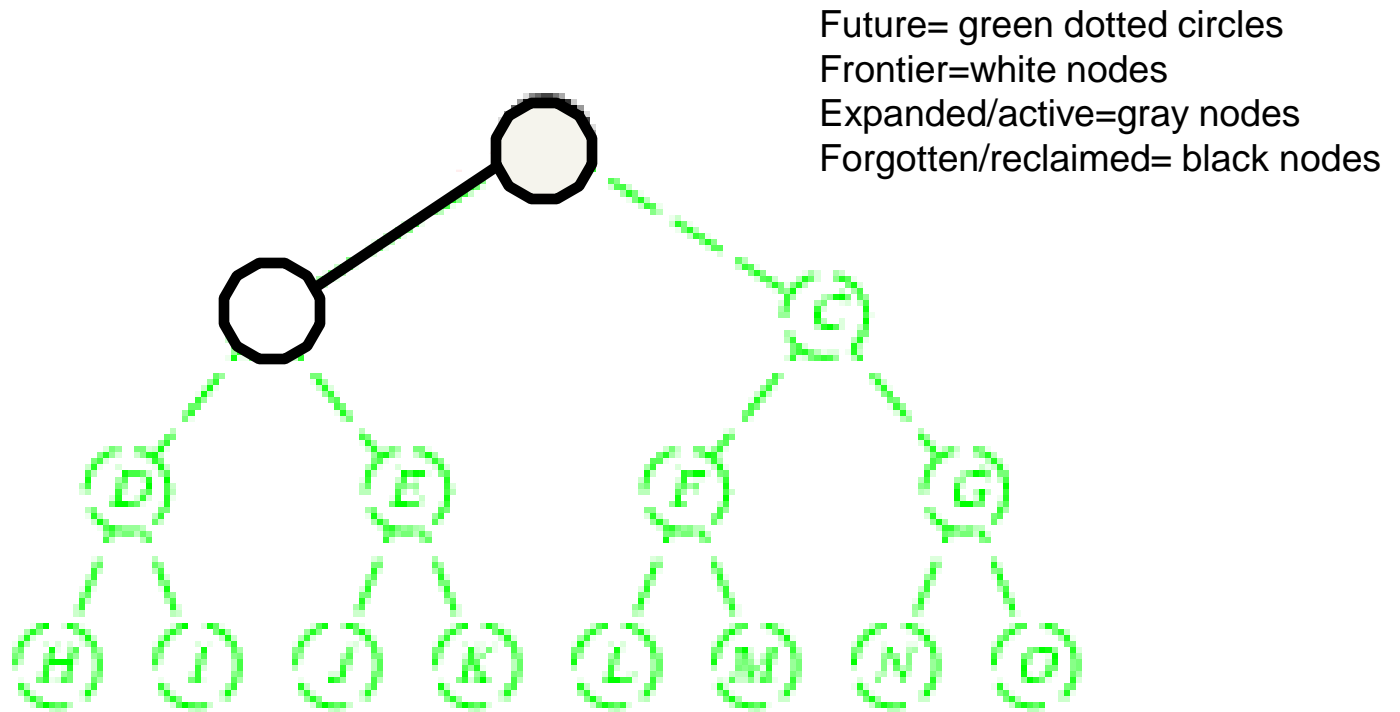
- Expand *deepest* unexpanded node
- Generate **only one** child at a time.
- *Goal-Test* when inserted.
  - For CSP, Goal-test at bottom



Future= green dotted circles  
Frontier=white nodes  
Expanded/active=gray nodes  
Forgotten/reclaimed= black nodes

# Backtracking search

- Expand *deepest* unexpanded node
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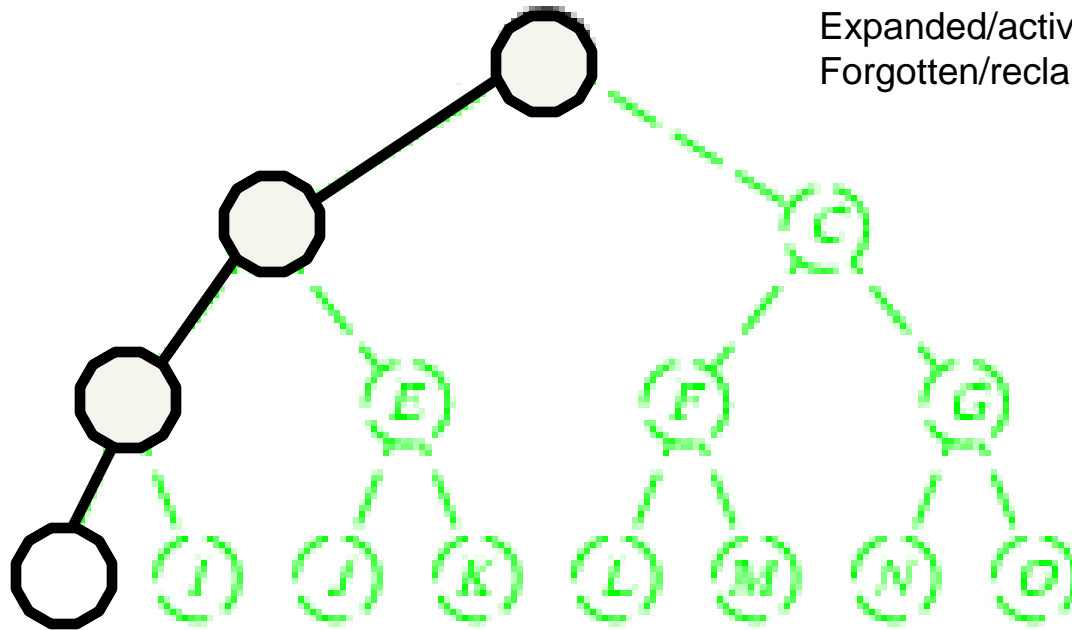






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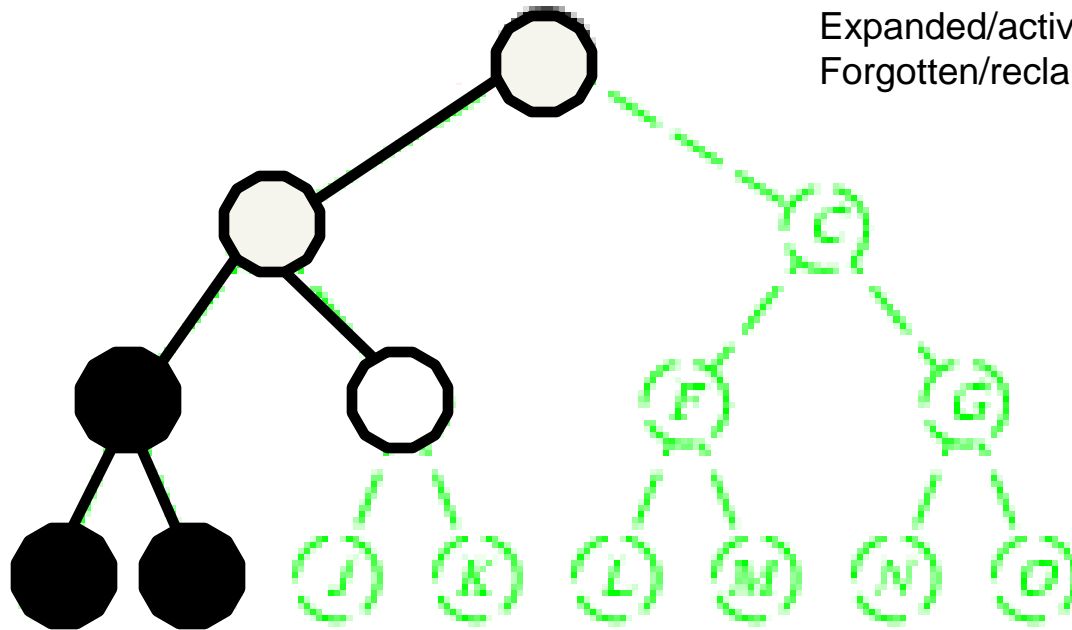
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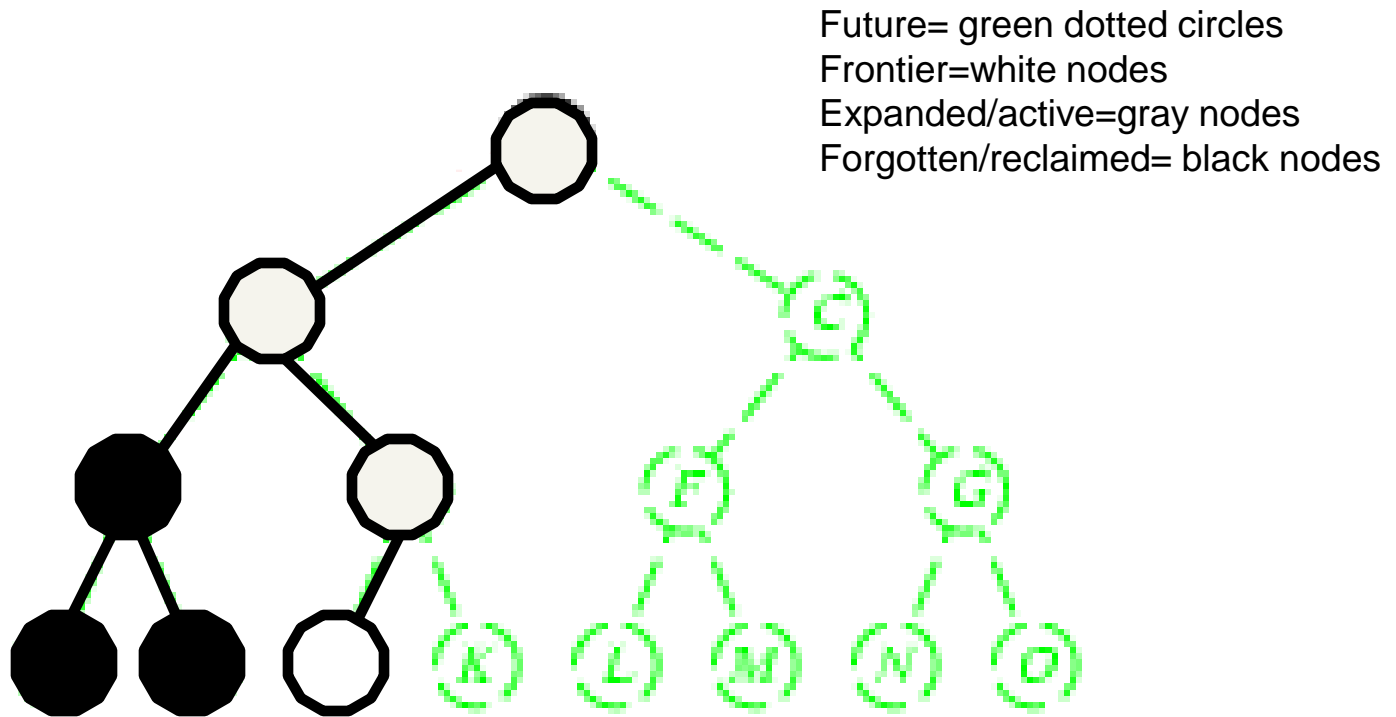
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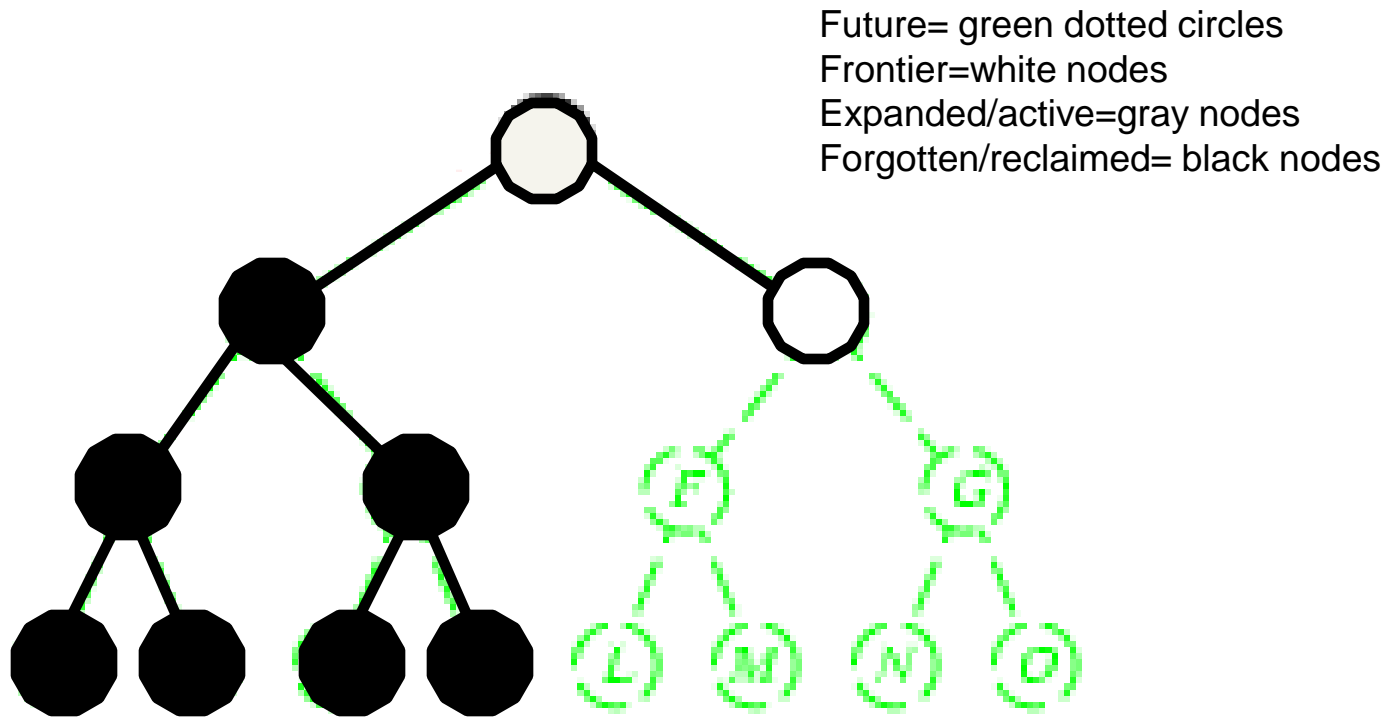
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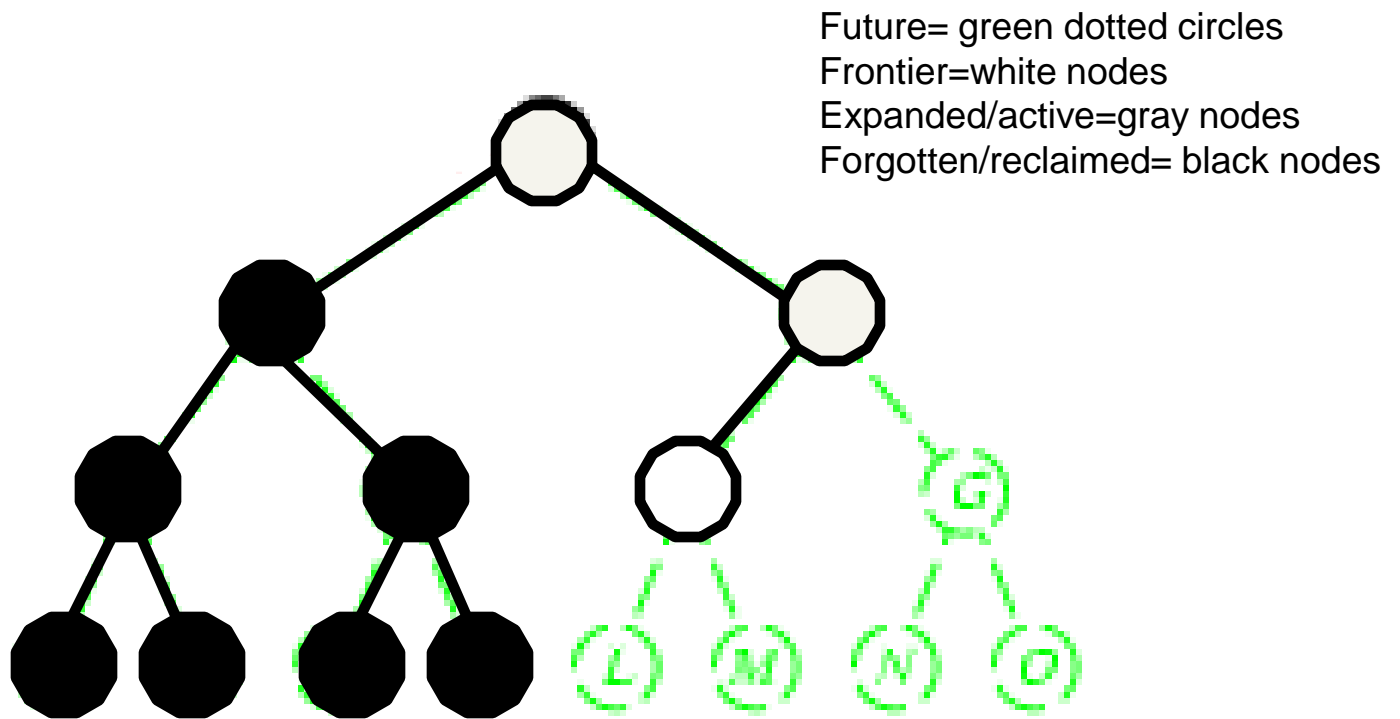
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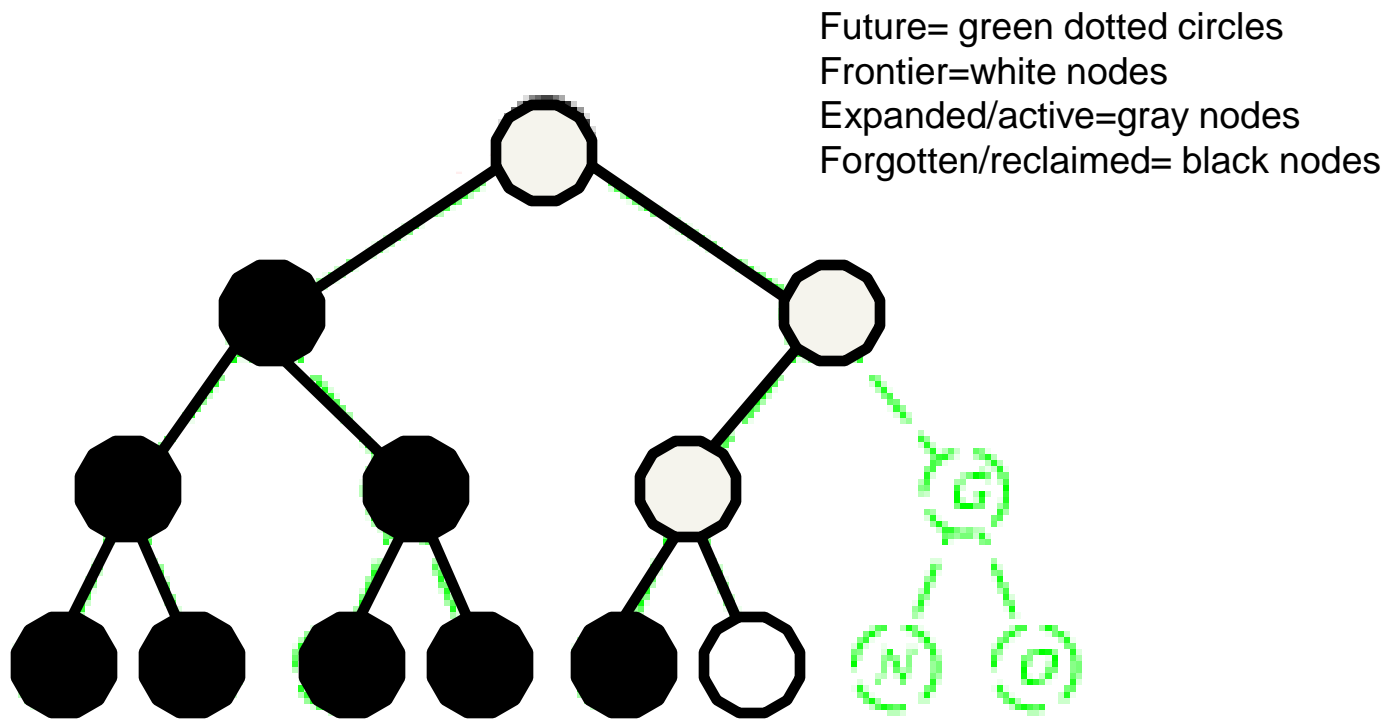






# Backtracking search

- Expand *deepest* unexpanded node
- Generate **only one** child at a time.
- *Goal-Test* when inserted.
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# Improving Backtracking $O(\exp(n))$

- Make our search more “informed” (e.g. heuristics)
  - General purpose methods can give large speed gains
  - CSPs are a generic formulation; hence heuristics are more “generic” as well
- Before search:
  - **Reduce the search space**
  - Arc-consistency, path-consistency, i-consistency
  - Variable ordering (fixed)
- During search:
  - **Look-ahead schemes:**
    - Detecting failure early; reduce the search space if possible
    - Which variable should be assigned next?
    - Which value should we explore first?
  - **Look-back schemes:**
    - Backjumping
    - Constraint recording
    - Dependency-directed backtracking

# Look-ahead: Variable and value orderings

- Intuition:
  - Apply propagation at each node in the search tree (reduce future branching)
  - Choose a **variable** that will detect failures early (low branching factor)
  - Choose **value** least likely to yield a dead-end (find solution early if possible)
- Forward-checking
  - (check each unassigned variable separately)
- Maintaining arc-consistency (MAC)
  - (apply full arc-consistency)

# Backtracking search (Figure 6.5)

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function BACKTRACKING-SEARCH(csp) return a solution or failure  
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```

```
function RECURSIVE-BACKTRACKING(assignment, csp) return a solution or failure  
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```

```
    for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
```

```
        if value is consistent with assignment according to CONSTRAINTS[csp] then
```

```
            add {var=value} to assignment
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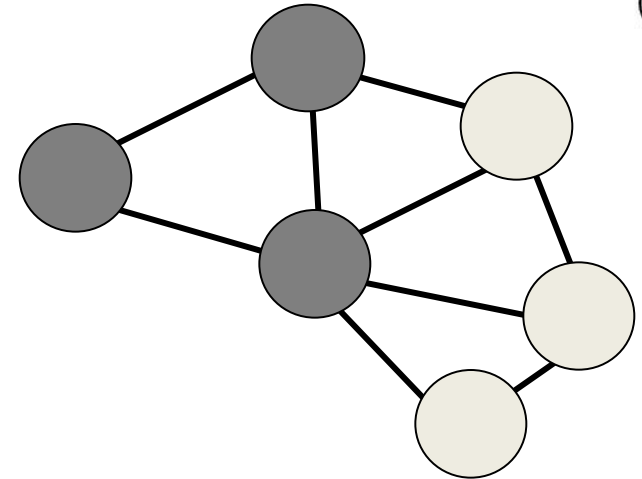
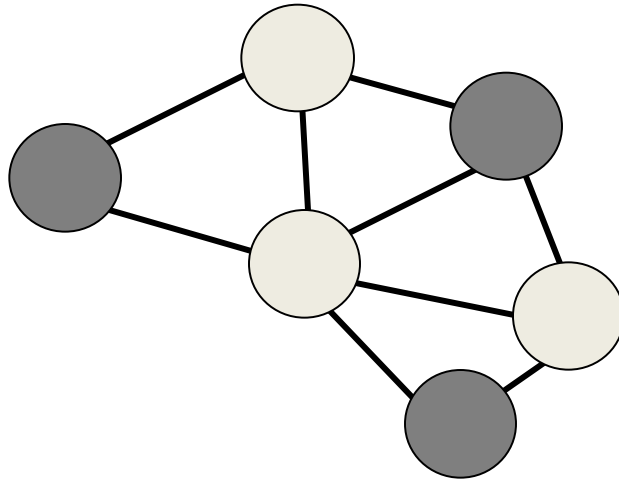
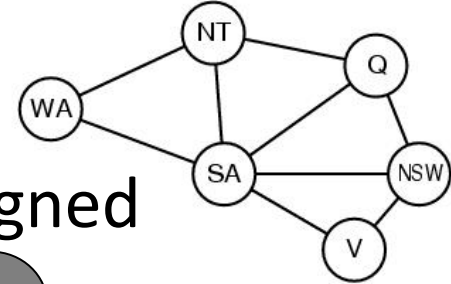
```
            remove {var=value} from assignment
```

```
    return failure
```

# Dependence on variable ordering

- Example: coloring

- Dark nodes assigned, light nodes unassigned



(1) Assign WA, Q, V first:

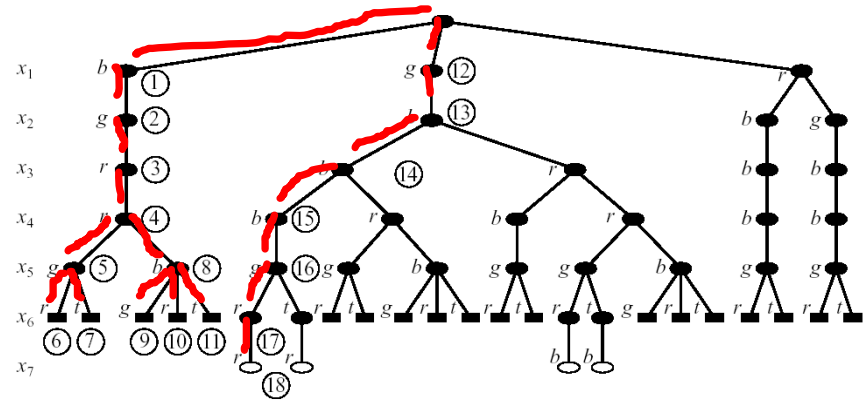
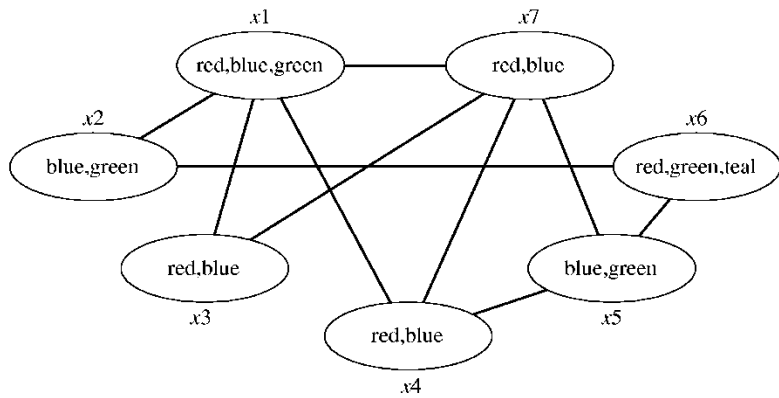
- $27 = 3^3$  ways to color assigned nodes consistently
- none inconsistent (yet)
- only 3 lead to solutions...

(2) Assign WA, SA, NT first:

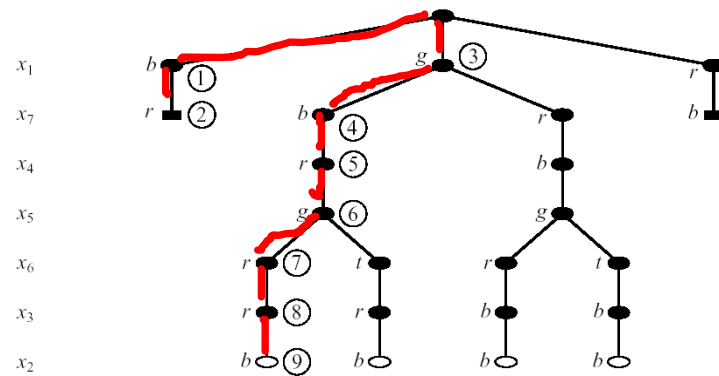
- $6 = 3!$  ways to color assigned nodes consistently
- all lead to solutions
- no backtracking

# Dependence on variable ordering

- Another graph coloring example:



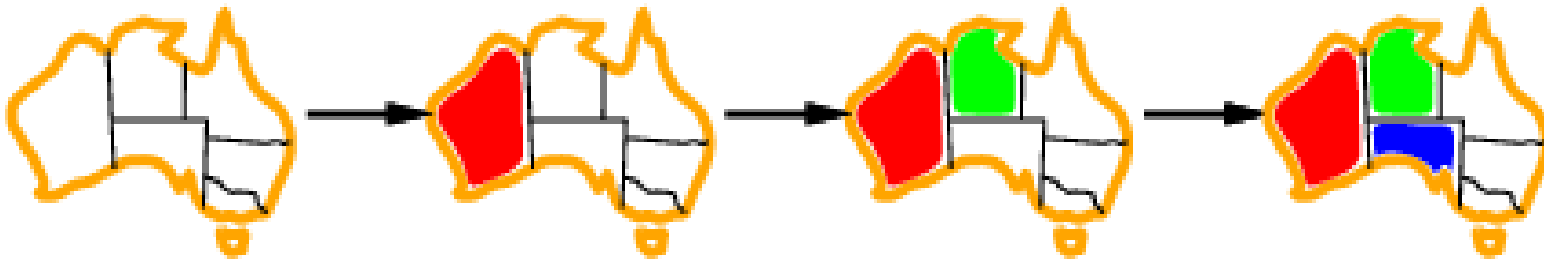
(a)



(b)

# Minimum remaining values (MRV)

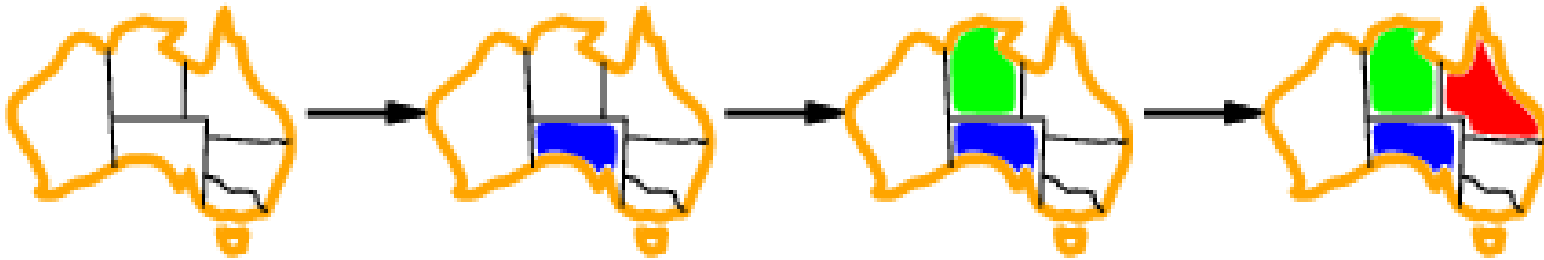
- A heuristic for selecting the next variable
  - a.k.a. **most constrained variable (MCV)** heuristic



- choose the variable with the fewest legal values
- will immediately detect failure if X has no legal values
- (Related to forward checking, later)

# Degree heuristic

- Another heuristic for selecting the next variable
  - a.k.a. **most constraining variable** heuristic



- Select variable involved in the most constraints on other unassigned variables
- Useful as a tie-breaker among most constrained variables

What about the order to try values?



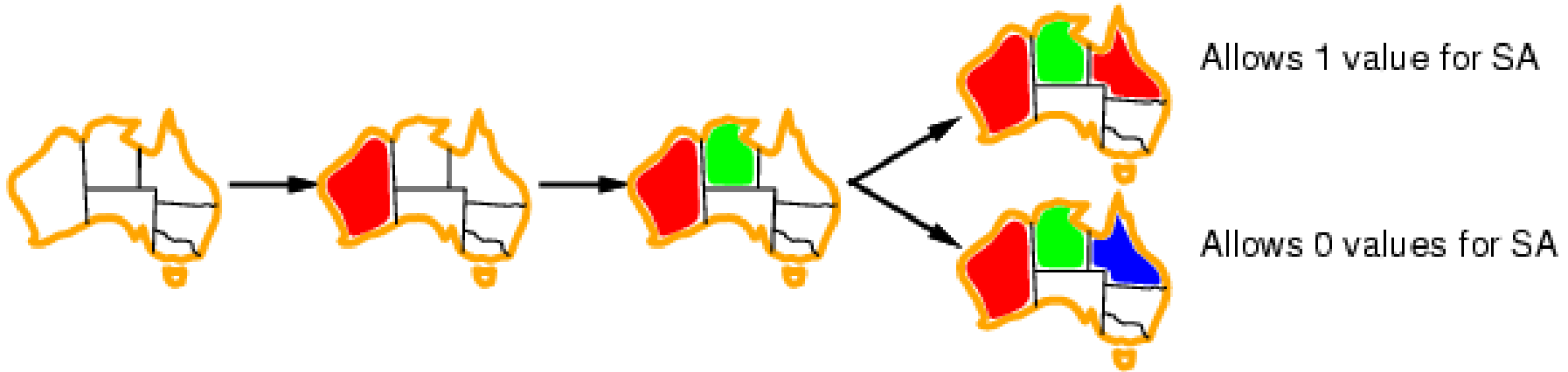
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# Least Constraining Value

- Heuristic for selecting what value to try next
- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remaining variables



- Makes it more likely to find a solution early

# Variable and value orderings

- Minimum remaining values for variable ordering
- Least constraining value for value ordering
  - Why do we want these? Is there a contradiction?
- **Intuition:**
  - Choose a **variable** that will detect failures early (low branching factor)
  - Choose **value** least likely to yield a dead-end (find solution early if possible)
- MRV for variable selection reduces current branching factor
  - Low branching factor throughout tree = fast search
  - Hopefully, when we get to variables with currently many values, forward checking or arc consistency will have reduced their domains & they'll have low branching too
- LCV for value selection increases the chance of success
  - If we're going to fail at this node, we'll have to examine every value anyway
  - If we're going to succeed, the earlier we do, the sooner we can stop searching

# Summary

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- CSPs
  - special kind of problem: states defined by values of a fixed set of variables, goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Heuristics
  - Variable ordering and value selection heuristics help significantly
- Variable ordering (selection) heuristics
  - Choose variable with Minimum Remaining Values (MRV)
  - Degree Heuristic – break ties after applying MRV
- Value ordering (selection) heuristic
  - Choose Least Constraining Value